

## ECE311 Notes from Fall 2025

Please Note: the first 75 pages or so contain notes copied from lectures (i.e., straight up copy of what the prof wrote during lecture). That is fine, because the first 5 weeks mainly cover content from earlier courses done in first and second year ECE.

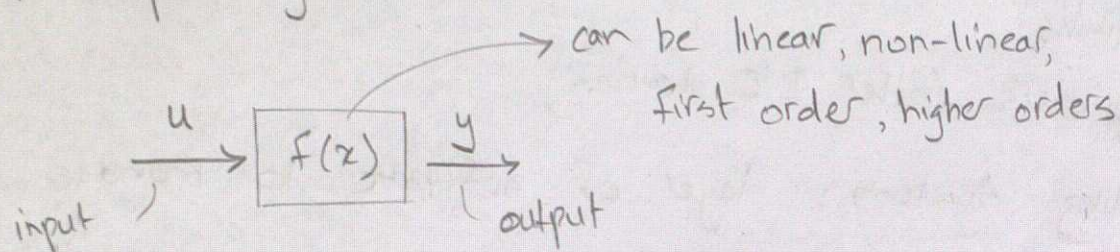
In my opinion, more useful notes are found in the **weekly recaps written for weeks 5-10**. These are summaries that explain the key concepts instead of fussing over specific examples.

- Week 5 & 6 recap on pg.76-79
- Week 7 recap on pg.89-92
- Week 8 recap on pg.101-105
- Week 9 & 10 recap on pg.123-130
- Controllers on pg.131-132



## Systems

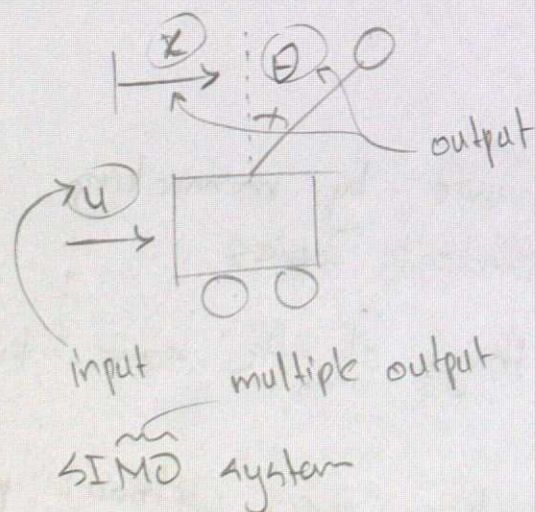
- \* A system transforms input signals to output signals
- \* " " is a function mapping input signals to output signals



- \* SISO system: systems with one input and one output  
 $\rightarrow$  single-input single-output

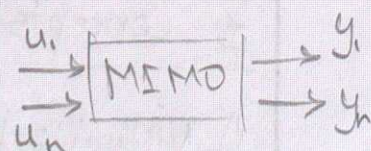
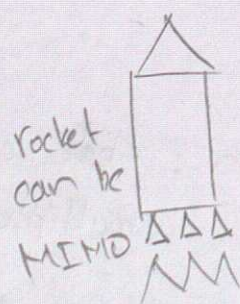
- \* Examples:

### Cart-Pendulum Model



- \* input is force  $u$ ,  
 output ① can be  $x$   
 output ② can be  $\theta$

### Rocket



$$y_1 = f(u_1, \dots, u_n)$$

$$y_n = f(u_1, \dots, u_n)$$

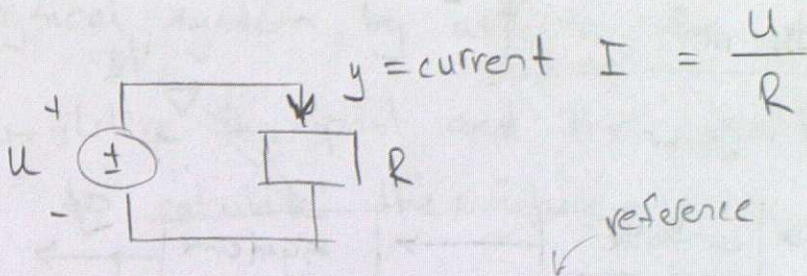
complexity:  
 interrelated input-output relations

can be only part of inputs



## System Control

### \* Open loop Control:

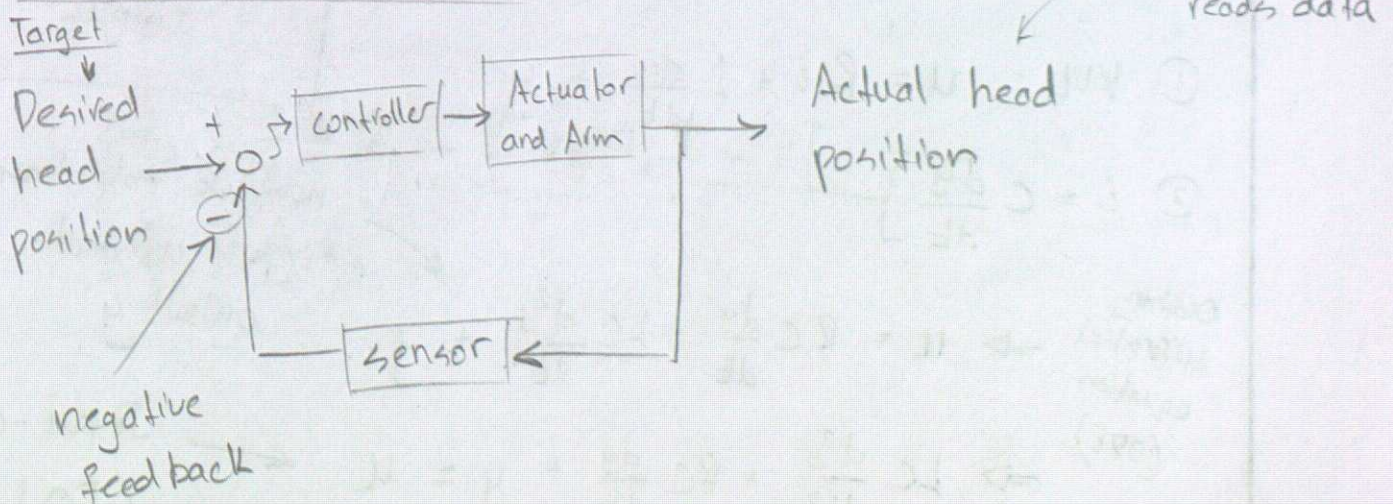


① open loop control :  $I^* \cdot R = U \leftarrow \text{input}$

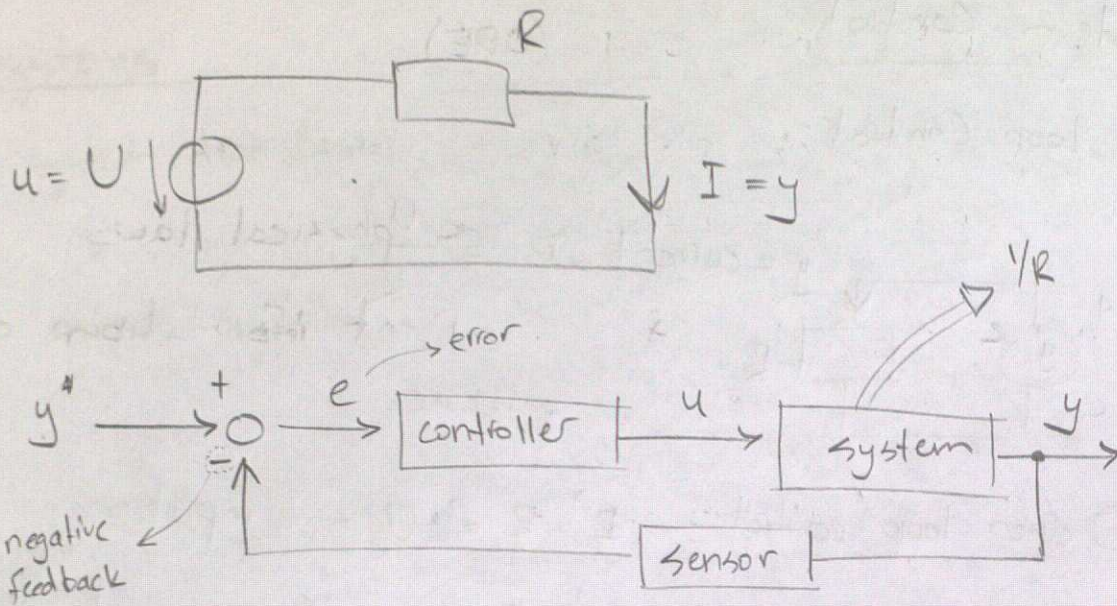
②  $R = 10 \Omega \rightarrow 12.5 \Omega$  if you apply the input now, you wouldn't get the expected value anymore  
(say due to temp. or ageing)

$\Rightarrow$  Closed loop control : measure  $I$  to check the difference if  $I < \text{what } I \text{ want}$ , and if it is, then I'll have to increase  $U$

### Hard Disk Example

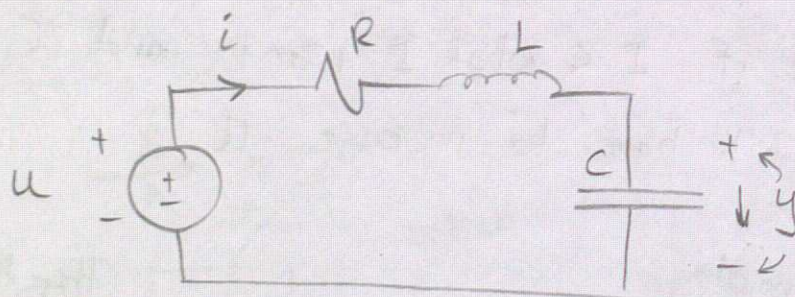






Define: in steady state,  $e = 0$

RLC series CKT: Input-Output Model



shows the relationship b/n the input applied to a system and the output driven by the input

① KVL:  $u = R \cdot i + L \frac{di}{dt} + y$

②  $i = C \frac{dy}{dt}$

Ordinary differential equation (ODE)

$\Rightarrow u = RC \frac{dy}{dt} + LC \frac{d^2y}{dt^2} + y$

$\Rightarrow LC \frac{d^2y}{dt^2} + RC \frac{dy}{dt} + y = u$

now we only have input  $u$  and output  $y$

Input-Output (IO) model

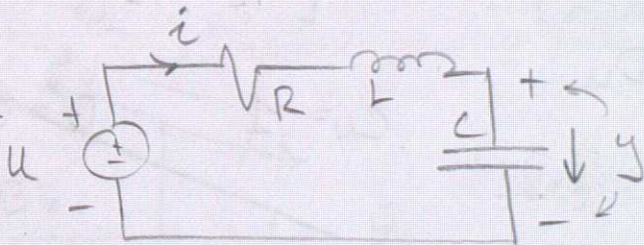


## Ordinary Differential Equ. (ODE)

- \* The ODE's describe the dynamic performance of a physical system by utilizing the physical laws
  - ↳ utilize the past and the current information to calculate the future

## RLC series state-space model

- \* Apply a set of first order ODE's to describe dynamic systems
  - ↳ account for internal conditions (states).



$$\text{select: } \begin{cases} x_1 = y \\ x_2 = i \end{cases}$$

$$\Rightarrow \begin{cases} U = x_2 R + L \dot{x}_2 + x_1 \\ C \dot{x}_1 = x_2 \end{cases}$$

$$\begin{cases} \dot{x}_2 = -\frac{1}{L} x_1 - \frac{R}{L} x_2 + \frac{1}{L} U \\ \dot{x}_1 = \frac{1}{C} x_2 \end{cases}$$



if  $x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  and since  $\begin{cases} \dot{x}_2 = -\frac{1}{L}x_1 - \frac{R}{L}x_2 + \frac{1}{L}u \\ \dot{x}_1 = \frac{1}{L}x_2 \end{cases}$

$$\dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1/L \\ -1/L & -R/L \end{bmatrix} x + \begin{bmatrix} 0 \\ 1/L \end{bmatrix} u$$

$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} x = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

→ State-Space Model (SS)

$$\Rightarrow \begin{cases} \dot{x} = Ax + Bu \\ y = Cx + Du \end{cases}$$

$$A = \begin{bmatrix} 0 & 1/L \\ -1/L & -R/L \end{bmatrix} \quad B = \begin{bmatrix} 0 \\ 1/L \end{bmatrix}$$

$$C = 1 \quad D = 0$$

### Linear System

- A linear system satisfies the properties of superpos. and homogeneity (scaling):

$$f(a_1x_1 + a_2x_2) = a_1f(x_1) + a_2f(x_2)$$

Ex:  $f(x) = x^2 \Rightarrow$  not linear superposition

$$f(x_1 + x_2) = (x_1 + x_2)^2$$

$$\neq f(x_1) + f(x_2) = x_1^2 + x_2^2$$

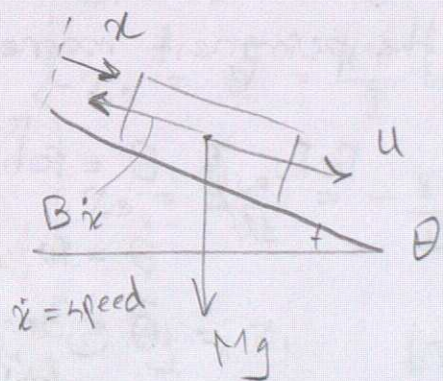
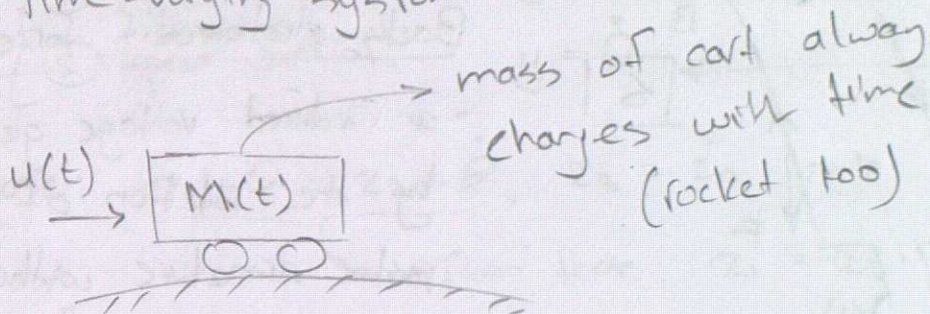
$f(x) = mx + b \leftarrow$  linear function but not linear system



## Time Invariant System

- A system whose properties do not change w/h time  
→ systems that are linear and time invariant, often called LTI system

## Time-Varying System



Define:  $u = \text{input}$   $y = \text{output, speed}$   
 $y = \dot{x}$

$$M\ddot{x} = u - B\dot{x} + Mg\sin\theta$$

$$y = \dot{x}$$

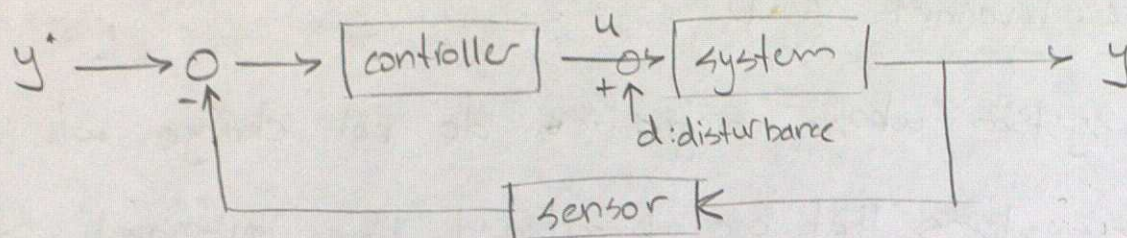
$$\therefore M\dot{y} = u - By + Mg\sin\theta$$

$$\dot{y} = -\frac{B}{M}y + \frac{1}{M}(u + Mg\sin\theta)$$

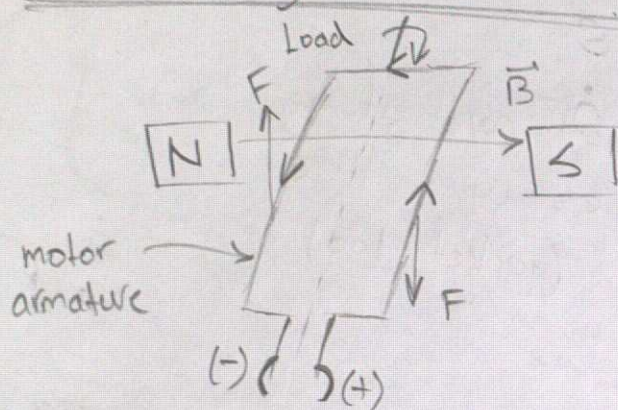
nonlinear

→ "disturbance"



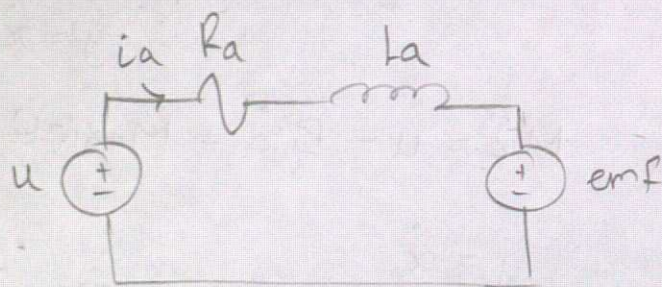


## Permanent Magnet DC Motor



### Back electromotive force (emf)

- an induced voltage generated by the rotation of the motor armature within the magnetic field produced by the permanent magnet



Def:  $\theta$  = rot. angle  
 $\dot{\theta}$  = rot. speed  
 $\ddot{\theta}$  = angular acceleration

Back emf:  $emf = k_e \cdot \dot{\theta}$  ← "back emf constant"  
 → proportional to speed

driving torque:  $\tau = k_t \cdot i_a$  → "torque constant"  
 → proportional to current

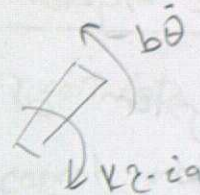
load torque:  $b \cdot \dot{\theta}$  → constant from datasheet



Electric:  $u = R_a \cdot i_a + L_a \frac{di_a}{dt} + k_e \cdot \dot{\theta}$

Mechanical:  $I \cdot \ddot{\theta} = k_r \cdot i_a - b \dot{\theta}$

rotational  
inertia



## State Space Model

① position control:  $y = \theta$

② speed control:  $y = \dot{\theta}$

State:  $x_1 = \theta, x_2 = \dot{\theta}, x_3 = i_a \Rightarrow x = \begin{bmatrix} \theta \\ \dot{\theta} \\ i_a \end{bmatrix}$

→ from these:  $\dot{x}_1 = x_2$

(45)  $\begin{cases} \dot{x}_1 = x_2 \leftarrow \text{definition} \\ \dot{x}_2 = \ddot{\theta} = \frac{k_r}{I} i_a - \frac{b}{I} \dot{\theta} = -\frac{b}{I} x_2 + \frac{k_r}{I} x_3 \leftarrow \text{mechanical} \\ \dot{x}_3 = \frac{di_a}{dt} = -\frac{k_e}{L_a} \dot{\theta} - \frac{R_a}{L_a} i_a + \frac{1}{L_a} u \leftarrow \text{electric} \end{cases}$

①  $y = x_1$   
②  $y = x_2$

LTI system in  
state-space matrix form

Matrix Form

$$\dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & -b/I & k_r/I \\ 0 & -k_e/L_a & -R_a/L_a \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1/L_a \end{bmatrix} \cdot u$$

①  $y = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$       ②  $y = \begin{bmatrix} 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$



## LTI System (SS Form)

- A system of ODE of the form:

$$\dot{x} = a_{i1}x_1 + a_{i2}x_2 + \dots + a_{in}x_n + b_i u \quad (i=1, 2, \dots, n)$$

$$y = c_1x_1 + c_2x_2 + \dots + c_nx_n + du$$

↪ recall RLC motor

Matrix Form:

$$\dot{X} = Ax + Bu$$

$$y = Cx + Du$$

(SISO system)

## Input - Output Form:

$$a_n \frac{d^n y}{dt^n} + a_{n-1} \frac{d^{n-1} y}{dt^{n-1}} + \dots + a_0 y = b_m \frac{d^m u}{dt^m} + \dots + b_0 u \quad (m \leq n)$$

LC ckt eg:  $LC \frac{d^2 y}{dt^2} + RC \frac{dy}{dt} + y = u$

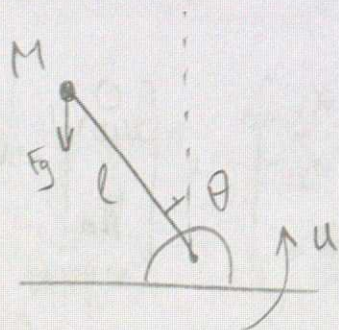
## Non-Linear System (SS Form)

⇒ inverted pendulum, define: M - mass, L - length

$\theta$  - angle,  $\dot{\theta}$  - speed

$\ddot{\theta}$  - acceleration

I - rotational inertia



input:  $u$ : motor torque

output:  $\theta$ : angle



$$I \ddot{\theta} = u + Mgl \sin \theta \cdot l$$

choose  $x_1 = \theta$      $x_2 = \dot{\theta}$

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = \ddot{\theta} = \frac{1}{I} u + \frac{mgl \sin \theta}{I} \\ y = \theta \end{cases}$$

non-linear S-S model

⇒ cannot make it as

$$\dot{x} = Ax + Bu$$

$$y = Cx + Du$$

⇒ instead it is

$$\dot{x} = f(x, u)$$

$$y = h(x, u)$$

⇒ Non-linear system in state space form

$$\dot{x}_i = f_i(x_1, x_2, \dots, x_n, u)$$

$$y = h(x_1, x_2, \dots, x_n, u)$$

$$x = \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$$

$$f(x, u) = \begin{bmatrix} f_1(\dots) \\ \vdots \\ f_n(\dots) \end{bmatrix}$$

$$\Rightarrow \dot{x} = f(x, u)$$

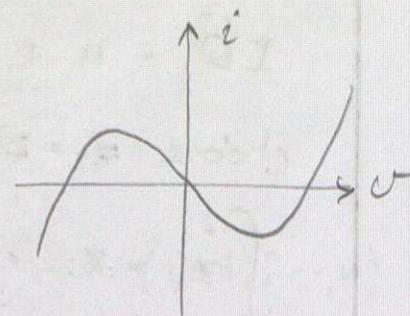
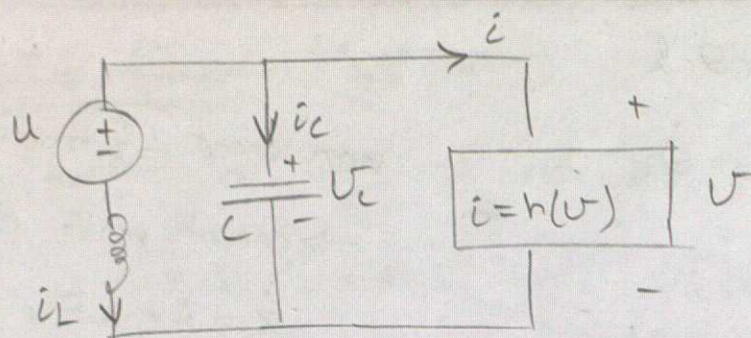
$$y = h(x, u)$$

time-invariant :  $f_i$  don't depend on  $t$

non-linear :  $f_i$  or  $h_i$  are not linear



Q1.1



$$h(v) = -v + \frac{1}{3}v^3$$

Output is voltage across capacitor

i) write model in terms of  $V_C, i_L, u$

ii) state space  $x_1 = i_L, x_2 = V_C$

$$i_C = C \frac{dV_C}{dt}$$

$$V_L = L \frac{di_L}{dt}$$

i) KCL @ top node:  $i_L + i_C + i = 0$

$$\rightarrow i_L + C \frac{dV_C}{dt} + i = 0 \quad \dot{x}_2$$

$$i_L + C \frac{dV_C}{dt} - V + \frac{1}{3}V^3 = 0 \quad (\text{eq. I})$$

KVL @ left loop:  $V_C = u + V_L$

$$\rightarrow V_C = u + L \frac{di_L}{dt} \quad (\text{eq. II}) \quad \dot{x}_1$$

ii) This is only for LTI (key = linear):

$$\left. \begin{aligned} \dot{x} &= Ax + Bu \\ y &= Cx + Du \end{aligned} \right\} \text{LTI}$$

"the derivatives of the states  
in terms of the states  
and the input  
and the output likewise"



$$x_1 = i_L \quad x_2 = V_C (=V) \quad \leftarrow \text{order of states matters}$$

$$\text{non-linear state space model} \quad \begin{cases} \dot{x}_1 = \left(\frac{1}{L}\right)x_1 - \left(\frac{1}{L}\right)u \\ \dot{x}_2 = -\left(\frac{1}{C}\right)x_1 + \left(\frac{1}{C}\right)x_2 - \left(\frac{1}{3C}\right)x_2^3 \\ y = x_2 \end{cases}$$

$$\text{Second way: } x_1 = V_C \quad x_2 = \dot{V}_C$$

$$i_L + C \frac{dV_C}{dt} + h(V) = 0 \quad \downarrow \text{derivative (chain rule for } h(V))$$

$$\frac{di_L}{dt} + C \frac{d^2 V_C}{dt^2} + h'(V) \cdot \frac{dV_C}{dt} = 0 \quad (\text{multiply by } L)$$

$$L \frac{di_L}{dt} + LC \frac{d^2 V_C}{dt^2} + \underbrace{L h'(V)}_{= -1 + V_C^2} \frac{dV_C}{dt} = 0$$

$$\underbrace{V_L = V_C - u}_{= -1 + V_C^2}$$

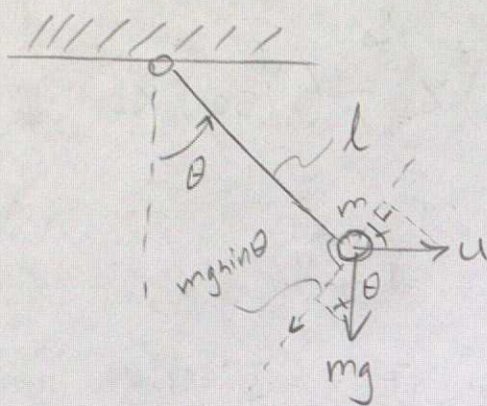
$$(V_C - u) + LC \ddot{V}_C + L(-1 + V_C^2) \dot{V}_C \quad (\text{since } \dot{x}_1 = x_2)$$

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -\left(\frac{1}{LC}\right)x_1 + \frac{1}{C}(1 - x_1^2)x_2 + \frac{1}{LC}u \\ y = x_1 \end{cases}$$

nonlinear s.s.



# Non Linear System: Crane Model



Define:  $I$  - rotational inertia  $= ml^2$   
 $\theta$  - angle

Input:  $u$       Output:  $y = \theta$

$\rightarrow$  recall  $I\ddot{\theta} = \sum \text{Moments}$

$$I\ddot{\theta} = -mg \cdot \sin\theta \cdot l + u \cdot \cos\theta \cdot l$$

$$ml^2 \ddot{\theta} = -mg \cdot \sin\theta \cdot l + u \cdot \cos\theta \cdot l$$

$$\Rightarrow \ddot{\theta} = -g/l \sin\theta + \frac{u}{ml} \cos\theta \leftarrow \text{non-linear}$$

Choose  $x_1 = \theta$      $x_2 = \dot{\theta}$      $x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -(g/l) \sin x_1 + (u/ml) \cos x_1 \\ y = x_1 \end{cases}$$

we have to  
 linearize the  
 $\sin$  and  $\cos$   
 at operating point

$$\dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} x_2 \\ -(g/l) \sin(x_1) + (u/ml) \cos(x_1) \end{bmatrix} = f(x, u)$$



## Equilibrium Condition

Define: consider a (NL) system and fix a constant control input  $\bar{u}$ . Then, a state  $\bar{x}$  is said to be an equilibrium of this (NL) system if  $f(\bar{x}, \bar{u}) = 0$

$\Rightarrow$  Explain: set  $u(t) \equiv \bar{u}$  and initiate and initiate (NL) at  $\bar{x}$ : set  $x(0) = \bar{x}$

Then if  $\bar{x}$  is an equilibrium in the sense of the definition above, the solution of  $x(t) \equiv \bar{x}$  because

1)  $x(t)$  satisfies the initial condition,  $x(0) = \bar{x}$

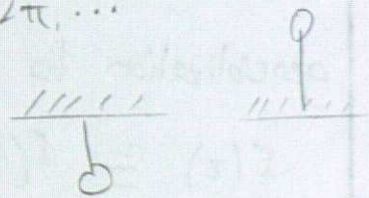
2)  $x(t)$  is a solution of (NL)  $\frac{dx}{dt} = 0 = f(\bar{x}, \bar{u}) = 0$

Example: set  $\bar{u} = 0$ , find all equilibria of the crane

$$f(\bar{x}, \bar{u}) = 0 \Rightarrow f(\bar{x}, 0) = \begin{bmatrix} \bar{x}_2 \\ -\frac{g}{L} \sin(\bar{x}_1) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{matrix} -1 \\ -2 \end{matrix}$$

$$2: \sin(\bar{x}_1) = 0 \Rightarrow \bar{x}_1 = k\pi = 0, \pm\pi, \pm2\pi, \dots$$

$$\Rightarrow \text{All equilibria are } \bar{x} = \begin{bmatrix} k\pi \\ 0 \end{bmatrix}$$



① set  $u=0$  and plug into eq<sup>s</sup>

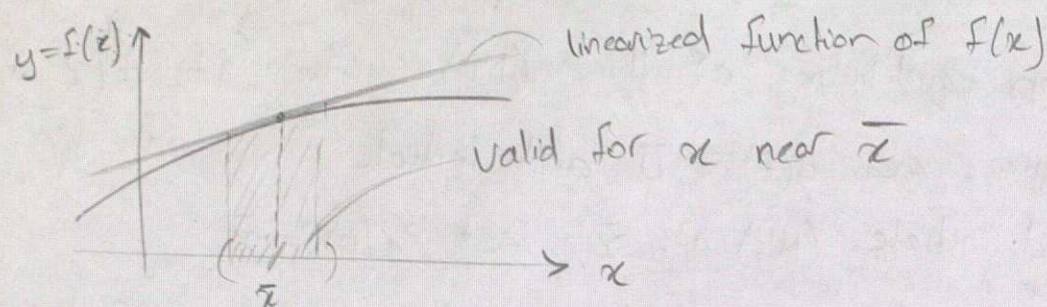
② set all eq<sup>s</sup> = 0

③ solve for what  $x$ 's get you that 0



## Linearization of Non-linear Fun<sup>n</sup>

•  $f: f(x) \cong f(\bar{x}) + f'(\bar{x})(x - \bar{x})$  → valid for  $x$  near  $\bar{x}$



## Taylor Series

$$f(x) = \sum_0^{\infty} C_n (x - x_0)^n \quad \text{for } C_n = \frac{f^{(n)}(x_0)}{n!}$$

$$= \underbrace{f(x_0) + f'(x_0)(x - x_0)}_{\text{keep}} + \underbrace{\frac{f''(x_0)}{2} (x - x_0)^2 + \dots}_{\text{discard from approx.}}$$

## Jacobian Matrix

generalization to vector functions:

$$\begin{matrix} n \times 1 & n \times 1 & n \times m & m \times 1 \end{matrix} \quad f(x) \cong f(\bar{x}) + \left[ \frac{\partial f}{\partial x}(\bar{x}) \right] \cdot (x - \bar{x})$$

size of matrix in general case

where  $\frac{\partial f}{\partial x}(\bar{x})$  is the Jacobian Matrix of function  $f(x)$  at  $\bar{x}$



★ Give this out

$$\left[ \frac{\partial f}{\partial x}(\bar{x}) \right]_{ij} = \frac{\partial f_i}{\partial x_j}(\bar{x}) \quad \left| \quad \frac{\partial f}{\partial x} = \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_m} \\ \vdots & & \vdots \\ \frac{\partial f_n}{\partial x_1} & \dots & \frac{\partial f_n}{\partial x_m} \end{bmatrix}$$

⇒ further generalization:

→ Linearize at  $(\bar{x}, \bar{u}) \equiv 0$  since that is def<sup>n</sup> of equilibrium pt

$$\dot{x} = f(x, u) \cong f(\bar{x}, \bar{u}) + \underbrace{\left[ \frac{\partial f}{\partial x}(\bar{x}, \bar{u}) \right]}_A (x - \bar{x}) + \underbrace{\left[ \frac{\partial f}{\partial u}(\bar{x}, \bar{u}) \right]}_B (u - \bar{u})$$

$$y = h(x, u) \cong h(\bar{x}, \bar{u}) + \underbrace{\left[ \frac{\partial h}{\partial x}(\bar{x}, \bar{u}) \right]}_C (x - \bar{x}) + \underbrace{\left[ \frac{\partial h}{\partial u}(\bar{x}, \bar{u}) \right]}_D (u - \bar{u})$$

Define:

$$\tilde{x} = x - \bar{x}, \quad \tilde{u} = u - \bar{u}, \quad \tilde{y} = y - h(\bar{x}, \bar{u})$$

$$\begin{cases} \dot{\tilde{x}} = A\tilde{x} + B\tilde{u} \\ \tilde{y} = C\tilde{x} + D\tilde{u} \end{cases}$$



Example: (from earlier  $\frac{11}{6}$ )

equilibrium point:

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -\frac{g}{l} \sin(x_1) + \frac{1}{l} \cos(x_1) \cdot u \end{cases}$$

$$\bar{x} = \begin{bmatrix} k\pi \\ 0 \end{bmatrix}$$

Linearize at  $\bar{x} = \begin{bmatrix} \pi \\ 0 \end{bmatrix}$ ,  $\bar{u} = 0$

Define:  $\tilde{x} = x - \bar{x} = \begin{bmatrix} x_1 - \pi \\ x_2 \end{bmatrix}$

$$\tilde{u} = u - \bar{u} = u$$

$$\tilde{y} = y - h(\bar{x}, \bar{u}) = x_1 - \bar{x}_1 = x_1 - \pi$$

$$f(x, u) = \begin{bmatrix} x_2 \\ -\frac{g}{l} \sin(x_1) + \frac{1}{l} \cos(x_1) \cdot u \end{bmatrix} \quad \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \frac{\partial f_1}{\partial x_2} \\ \frac{\partial f_2}{\partial x_1} & \frac{\partial f_2}{\partial x_2} \end{bmatrix}$$

$$\therefore A = \frac{\partial f}{\partial x}(\bar{x}, \bar{u}) = \begin{bmatrix} 0 & 1 \\ -\frac{g}{l} \cos(x_1) - \frac{1}{l} \sin(x_1) \cdot u & 0 \end{bmatrix} \bigg|_{\substack{x=\bar{x} \\ u=\bar{u}}} = \begin{bmatrix} 0 & 1 \\ g/l & 0 \end{bmatrix}$$

$$B = \frac{\partial f}{\partial u}(\bar{x}, \bar{u}) = \begin{bmatrix} 0 \\ \frac{1}{l} \cos x_1 \end{bmatrix} \bigg|_{\substack{x=\bar{x} \\ u=\bar{u}}} = \begin{bmatrix} 0 \\ -1/l \end{bmatrix}$$

$$C = [1 \ 0], \quad D = 0$$

$$\Rightarrow \begin{cases} \dot{\tilde{x}} = \begin{bmatrix} 0 & 1 \\ g/l & 0 \end{bmatrix} \tilde{x} + \begin{bmatrix} 0 \\ -1/l \end{bmatrix} \tilde{u} \\ \tilde{y} = [1 \ 0] \tilde{x} \end{cases}$$

signs



Linearized at  $(\bar{x}, \bar{u})$ 

$$\dot{x} = f(x, u) \cong f(\bar{x}, \bar{u}) + \underbrace{\left[ \frac{\partial f}{\partial x}(\bar{x}, \bar{u}) \right]}_A (x - \bar{x}) + \underbrace{\left[ \frac{\partial f}{\partial u}(\bar{x}, \bar{u}) \right]}_B (u - \bar{u})$$

$$y = h(x, u) = h(\bar{x}, \bar{u}) + \underbrace{\left[ \frac{\partial h}{\partial x}(\bar{x}, \bar{u}) \right]}_C (x - \bar{x}) + \underbrace{\left[ \frac{\partial h}{\partial u}(\bar{x}, \bar{u}) \right]}_D (u - \bar{u})$$

Define:  $\tilde{x} = x - \bar{x}$ ,  $\tilde{u} = u - \bar{u}$ ,  $\tilde{y} = y - h(\bar{x}, \bar{u})$ 

$$\rightarrow \begin{cases} \dot{\tilde{x}} = A\tilde{x} + B\tilde{u} \\ \tilde{y} = C\tilde{x} + D\tilde{u} \end{cases} //$$

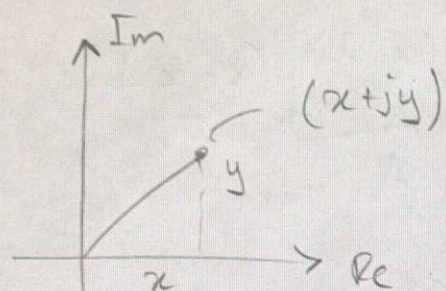
Laplace Transformthe  $\mathcal{L}\{\}$  of a signal (function  $f$ ) is the function $F(s) = \mathcal{L}\{f\}$  defined by

$$F(s) = \int_0^{\infty} f(t) e^{-st} dt \quad \text{where "s" is a complex number}$$

Note: only sensible if this integral exist



↪ plane:  $z = Re + j Im$



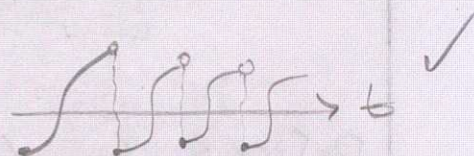
complex exponential:

$$e^z = e^{x+jy} = e^x (\cos y + j \sin y)$$

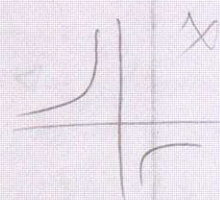
- The Laplace transforms integrals and ODE's into relatively easily-solvable algebraic equations

### Existence of the Integral

#### 1) Piecewise Continuous (pwc)



↪  $f(x)$  is defined at every point except for a finite number of points where it might be discontinuous.



↪ at these discontinuities,  $f(x)$  has finite right hand and left hand limits.

#### 2) Exponentially Bounded

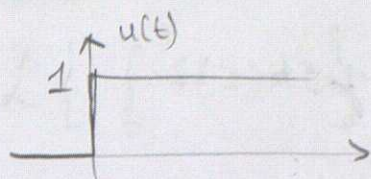
↪  $\exists$  an  $M \geq 0$ ,  $a \in \mathbb{R}$  s.t.

$$|f(t)| \leq M \cdot e^{at}, \text{ for } t \geq 0$$

★ exam  
might  
ask  
ver. 1.0



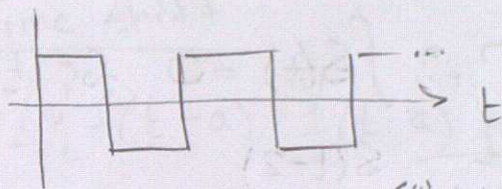
1) Example: unit step  $u(t) = \begin{cases} 0, & t \leq 0 \\ 1, & t > 0 \end{cases}$



pwc ✓

$$|f(t)| \leq 1 \leq 1 \cdot e^{0t}$$

2) Example: square wave:



pwc ✓

exponentially bounded ✓

Ex:  $f(t) = e^t$



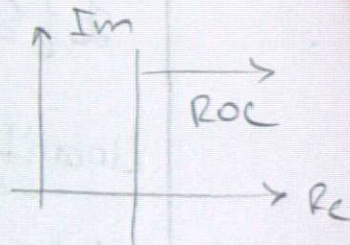
pwc ✓

exponentially bounded ✓

$$\mathcal{L}\{e^t\} = \int_0^{\infty} e^t e^{-st} dt = \int_0^{\infty} e^{(1-s)t} dt = \left[ \frac{1}{1-s} e^{(1-s)t} \right]_0^{\infty}$$

$$= 0 - \frac{1}{1-s} e^0 = -\frac{1}{1-s} = \boxed{\frac{1}{s-1}}$$

For  $\text{Re}\{s\} > 1$ , the integral converges



Ex:  $\mathcal{L}\{1\} = \int_0^{\infty} e^{-st} dt = \left[ -\frac{e^{-st}}{s} \right]_0^{\infty} = \frac{1}{s}$

Ex:  $\mathcal{L}\{\cos \omega t\} \rightarrow \text{know.}$

$$\cos \omega t = \frac{1}{2} e^{j\omega t} + \frac{1}{2} e^{-j\omega t}$$

$$\mathcal{L}\{\cos \omega t\} = \frac{s}{s^2 + \omega^2} //$$

yadda  
yadda



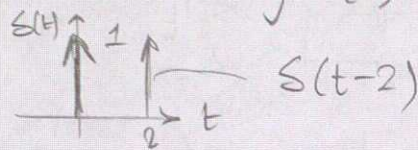
Recap:

$$F(s) = \int_0^{\infty} f(t) e^{-st} dt \quad \text{where } s \in \mathbb{C}$$

→ only sensible if integral exists

• Define:  $\delta(t)$  Dirac Function =  $\int \delta(t) = 0$  for  $t \neq 0$

and  $\int_{-\infty}^{\infty} \delta(t) = 1$



• Sifting property:  $\int_{-\infty}^{\infty} f(t) \delta(t-a) dt = f(a)$

$$\mathcal{L}(\delta(t)) = \int_0^{\infty} \delta(t) e^{-st} dt = e^{-s(0)} = 1$$

$$\mathcal{L}\{\delta(t-2)\} = \int_0^{\infty} \delta(t-2) e^{-st} dt = e^{-2s}$$

Linearity

$$\mathcal{L}\{C_1 f(t) + C_2 f(t)\} = C_1 \mathcal{L}\{f(t)\} + C_2 \mathcal{L}\{f(t)\}, \quad C_1, C_2 \in \mathbb{R}$$

Differentiation:

$$\mathcal{L}\left\{\frac{d}{dt} f(t)\right\} = s F(s) - \lim_{t \rightarrow 0^-} f(t)$$

$$\mathcal{L}\left\{\frac{d^k}{dt^k} f(t)\right\} = s^k F(s) - s^{k-1} f(0) - s^{k-2} f'(0) - \dots$$



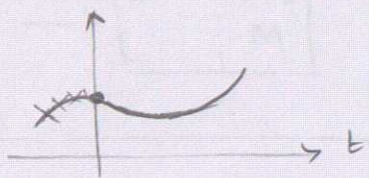
Integration:

$$\mathcal{L}\left\{\int_0^t f(\tau) d\tau\right\} = \frac{1}{s} F(s) \quad \text{and since} \quad \mathcal{L}\{\delta(t)\} = 1$$

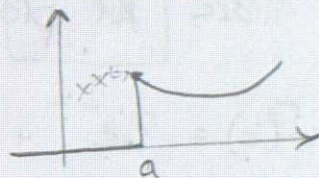
$$\mathcal{L}\{u(t)\} = \mathcal{L}\{1 \cdot t\} = \frac{1}{s} \quad \text{and since} \quad \frac{d}{dt} u(t) = \delta(t)$$

Time shift:

$$\mathcal{L}\{f(t-a) \cdot 1(t-a)\} = e^{-as} F(s) \quad \text{for } t \geq 0$$



$\Rightarrow$



Multiplication by  $t$

$$\mathcal{L}\{t f(t)\} = -\frac{d}{ds} F(s)$$

Shift in  $s$ -dom

$$\mathcal{L}\{e^{at} f(t)\} =$$

★  
fill it  
up...



## Convolution

- convolution of a signal  $f(t)$  and  $g(t)$ :

$$h(t) = f(t) * g(t) = \int_0^t f(\tau) g(t-\tau) d\tau$$

$$\Rightarrow h(t) = f(t) * g(t) = g(t) * f(t)$$

$$\mathcal{L}\{h(t)\} = \mathcal{L}\{f(t) * g(t)\} = F(s)G(s)$$

$\Rightarrow$  see lec slides for proof

Example:  $f(t) = 3e^{-t} + \frac{1}{2}e^{2t-2}$

$$\mathcal{L}\{3e^{-t}\} = 3\mathcal{L}\{e^{-t}\} = \frac{3}{s+1}$$

$$\mathcal{L}\{\frac{1}{2}e^{2t-2}\} = \frac{1}{2}e^{-2}\mathcal{L}\{e^{2t}\} = \frac{1}{2}e^{-2} \cdot \frac{1}{s-2}$$

$$\Rightarrow \mathcal{L}\{f(t)\} = \frac{3}{s+1} + \frac{1}{2e^2(s-2)} //$$

Example:  $f(t) = te^{-2t} + \frac{1}{2}t\sin(t)$

$$\mathcal{L}\{te^{-2t}\} = -\frac{d}{ds}\left[\frac{1}{s+2}\right] = \frac{1}{(s+2)^2}$$

$$\mathcal{L}\{\frac{1}{2}t\sin t\} = -\frac{1}{2}\frac{d}{ds}\left[\frac{1}{s^2+1}\right] = \frac{1}{2} \cdot \frac{1}{(s^2+1)^2} \cdot 2s = \frac{s}{(s^2+1)^2}$$

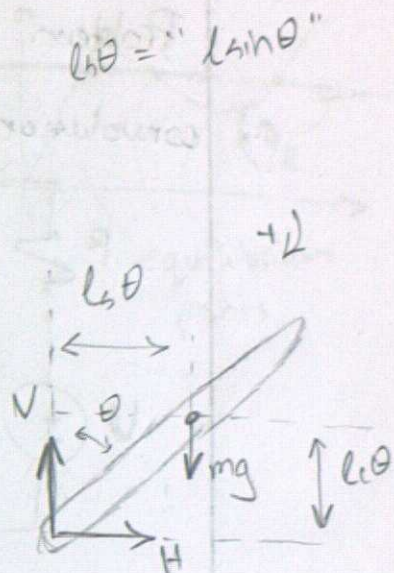
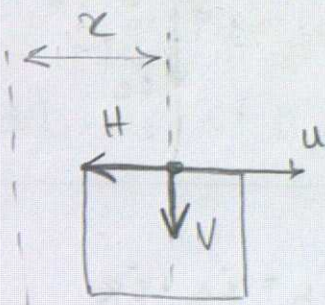
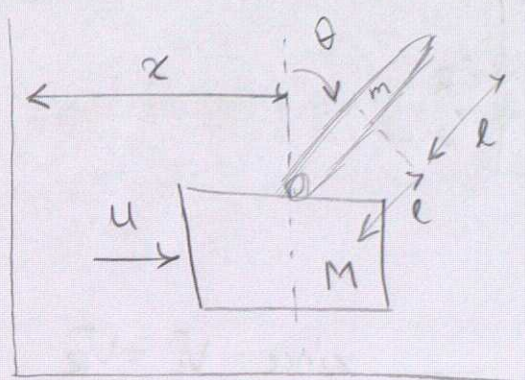
$$\mathcal{L}\{f(t)\} = \frac{1}{(s+2)^2} + \frac{s}{(s^2+1)^2} //$$



## Week 3 Tutorial 2

### Problem 1.3

- Write E.O.M of the system assuming:
  - the c.o.g. of pendulum @ centre
  - neglect moment of inertia of wheels



1) translation of cart

2) rotation of pend.

3) trans. of pend.

$$M\ddot{x} = u - H$$

$$I\ddot{\theta} = V l \sin \theta - H l \cos \theta$$

$$H = m \frac{d^2}{dt^2} \{ x + l \sin \theta \}$$

$I\ddot{\theta}$   
means  
we are  
assuming  
rot. abt.  
centre

$$M\ddot{x} = -m\ddot{x} - ml\ddot{\theta} \cos \theta + ml\dot{\theta}^2 \sin \theta + u \quad \text{--- (eq I)}$$

$$I\ddot{\theta} = ml^2 [-\sin \theta \ddot{\theta} - \dot{\theta}^2 \cos \theta + \sin \theta \ddot{\theta}] - ml\ddot{x} \cos \theta + mgl \sin \theta$$

$$I\ddot{\theta} = ml^2 \ddot{\theta} - ml\ddot{x} \cos \theta + mgl \sin \theta \quad \text{--- (eq II)}$$

$$H = m\ddot{x} + \frac{d}{dt} \{ ml\dot{\theta} \cos \theta \}$$

$$= m\ddot{x} + ml [\ddot{\theta} \cos \theta - \dot{\theta}^2 \sin \theta]$$

$$V - mg = m \frac{d^2}{dt^2} \{ l \cos \theta \}$$

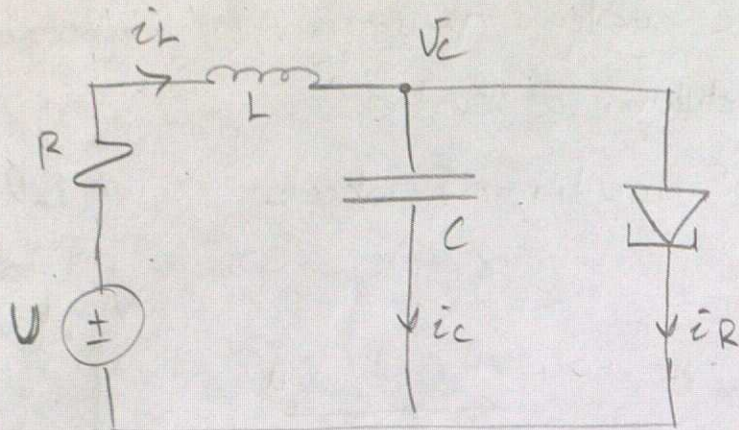
$$V = ml (-\cos \theta \ddot{\theta} - \dot{\theta}^2 \sin \theta) + mg$$

potential next steps: pick states



# Problem 1.4

a)



$$i_C = C \frac{dV_C}{dt}$$

$$V_L = L \frac{di_L}{dt}$$

KCL @ top:  $i_L = i_C + i_R$

$$i_L = C \dot{V}_C + h(V_R) \quad \text{--- since } V_C = V_R$$

$$i_L = C \dot{V}_C + h(V_C) \quad \text{--- (eq. I)}$$

KVL on left loop:  $U - Ri_L - L \dot{i}_L = V_C$

$$U = V_C + i_L R + \dot{i}_L L \quad \text{--- (eq. II)}$$

b)  $x_1 = V_C$  and  $x_2 = i_L \Rightarrow \dot{x}_1 = \dot{V}_C$  and  $\dot{x}_2 = \dot{i}_L$

$$\dot{x}_1 = \left(\frac{1}{C}\right)x_2 - \left(\frac{1}{C}\right)h(x_1)$$

$$\dot{x}_2 = -\left(\frac{1}{L}\right)x_1 - \left(\frac{R}{L}\right)x_2 + \left(\frac{1}{L}\right)U$$

c) find equilibria : (1) set derivatives equal to zero  
(add bar when you shift away from original eq. and start talking about equilibrium pnts)



$$u = d \in \mathbb{R}$$

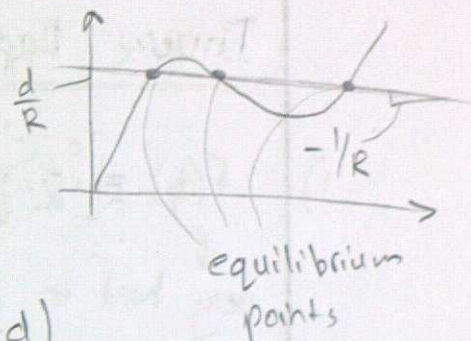
$$0 = \left(\frac{1}{L}\right)\bar{x}_2 - \left(\frac{1}{L}\right)h(\bar{x}_1) \quad \text{--- ①}$$

$$0 = -\left(\frac{1}{L}\right)\bar{x}_1 - \left(\frac{R}{L}\right)\bar{x}_2 + \left(\frac{1}{L}\right)d \quad \text{--- ②}$$

$$\text{①: } \bar{x}_2 = h(\bar{x}_1)$$

$$\text{②: } \bar{x}_2 = \frac{1}{R}(-\bar{x}_1 + d)$$

$$\Rightarrow h(\bar{x}_1) = \frac{1}{R}(-\bar{x}_1 + d)$$

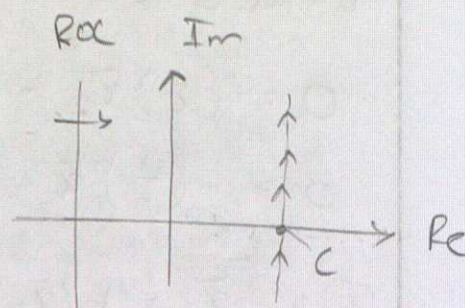




Inverse Laplace Transform

$$1) \quad f(t) = \mathcal{L}^{-1}\{F(s)\} = \int_{c-j\infty}^{c+j\infty} F(s) e^{st} ds$$

↓  
very hard in practice

2) Residue Formula:

if  $F(s)$  is analytic everywhere except for a finite number of poles  $\{P_1, P_2, \dots, P_N\}$ , then  $\mathcal{L}^{-1}$  can be computed by Residue Formula

$$f(t) = \sum_{i=1}^N \text{Res} \left( \underbrace{F(s) e^{st}}_{\substack{\text{the residue of } F(s) \cdot e^{st} \\ \text{at } s = P_i}}}, s = P_i \right)$$

the residue of  $F(s) \cdot e^{st}$  at  $s = P_i$

- An analytic func<sup>n</sup>: a func<sup>n</sup> that is differentiable at every point and its derivative is continuous

Residue Calculation

Let  $G(s) = F(s) \cdot e^{st}$  be an analytic function with one pole at  $s = p$  of multiplicity of  $r \geq 1$

$$\text{Then the } \boxed{\text{Res}(G(s), s=p) = \frac{1}{(r-1)!} \cdot \left[ \frac{d^{r-1}}{ds^{r-1}} (G(s) \cdot (s-p)^r) \right]_{s=p}}$$



if  $r=1$ ,  $\text{Res}(G(s), s=p) = [G(s) \cdot (s-p)]_{s=p}$

if  $r=2$ ,  $\text{Res}(G(s), s=p) = \frac{1}{1!} \cdot \frac{d}{ds} [G(s) \cdot (s-p)^2]_{s=p}$

Example:

$$F(s) = \frac{3}{(s+3)(s+9)}$$

↗ 1) PFD  
 ↘ 2) residue thm

1)  $\frac{3}{(s+3)(s+9)} = \frac{A}{s+3} + \frac{B}{s+9} \Rightarrow f(t) = Ae^{-3t} + Be^{-9t}$

2) poles:  $p_1 = -3$  and  $p_2 = -9$  with multiplicity of 1

$$f(t) = \underbrace{\text{Res}\left(\frac{3}{(s+3)(s+9)} \cdot e^{st}, s=-3\right)}_A + \underbrace{\text{Res}\left(\frac{3}{(s+3)(s+9)} \cdot e^{st}, s=-9\right)}_B$$

$$= \left[ \frac{3e^{st}}{s+9} \right]_{s=-3} = \frac{3e^{-3t}}{-3+9} = \frac{1}{2}e^{-3t} \quad \Bigg| \quad \left[ \frac{3e^{st}}{(s+3)} \right]_{s=-9} = -\frac{1}{2}e^{-9t}$$

$$\Rightarrow f(t) = \frac{1}{2}e^{-3t} - \frac{1}{2}e^{-9t}$$

Example 2:  $F(s) = \frac{3}{(s+3)(s+9)^2}$

1)  $F(s) = \frac{A}{s+3} + \frac{Bs+C}{(s+9)^2} + \frac{D}{s+9} + \dots$

2)  $f(t) = \underbrace{\text{Res}\left(\frac{3e^{st}}{(s+3)(s+9)^2}, s=-3\right)}_A + \underbrace{\text{Res}\left(\frac{3e^{st}}{(s+3)(s+9)^2}, s=-9\right)}_B$



$$A: = \frac{3e^{-3t}}{(-3+9)^2}$$

$$B: = \frac{d}{ds} \left[ \frac{3e^{st}}{s+3} \right]_{s=-9} = \left[ \frac{t \cdot 3e^{st}(s+3) - 3e^{st}}{(s+3)^2} \right]_{s=-9}$$

$$\Rightarrow f(t) = \frac{1}{12} e^{-3t} - \frac{1}{2} t e^{-9t} - \frac{1}{12} e^{-9t} //$$

Example 3:  $F(s) = \frac{1}{(s+1)(s^2+2s+2)}$   $P_1 = -1$   
 $P_2 = -1 \pm j$

$$1) F(s) = \frac{A}{s+1} + \frac{Bs+C}{(s+1)^2+1} \rightarrow \text{Find } A=1, B=-1, C=-1$$

$$(s+1)^2+1$$

$$= s^2+2s+2$$

$$= \frac{1}{s+1} - \frac{s+1}{(s+1)^2+1} \xrightarrow{\mathcal{L}^{-1}} f(t) = e^{-t} - e^{-t} \cos t //$$

$$2) f(t) = \text{Res} \left( \frac{e^{st}}{(s+1)(s^2+2s+2)}, s=-1 \right) + \text{Res}(G(s), s=-1+j) + \text{Res}(G(s), s=-1-j)$$

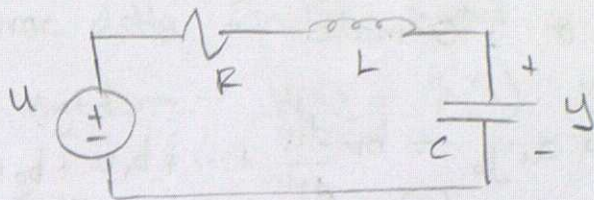
$$= \left[ \frac{e^{st}}{(s+1)^2+1} \right]_{s=-1} + \left[ \frac{e^{st}}{(s+1)(s+1+j)} \right]_{s=-1-j} + \left[ \frac{e^{st}}{(s+1)(s+1-j)} \right]_{s=-1+j}$$

$$= \frac{e^{-t}}{1} + \frac{e^{-t} e^{jt}}{j \cdot 2j} + \frac{e^{-t} e^{-jt}}{-j(-2j)} = e^{-t} \left( 1 - \frac{1}{2} e^{jt} + \frac{1}{2} e^{-jt} \right)$$

$$= e^{-t} (1 - \cos t) //$$



## RLC Reversit



$$\text{set: } u(t) = u(t) = 1(t)$$

$$y(0) = \dot{y}(0) = 0$$

RLC model:

$$LC \frac{d^2 y}{dt^2} + RC \frac{dy}{dt} + y = u \quad \text{--- transfer to t-dom}$$

$$LC (s^2 Y(s) - sy(0) - y'(0)) + RC (sY(s) - y(0)) + Y(s) = \frac{1}{s}$$

$$s^2 LC Y(s) + sRC Y(s) + Y(s) = \frac{1}{s}$$

$$Y(s) = \frac{1}{s(s^2 LC + sRC + 1)}$$

pick  $LC = \frac{1}{3}, \frac{R}{L} = 4$

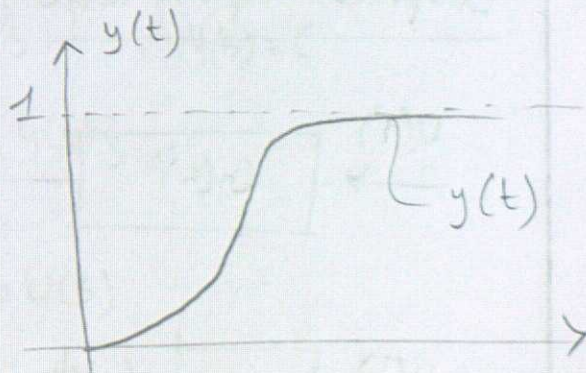
$$Y(s) = \frac{1/LC}{s(s^2 + \frac{R}{L}s + \frac{1}{LC})}$$

$$= \frac{3}{s(s^2 + 4s + 3)} = \frac{3}{s(s+1)(s+3)}$$

back to t-dom

$$y(t) = \text{Res}\left(\frac{3e^{st}}{s(s+1)(s+3)}, s=0\right) + \text{Res}\left(\frac{3e^{st}}{s(s+1)(s+3)}, s=-1\right) + \dots$$

$$= 1 - \frac{3}{2}e^{-t} + \frac{1}{2}e^{-3t}$$



If you have non-zero initial conditions, then you'll have extra terms after taking  $\mathcal{L}\left\{\frac{d^2 y}{dt^2}\right\}$

and  $\mathcal{L}\left\{\frac{dy}{dt}\right\}$

$$Y(s) = \left( \begin{array}{c} \text{zero state} \\ \text{response} \end{array} \right) + \left( \begin{array}{c} \text{zero input} \\ \text{response} \end{array} \right)$$

↑                      ↑  
have same poles



## Definition of Transfer Function

Consider a generic LTI system in I/O model

$$\frac{d^n y}{dt^n} + (a_{n-1}) \frac{d^{n-1} y}{dt^{n-1}} + \dots + a_1 \dot{y} + a_0 y = b_m \frac{d^m u}{dt^m} + \dots + b_1 \dot{u} + b_0 u$$

$$\left. \begin{aligned} y(0) = \dot{y}(0) = \dots = \frac{d^{n-1} y}{dt^{n-1}}(0) = 0 \\ u(0) = \dot{u}(0) = \dots = \frac{d^{m-1} u}{dt^{m-1}}(0) = 0 \end{aligned} \right\} \begin{array}{l} \text{zero state} \\ \text{(no initial condns)} \end{array}$$

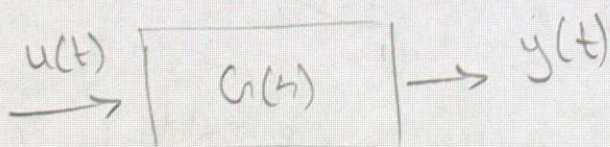
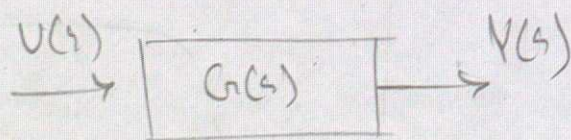
Apply the  $\mathcal{L}\{ \}$  to both sides of this I/O model

$$Y(s) \cdot [s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0] = U(s) [b_ms^m + \dots + b_1s + b_0]$$

$$Y(s) = \underbrace{\left[ \frac{b_ms^m + \dots + b_1s + b_0}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0} \right]}_{G(s), \text{ transfer func}} U(s)$$

$$Y(s) = G(s)U(s) \quad (\text{TF model})$$

## Graphical Representation



Careful: whenever you use the transfer func<sup>n</sup> model, you are assuming zero initial cond<sup>n</sup>s

For non-zero initial cond<sup>n</sup>s:

$$Y(s) = G(s)U(s) + \text{polynomial}$$

depending on:  $y(0) \dot{y}(0)$

zero input response

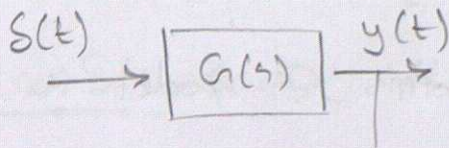
$$s^n + a_{n-1}s^{n-1} + \dots$$



## Impulse Response:

Dirac delta func<sup>n</sup>:  $\delta(t)$

a system:  $Y(s) = G(s) \cdot U(s)$  in  $s$ -dom



$\delta(t) = u(t)$   
we have  $\mathcal{L}\{g(t) * u(t)\} = G(s)U(s)$

$$Y(s) = \mathcal{L}\{g(t) * \delta(t)\} = G(s) \cdot 1 = G(s)$$

$$y(t) = g(t) = \mathcal{L}^{-1}\{G(s)\} \text{ if input is } \delta(t)$$

$\Rightarrow$  The impulse response can be measured experimentally to identify the system's transfer function

## Transfer Fun<sup>n</sup> State Space

$$A: n \times n$$

$$B: n \times 1$$

$$C: 1 \times n$$

$$D: 1 \times 1$$

$$\begin{cases} \dot{x} = Ax + Bu \\ y = Cx + Du \end{cases}$$

$$\text{assume } x(0) = 0 = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

$$X(s) = \mathcal{L}\{x(t)\} = \begin{bmatrix} \mathcal{L}\{x_1(t)\} \\ \vdots \\ \mathcal{L}\{x_n(t)\} \end{bmatrix} \quad \begin{cases} Y(s) = C X(s) + D U(s) \\ Y(s) = C \end{cases}$$

$$U(s) = \mathcal{L}\{u(t)\} \quad Y(s) = \mathcal{L}\{y(t)\}$$

$$\mathcal{L}\{\dot{x}\} = sX(s) = AX(s) + BU(s)$$

$n \times n$  identity matrix

$$(sI_n - A)X(s) = B \cdot U(s)$$

$$X(s) = (sI_n - A)^{-1} \cdot B \cdot U(s)$$



$$Y(s) = CX(s) + DU(s)$$

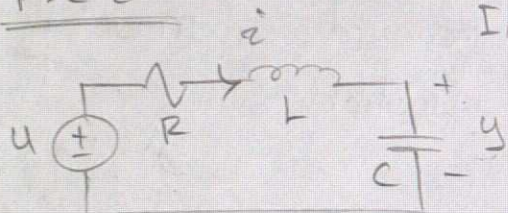
$$= \underbrace{[C \cdot (sI_n - A)^{-1} B + D]}_{G(s)} \cdot U(s)$$

\* review dimensions

the transfer function associated with this s.s. model is:

$$G(s) = \underbrace{C}_{1 \times n} \cdot \underbrace{(sI_n - A)^{-1}}_{n \times n} \cdot \underbrace{B}_{n \times 1} + \underbrace{D}_{1 \times 1} \Rightarrow G(s) \text{ is } n \times n$$

RLC ckt



$$I/O: LC\ddot{y} + RC\dot{y} + y = U$$

$$G(s) = \frac{1}{LCs^2 + RCs + 1}$$

LES

from Lec 2:

if set  $x_1 = y$  and  $x_2 = \dot{y}$

$$\begin{cases} \dot{x} = \begin{bmatrix} 0 & 1/L \\ -1/L & -R/L \end{bmatrix} x + \begin{bmatrix} 0 \\ 1/L \end{bmatrix} U \\ y = \begin{bmatrix} 1 & 0 \end{bmatrix} x \end{cases} \quad D=0$$

$$G(s) = C(sI_n - A)^{-1} \cdot B + D$$

$$= \frac{1}{L} [1 \ 0] \cdot \begin{bmatrix} s & -1/L \\ 1/L & s + R/L \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$= \frac{1}{L} [1 \ 0] \frac{\begin{bmatrix} s + R/L & 1/L \\ -1/L & s \end{bmatrix}}{\det[\quad]} \cdot \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

pick up ... of  $[\quad]^{-1}$



$$G(s) = \frac{1}{L} \frac{1}{s^2 + \frac{R}{L}s + \frac{1}{LC}} \times \frac{1}{C}$$

same as I/O

$$\Rightarrow G(s) = \frac{1/LC}{s^2 + \frac{R}{L}s + \frac{1}{LC}}$$

$h(s) \rightarrow$  review  
second order matrix

Lec 3: PMDC Model, set  $x_1 = \theta$ ,  $x_2 = \dot{\theta}$ ,  $x_3 = ia$ ,  $x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$

$y = \dot{\theta}$

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & -\frac{b}{I} & \frac{k_r}{I} \\ 0 & -\frac{v_c}{La} & -\frac{R_a}{La} \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ \frac{1}{La} \end{bmatrix} u$$

$$y = [0 \ 1 \ 0] x \quad D=0$$

$$G(s) = [0 \ 1 \ 0] \cdot \begin{bmatrix} s & -1 & 0 \\ 0 & s + \frac{b}{I} & -\frac{k_r}{I} \\ 0 & \frac{v_c}{La} & s + \frac{R_a}{La} \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \cdot \frac{1}{La}$$

element (row 2, col 3) of  $[ ]^{-1}$  you pick up

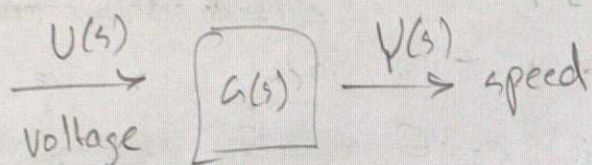
$\downarrow$   
cofactor (3,2) of  $[ ]^{-1} \Rightarrow -\det \begin{bmatrix} s & 0 \\ 0 & -\frac{k_r}{I} \end{bmatrix}$

$$G(s) = \frac{s \cdot k_r}{I} \frac{1}{s \cdot \det \begin{bmatrix} s + \frac{b}{I} & -\frac{k_r}{I} \\ \frac{v_c}{La} & s + \frac{R_a}{La} \end{bmatrix}} \times \frac{1}{La}$$

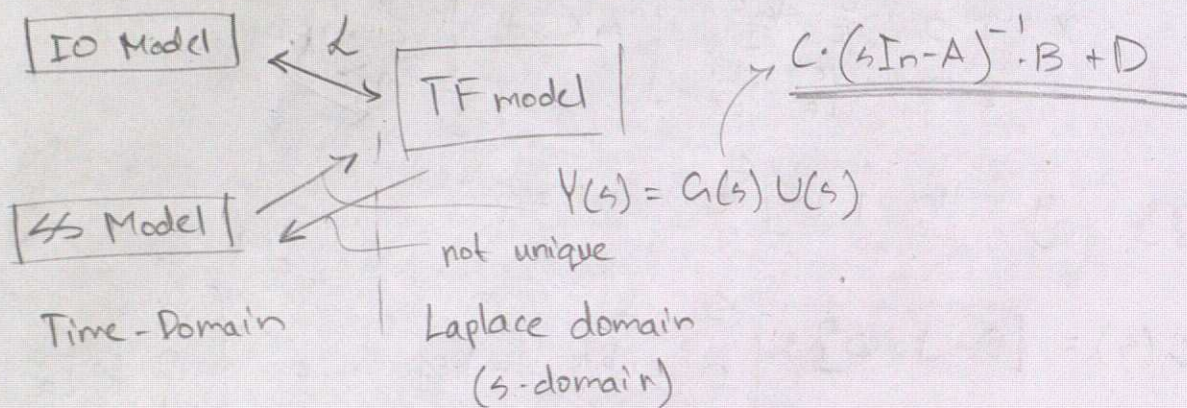
$$= \frac{k_r}{\left( s^2 + \left( \frac{b}{I} + \frac{R_a}{La} \right) s + \frac{v_c k_r + b R_a}{I La} \right)} \cdot \frac{1}{La I}$$



$$G(s) = \frac{K_e / (L_a \cdot I)}{s^2 + \left(\frac{b}{I} + \frac{R_a}{L_a}\right)s + \frac{K_e K_e + b R_a}{I \cdot L_a}}$$



system modelling



- going from TF model to SS model not unique since SS model wasn't unique to begin with
- now, we will learn this



\* Given a TF  $G(s)$  and therefore, a system:  $Y(s) = G(s)U(s)$

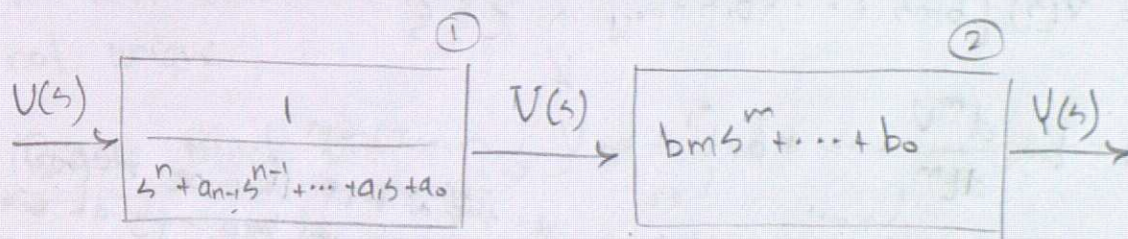
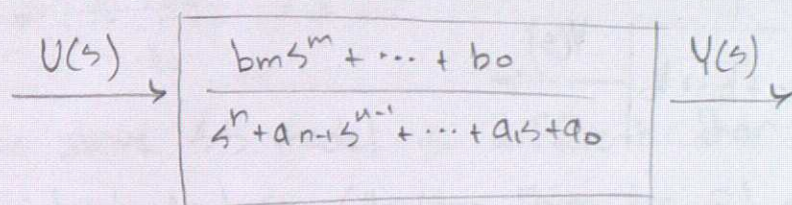
$\Rightarrow$  Find  $A, B, C, D$  s.t.  $C \cdot (sI_n - A)^{-1} \cdot B + D = G(s)$

$$\begin{cases} \dot{x} = Ax + Bu \\ y = Cx + Du \end{cases}$$

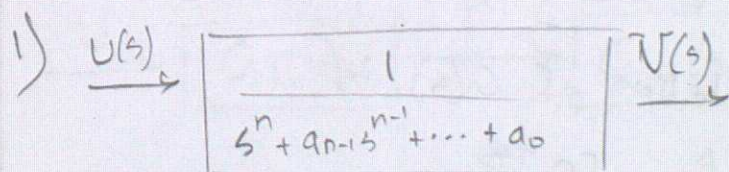
$\Rightarrow$  since this state space model can only produce rational proper TF's, we have

$$G(s) = \frac{bm s^m + \dots + b_0}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0}, \quad m \leq n$$

\* Following, we assume  $m < n \leftarrow$  strictly proper



\* Address the blocks separately



$$U(s) = (s^n + a_{n-1}s^{n-1} + \dots + a_0) V(s)$$

$\hookrightarrow \mathcal{L}^{-1}\{\}$

$$u = \frac{d^n V}{dt^n} + a_{n-1} \frac{d^{n-1} V}{dt^{n-1}} + \dots + a_0 V$$

$\downarrow$  next pg.

if we choose:

$$x = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} \text{ as } \begin{cases} x_1 = V \\ x_2 = \dot{V} \\ \vdots \\ x_n = \frac{d^n V}{dt^n} \end{cases}$$

$\Downarrow$  same as

$$\begin{aligned} x_2 &= \dot{x}_1 \\ x_3 &= \dot{x}_2 \\ &\vdots \\ x_n &= \dot{x}_{n-1} \end{aligned}$$

(\*)



$$\dot{x}_n = -a_0 V - a_1 \dot{V} - \dots - a_{n-1} \frac{d^{n-1}V}{dt^{n-1}} + U$$

$$\textcircled{uv} = -a_0 x_1 - a_1 x_2 - \dots - a_{n-1} x_n + U$$

now we replaced all derivatives with our state variables

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 & 0 & \dots & 0 \\ 0 & 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 & 1 \\ -a_0 & -a_1 & \dots & -a_{n-1} & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix} U$$

$B$

$\textcircled{uv} \{ A$

$$2) \quad V(s) \rightarrow \boxed{b_m s^m + \dots + b_1 s + b_0} \rightarrow Y(s)$$

$$Y(s) = V(s) [b_m s^m + \dots + b_1 s + b_0] \rightarrow \mathcal{L}^{-1} \{ \}$$

$$y(t) = b_m \frac{d^m V}{dt^m} + \dots + b_1 \dot{V} + b_0 V \quad m < n$$

$\underbrace{\quad}_{x_{m+1}} \quad \underbrace{\quad}_{x_2}$

you stop at  $m+1$ , these are  $n-(m+1)$  which you keep empty at zero

$$\rightarrow y(t) = [b_0 \ b_1 \ \dots \ b_m \ 0 \ \dots \ 0] \cdot x$$

$\Rightarrow$  Conclusion: a ss representation of  $G(s)$  is

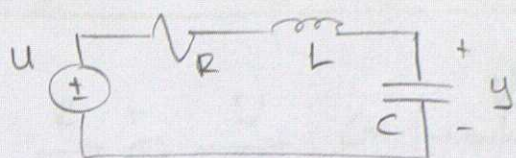
$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 & 0 & \dots & 0 \\ 0 & 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 & 1 \\ -a_0 & -a_1 & \dots & -a_{n-1} & 0 & 0 \end{bmatrix} \cdot x + \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix} \cdot U$$

$A$   $B$

$$y = [b_0 \ b_1 \ \dots \ b_m \ 0 \ \dots \ 0] \cdot x \quad C \quad D=0$$



## RLC Example



$$LC \frac{d^2 y}{dt^2} + RC \frac{dy}{dt} + y = u$$

$n=2$

$$\ddot{y} + \underbrace{\frac{R}{L}}_{a_1} \dot{y} + \underbrace{\frac{1}{LC}}_{a_0} y = \underbrace{\frac{1}{LC}}_{b_0} u$$

$$x_1 = L\dot{y}$$

$$x_2 = L\ddot{y}$$

$$\dot{x} = \begin{bmatrix} 0 & 1 \\ -\frac{1}{LC} & -\frac{R}{L} \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$

$\underbrace{\quad}_{-a_0} \quad \underbrace{\quad}_{-a_1}$

① first make ODE into standard form

② get a's and b's

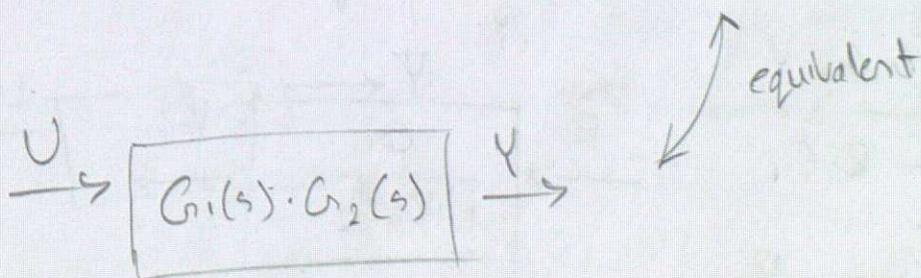
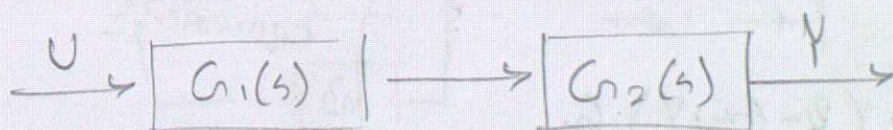
③ put it into

$$y = \underbrace{\begin{bmatrix} 1/LC & 0 \end{bmatrix}}_{b_0} \cdot x \quad D=0$$

the above ss model is different than the ss model we got in last lecture (the one from lec 2) since ss model is not unique

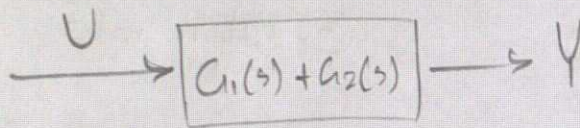
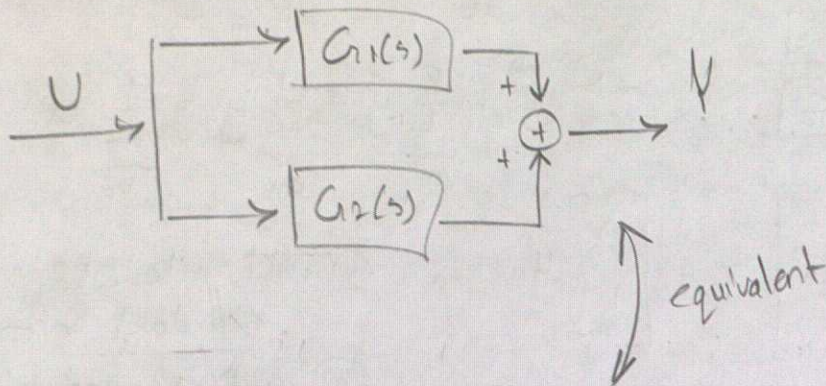
## Basic Block Diagram

cascaded connection:

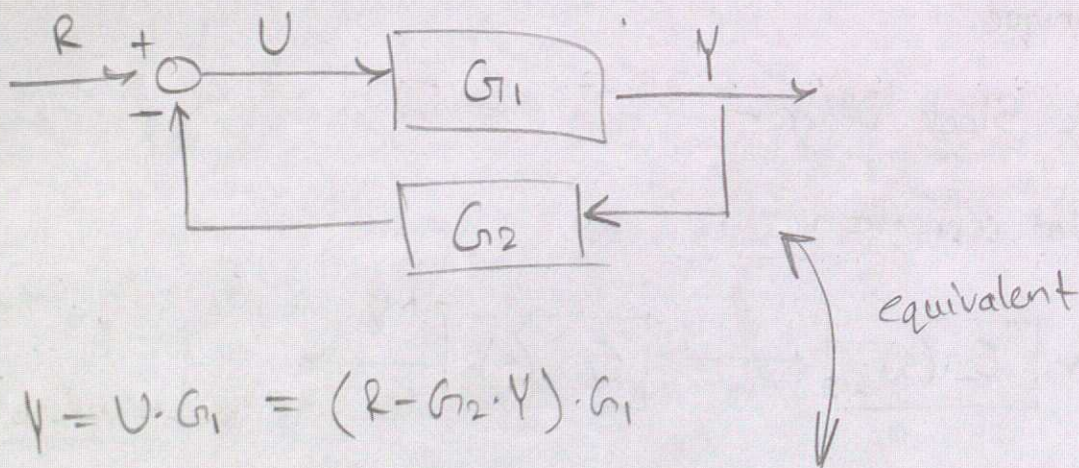




\* parallel connect



feedback connect

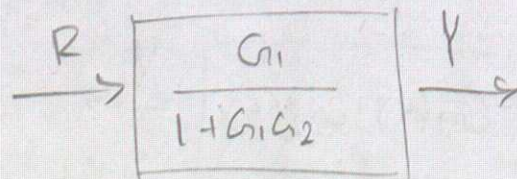


$$Y = U \cdot G_1 = (R - G_2 \cdot Y) \cdot G_1$$

$$Y = R G_1 - G_1 G_2 \cdot Y$$

$$Y(1 + G_1 G_2) = R \cdot G_1$$

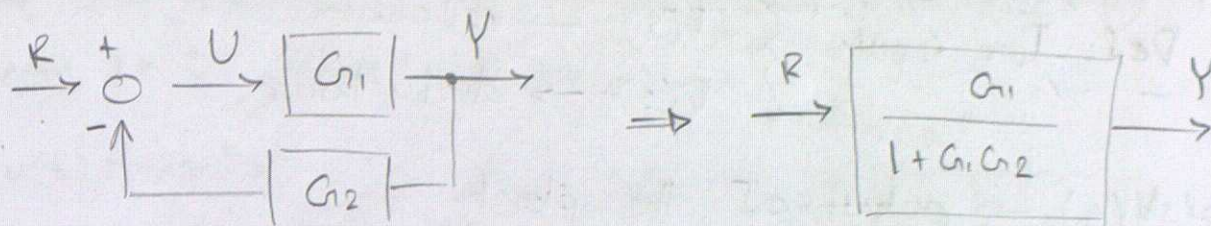
$$Y = \frac{G_1}{1 + G_1 G_2} \cdot R$$



learn  
→

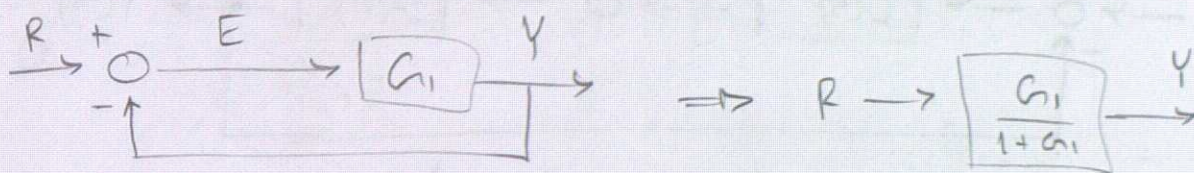


# Block Manipulation Example



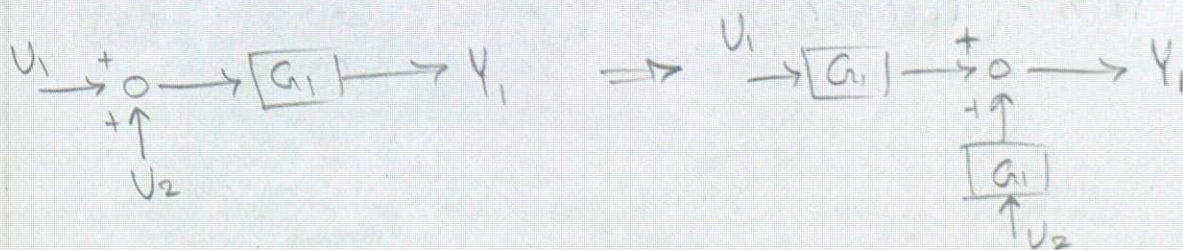
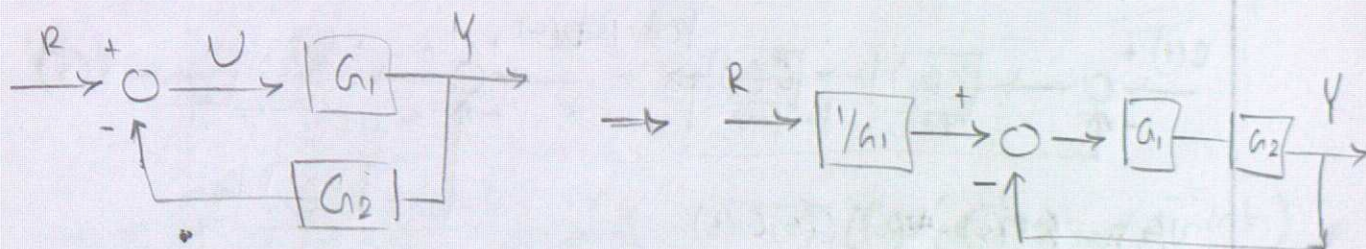
$$U = R - Y G_2 = R - R \left( \frac{G_1}{1 + G_1 G_2} \right) = R \left( \frac{1}{1 + G_1 G_2} \right)$$

In particular,  $G_2 = 1$



$$E = R - Y = R \left( \frac{1}{1 + G_1} \right)$$

want this to be close to zero



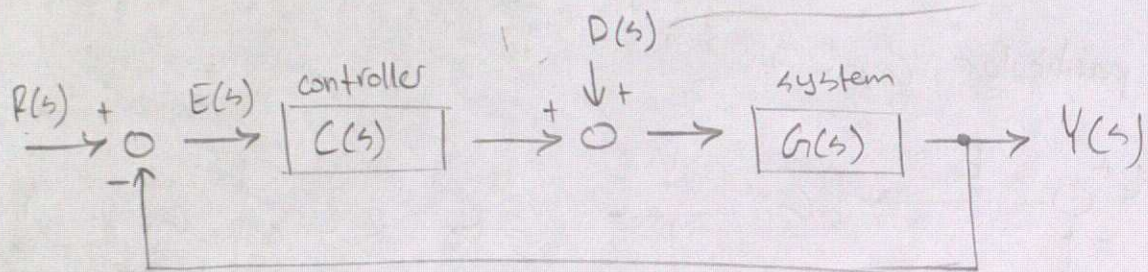


## Basic Feedback Control Loop

Def: Two inputs  $\begin{cases} R(s) \rightarrow \text{reference} \\ D(s) \rightarrow \text{disturbance} \end{cases}$

$Y(s) \rightarrow$  output of the plant

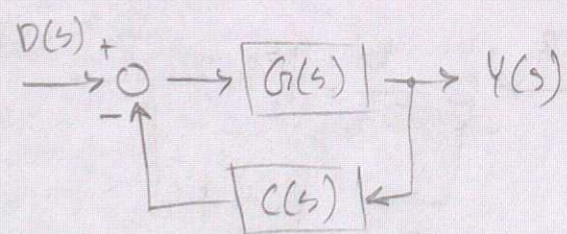
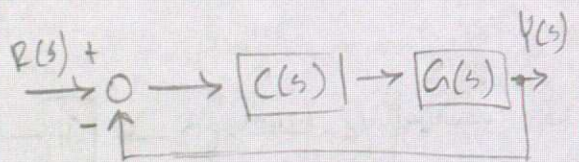
$$E(s) = R(s) - Y(s) \quad (\text{tracking error})$$



Use superposition:  $Y(s) = Y_R(s) + Y_D(s)$

$Y(s)$  when  $D(s) = 0$

$Y(s)$  when  $R(s) = 0$



$$Y(s) = (R(s) - Y(s)) C(s) G(s)$$

$$Y(s) = R(s) C(s) G(s) - Y(s) C(s) G(s)$$

$$Y(s) (1 + C(s) G(s)) = R(s) C(s) G(s)$$

$$\Rightarrow Y_R(s) = \left( \frac{C(s) G(s)}{1 + C(s) G(s)} \right) R(s)$$

$$Y(s) = (D(s) - C(s) Y(s)) G(s)$$

$$Y(s) = D(s) G(s) - C(s) G(s) Y(s)$$

$$Y(s) (1 + C(s) G(s)) = D(s) G(s)$$

$$Y_D(s) = \left( \frac{G(s)}{1 + C(s) G(s)} \right) D(s)$$



## Time Response:

⇒ time response of Complex Conjugate poles (lec #7)

Recall PMDC motor example:

$u(t)$ : terminal

$y(t)$ : motor shaft speed

$$G(s) = \frac{k_e/LaI}{s^2 + \left(\frac{b}{I} + \frac{Ra}{La}\right)s + \frac{k_e k_c + Rab}{LaI}}$$

Assume:  $u(t) = V_0 \cdot 1(t)$  → " $1(t)$ " is unit step function

⇒ understand  $y(t)$  with simple math (assume numerical values)

$$G(s) = \frac{1}{s^2 + 2s + 2}$$

$$u(t) = V_0 \cdot 1(t) \xrightarrow{1} U(s) = \frac{V_0}{s}$$

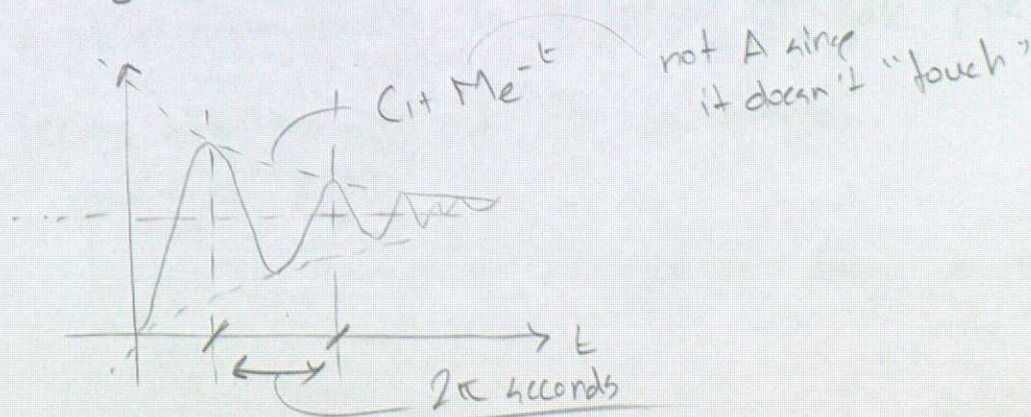
$$\Rightarrow Y(s) = U(s)G(s) = \frac{V_0}{s} \cdot \frac{1}{s^2 + 2s + 2} = \frac{V_0}{s[(s+1)^2 + 1]} \quad \begin{matrix} p = -1 \pm j \\ p = 0 \end{matrix}$$

$$Y(s) = \frac{C_1}{s} + \frac{C_2s + C_3}{(s+1)^2 + 1} = \frac{C_1}{s} + C_2 \frac{s}{(s+1)^2 + 1} + \frac{C_3}{(s+1)^2 + 1}$$

$$= \frac{C_1}{s} + C_2 \frac{(s+1)-1}{(s+1)^2 + 1} + \frac{C_3}{(s+1)^2 + 1} \rightarrow C_2 (e^{-t} \cos t - e^{-t} \sin t)$$

→ can combine trig funcs

$$\rightarrow y(t) = C_1 + A e^{-t} \sin(t + \phi) \quad A, \phi \text{ depend on } C_2, C_3$$





## Final Value Theorem

Make a connection between  $f(t)$  for large  $t$  and  $F(s)$  for small  $s$ :

$$\lim_{t \rightarrow \infty} f(t) = \lim_{s \rightarrow 0} sF(s) \quad \text{if the limit exists}$$

$$\mathcal{L}\{f'(t)\} = \int_0^{\infty} f'(t) e^{-st} dt = sF(s) - f(0)$$

$$\text{if } s=0: \int_0^{\infty} f'(t) \cdot 1 dt = \lim_{t \rightarrow \infty} f(t) - f(0) = sF(s) - f(0)$$

$$\Rightarrow \lim_{t \rightarrow \infty} f(t) = \lim_{s \rightarrow 0} sF(s)$$

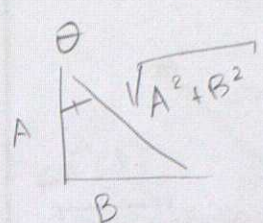
$$\text{Ex: } F(s) = \frac{1}{s} - \frac{1}{s+1} \xrightarrow{\mathcal{L}^{-1}} f(t) = 1 - e^{-t}$$

$$\lim_{t \rightarrow \infty} f(t) = \lim_{s \rightarrow 0} sF(s) = s \left( \frac{1}{s} - \frac{1}{s+1} \right) = 1 - \frac{0}{0+1} = 1$$

$$\text{but for } F(s) = \frac{s}{s^2 + \omega^2} \xrightarrow{\mathcal{L}^{-1}} f(t) = \cos \omega t$$

$$\therefore \lim_{t \rightarrow \infty} f(t) \text{ DNE} \Rightarrow \text{Final Val. Thm. doesn't apply}$$



$$A \sin x + B \cos x = \sqrt{A^2 + B^2} \left( \underbrace{\frac{A}{\sqrt{A^2 + B^2}}}_{\cos \theta} \sin x + \underbrace{\frac{B}{\sqrt{A^2 + B^2}}}_{\sin \theta} \cos x \right)$$


$$\Rightarrow \sqrt{A^2 + B^2} (\cos \theta \sin x + \sin \theta \cos x)$$

$$= \sqrt{A^2 + B^2} \cdot \sin(x + \theta)$$

### Generalized Function

$$\text{output } Y(s) = \frac{N(s)}{D(s)} = \frac{N(s)}{(s-p_1) [(s+\sigma)^2 + \omega_d^2]^k \cdot (s-p_1)^k [(s+\sigma)^2 + \omega_d^2]^k \dots}$$

pole at  $p_1 = p_1$ 
poles at  $-\sigma \pm j\omega_d$

$$Y(s) = \frac{N(s)}{D(s)} = \frac{C_1}{s-p_1} + \frac{C_2 s + C_3}{(s+\sigma)^2 + \omega_d^2} + \dots$$

LTI system:  $y(t)$  is a linear combination of "elementary elements" of three types

- 1) A term corresponding to a real pole  $\frac{1}{s-p_1}$
- 2) A term corresponding to a pair of conjugate poles  $\frac{\dots}{(s+\sigma)^2 + \omega_d^2}$
- 3) Terms involving repeated real or complex conjugate poles

$\Rightarrow$  our focus is 1) and 2)



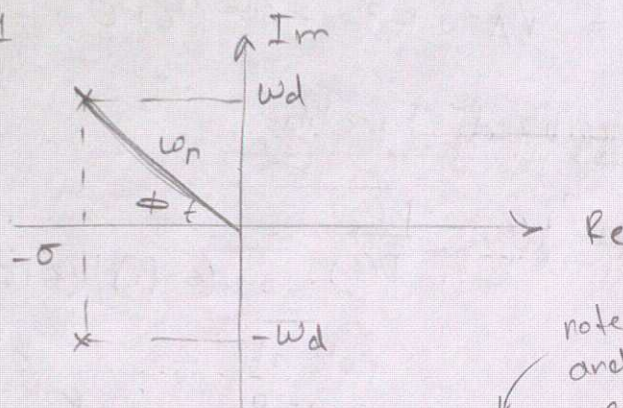
# Representations of Complex Conjug. Poles

A  $\rightarrow$  
$$Y(s) = \frac{\sigma^2 + \omega_d^2}{(s + \sigma)^2 + \omega_d^2}, \quad s = -\sigma \pm j\omega_d$$

B  $\rightarrow$  
$$Y(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}, \quad s = -\zeta\omega_n \pm j\omega_n \sqrt{1 - \zeta^2}$$
  
 damping ratio      natural frequency

$$\begin{cases} \zeta = \frac{\sigma}{\sqrt{\sigma^2 + \omega_d^2}} & |\zeta| \leq 1 \\ \omega_n = \sqrt{\sigma^2 + \omega_d^2} \end{cases}$$

$$\cos \phi = \frac{\sigma}{\omega_n} = \frac{\sigma}{\sqrt{\sigma^2 + \omega_d^2}} = \zeta$$



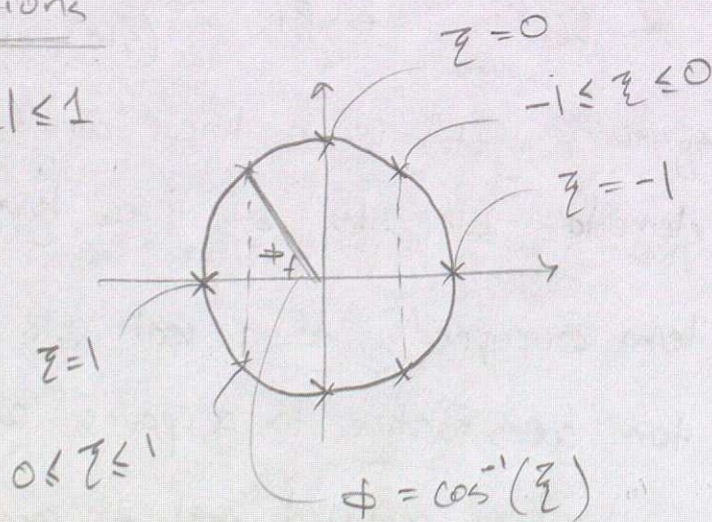
note direction and (+)ve conventions

Form A shows (x,y) of pole, Form B shows (r, phi)

## Geometric Interpretations

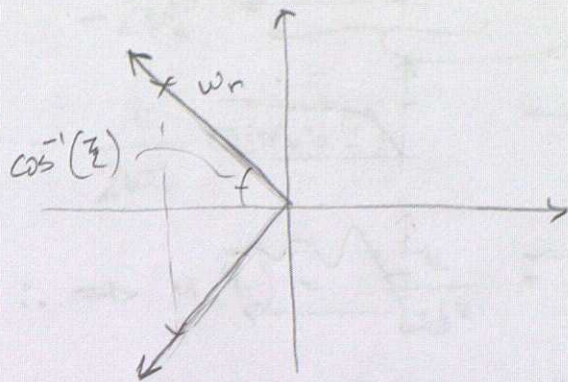
1)  $\omega_n = \text{constant}, |\zeta| \leq 1$

$$\zeta = \frac{\sigma}{\sqrt{\sigma^2 + \omega_d^2}}$$





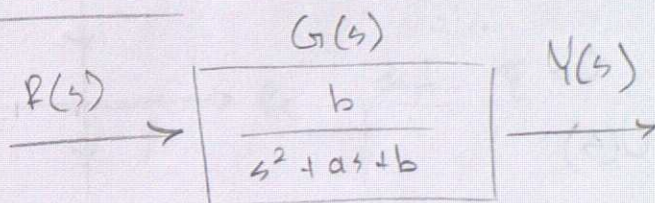
2)  $\xi = \text{constant}$  and vary  $\omega_n : \omega_n \in (0, +\infty)$



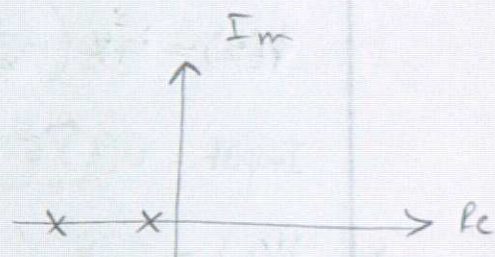
$\xi$  fixed, angle fixed

increase  $\omega_n$ , poles get further from origin along same line with fixed  $\phi$

### Poles Plot

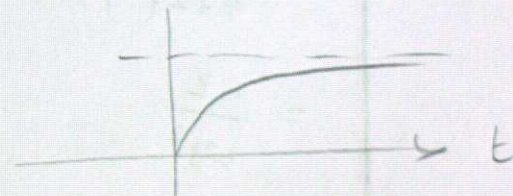
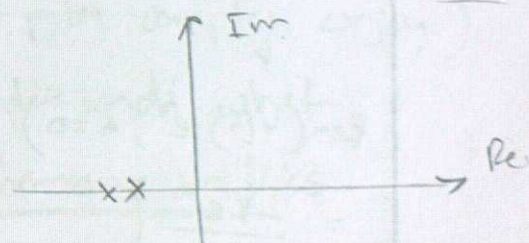
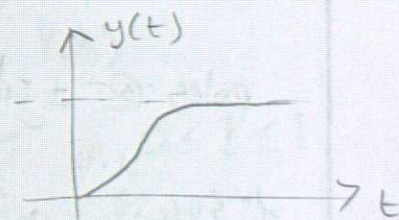


1)  $R(s) = \frac{1}{s} \rightarrow \boxed{\frac{9}{s^2 + 9s + 9}} \rightarrow Y(s)$



$\xi > 1$ , overdamped

2)  $R(s) = \frac{1}{s} \rightarrow \boxed{\frac{9}{s^2 + 6s + 9}} \rightarrow Y(s)$

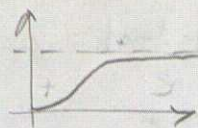
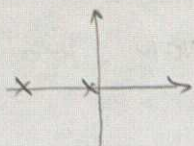


if  $\xi$  is large, then the poles are further, and if  $\xi$  is smaller, then the poles are closer together

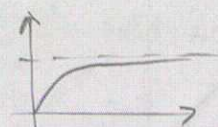
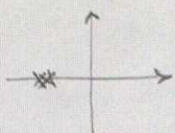
you reduce  $\xi$  to see effect



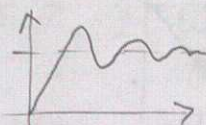
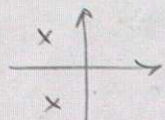
•  $\zeta > 1$ , overdamped



•  $\zeta = 1$ , critically damped



•  $0 \leq \zeta \leq 1$ , underdamped



• tradeoff b/w tracking speed and controller stability

Example

$$Y(s) = -K \left( \frac{1}{s^2 + \omega_d^2} \right) \cdot U(s)$$

Input =  $u(t) = 1(t) \xrightarrow{\mathcal{L}} U(s) = 1/s$

$\rightarrow Y(s) = -K \left( \frac{1}{s(s^2 + \omega_d^2)} \right) \leftarrow \text{no final value, keep it in mind}$

poles @  $\pm j\omega_d$

$$\mathcal{L}^{-1}\{Y(s)\} = y(t) = \underbrace{y_0}_{\text{Res}(Y(s)e^{st}, s=0)} + \underbrace{A \sin(\omega_d t + \phi)}_{\text{Res}(Y(s)e^{st}, s=\pm j\omega_d)}$$

$$\text{Res}(Y(s)e^{st}, s=0)$$

$$= \frac{-Ke^{st}}{s^2 + \omega_d^2} \Big|_{s=0}$$

$$= \frac{-K}{\omega_d^2}$$

$$\text{Res}(Y(s)e^{st}, s=j\omega_d) + \text{Res}(Y(s)e^{st}, s=-j\omega_d)$$

$$= \frac{-Ke^{st}}{s \cdot (s + j\omega_d)} \Big|_{s=j\omega_d} + \frac{-Ke^{st}}{s \cdot (s - j\omega_d)} \Big|_{s=-j\omega_d}$$

$$= \frac{-Ke^{j\omega_d t}}{j\omega_d \cdot 2j\omega_d} + \frac{-Ke^{-j\omega_d t}}{-j\omega_d (-2j\omega_d)}$$



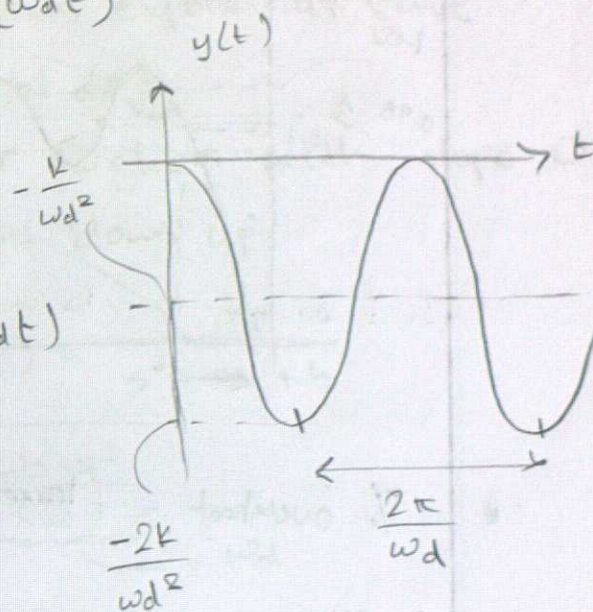
$$= \frac{-k}{-2\omega_d^2} \left( e^{j\omega_d t} + e^{-j\omega_d t} \right) 2\cos(\omega_d t)$$

$$= \frac{k}{2\omega_d^2} \cos(\omega_d t)$$

$$\therefore \Rightarrow y(t) = \frac{-k}{\omega_d^2} + \frac{k}{2\omega_d^2} \cos(\omega_d t)$$

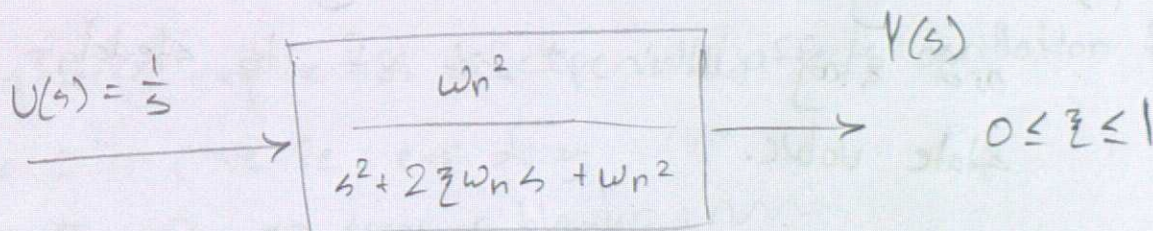
$$I_m = \frac{k}{\omega_d^2} (-1 + \cos \omega_d t)$$

Re and  $\zeta = 0$



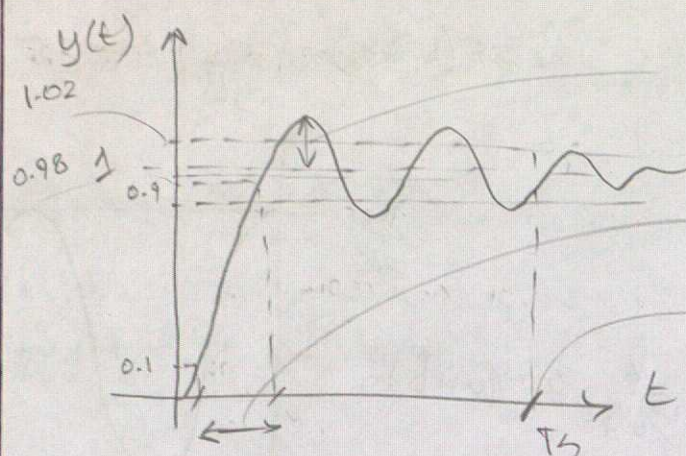
## Control Specifications of 2nd Order System

Objective:



Using such a second order system, (2 poles, complex conjug.) in the left half plane (LHP) to understand what parameters of  $y(t)$  constitutes performance metrics that could represent control specs





% overshoot or % os

rise time  $T_r$

settling time  $T_s$

\* 
$$\% \text{ overshoot} = \frac{(\text{largest peak value}) - (\text{steady state value})}{\text{steady state value}}$$

\*  $T_r$  : time for  $y(t)$  to go from 10% to 90% of its steady state value

\*  $T_s$  : settling time is time for  $y(t)$  to reach and stay within  $\pm 2\%$  of its steady-state value.



Week 4 Lec 2 cont'd

Sep 28, 25

- For real poles  $\frac{1}{s+a}$ , your  $y(t)$  follows exponential curve
  - ↳ if  $a$  on (-) Re axis: exponential decay
  - ↳ if  $a$  at origin: you have linear function with slope 0
  - ↳ if  $a$  on (+) Re axis: exponent blows up.

- For complex conjugate poles:  $Y(s) = \frac{b}{s^2 + as + b}$

$$s = -\frac{a}{2} \pm \frac{\sqrt{a^2 - 4b}}{2} = \underbrace{-\frac{a}{2}}_{\sigma} \pm j \underbrace{\frac{\sqrt{4b - a^2}}{2}}_{\omega_d}$$

$$Y(s) = \frac{\sigma^2 + \omega_d^2}{(s + \sigma)^2 + \omega_d^2} \rightarrow \frac{\sigma^2 + \omega_d^2}{\omega_d} \cdot \frac{\omega_d}{(s + \sigma)^2 + \omega_d^2}$$

$$y(t) = \underbrace{\frac{\sigma^2 + \omega_d^2}{\omega_d}}_{\text{some magnitude}} e^{-\sigma t} \sin(\omega_d t) \quad \leftarrow \mathcal{L}^{-1}\{\}$$

- $\sigma$  affects exponential envelope,  $\omega_d$  affects oscillation freq.

↳  $\sigma$  in (-)ve Re: exp. decay: ~~~~~

↳  $\sigma = 0$ : no decay or blowup: ~~~~~

↳  $\sigma$  in (+)ve Re: blow up: ~~~~~

↳ increase  $\omega_d \rightarrow$  increase freq and vice versa

1)  $Y(s) = \frac{b}{s^2 + as + b}$

$$s = -\frac{a}{2} \pm j \frac{\sqrt{4b - a^2}}{2}$$

2)  $Y(s) = \frac{\sigma^2 + \omega_d^2}{(s + \sigma)^2 + \omega_d^2}$

$$s = -\sigma \pm j \omega_d$$

3)  $Y(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$

$$s = -\zeta\omega_n \pm j\omega_n\sqrt{1 - \zeta^2}$$

$\sigma = \zeta\omega_n$  ①

$\omega_d = \omega_n\sqrt{1 - \zeta^2}$  ②

③  $\zeta = \frac{\sigma}{\sqrt{\sigma^2 + \omega_d^2}}$

④  $\omega_n = \sqrt{\sigma^2 + \omega_d^2}$



Problem 1

$$\ddot{y} + 3\dot{y} - 2y = \ddot{u} - 3\dot{u} + 2u, \text{ assume IC} = 0$$

- i) Find the TF from  $u$  to  $y$   
 ii) Find ss representation

state space includes dynamic info about  $x \dots$  which is lost in the TF rep.

Laplace transform :

i)  $Y(s)(s^3 + 3s^2 - 2s + 1) = U(s)(s^2 - 3s + 2)$

$$\frac{Y(s)}{U(s)} = \frac{s^2 - 3s + 2}{s^3 + 3s^2 - 2s + 1} //$$

ii)  $\frac{Y(s)}{U(s)} = \frac{s^2 - 3s + 2}{s^3 + 3s^2 - 2s + 1} \cdot \frac{Z(s)}{Z(s)}$

split it up and take num. and den. separately

start with  $U(s)$

$$U(s) = (s^3 + 3s^2 - 2s + 1) \cdot Z(s) \xrightarrow{\mathcal{L}^{-1}\{\cdot\}}$$

$$u = \ddot{z} + 3\dot{z} - 2z + z$$

Let  $x = \begin{bmatrix} z \\ \dot{z} \\ \ddot{z} \end{bmatrix}$

select states smartly

$$\dot{x}_1 = x_2$$

$$\dot{x}_2 = x_3$$

$$\dot{x}_3 = -3x_3 + 2x_2 - x_1 + u$$

$$\dot{x} = \underbrace{\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & 2 & -3 \end{bmatrix}}_A x + \underbrace{\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}}_B u //$$



now do the output:

$$Y(s) = (s^2 - 3s + 2) Z(s) \quad \downarrow \mathcal{L}^{-1}\{\}$$

$$y = \ddot{z} - 3\dot{z} + 2z \quad \longrightarrow \text{already selected state when u did denom.}$$

$$\therefore y = x_3 - 3x_2 + 2x_1$$

$$y = \underbrace{[2 \ -3 \ 1]}_C x + \underbrace{[0]}_D u \quad //$$

Problem 2

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & -3 & -2 \end{bmatrix} x + \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} u \quad \left\{ \begin{array}{l} \text{find TF from } u \text{ to } y \\ F(s) = C(sI - A)^{-1}B + D \end{array} \right.$$

$$y = [1 \ 0 \ 0] x$$

Original way to solve: write our ODE's

$$\dot{x}_1 = x_2 + u \xrightarrow{\mathcal{L}} sX_1 = X_2 + U$$

$$\dot{x}_2 = x_3 + u \xrightarrow{\mathcal{L}} sX_2 = X_3 + U$$

$$\dot{x}_3 = -x_1 - 3x_2 - 2x_3 + u \xrightarrow{\mathcal{L}} sX_3 = -X_1 - 3X_2 - 2X_3 + U$$

$$y = x_1 \xrightarrow{\mathcal{L}} Y = X_1$$



$$\text{eq1} \quad X_2 = sX_1 - U \quad \longrightarrow X_2 \text{ as } f(X_1)$$

$$\begin{aligned} \text{eq2} \quad X_3 &= sX_2 - U \quad \longrightarrow X_3 \text{ as } f(X_2) \text{ where } X_2 = f(X_1) \\ &= s(sX_1 - U) - U \\ &= s^2X_1 - sU - U \end{aligned}$$

$$\text{eq3} \quad X_1 = -s(s^2X_1 - sU - U) - 3(sX_1 - U) - 2(s^2X_1 - sU - U) + U$$

$$\Rightarrow X_1 + 3sX_1 + 2s^2X_1 + s^3X_1 = 3U + 2sU + 2U + s^2U + sU + U$$

$$V = X_1 \quad \hookrightarrow V(s)(1 + 3s + 2s^2 + s^3) = U(s)(3 + 2s + 2 + s^2 + s + 1)$$

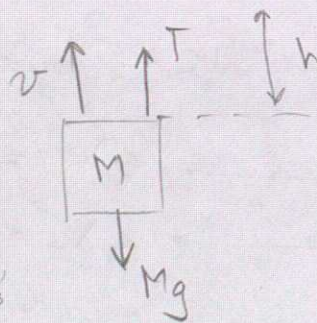
$$\Rightarrow \frac{V(s)}{U(s)} = \frac{s^2 + 3s + 6}{s^3 + 2s^2 + 3s + 1} //$$

### Problem 6

$$\text{a)} \quad h = -R\theta + h_0$$

$$\dot{h} = -R\dot{\theta}$$

$$\ddot{h} = -R\ddot{\theta}$$



$$(1) \quad I\ddot{\theta} = U - RT \quad \text{wheel}$$

$$(2) \quad M\ddot{h} = Mg - kv\dot{h} - T \quad \text{mass}$$

$$\rightarrow (3) \quad \ddot{h} = -R\ddot{\theta}$$



(3) into (2) :  $-MR\ddot{\theta} = M_g + k_v R\dot{\theta} - T$

sub into  
(1)  $T = MR\ddot{\theta} + M_g + k_v R\dot{\theta}$

$$\left[ \begin{aligned} (I + MR^2)\ddot{\theta} &= u - R(M_g + k_v R\dot{\theta}) \\ h &= -R\dot{\theta} \end{aligned} \right]$$

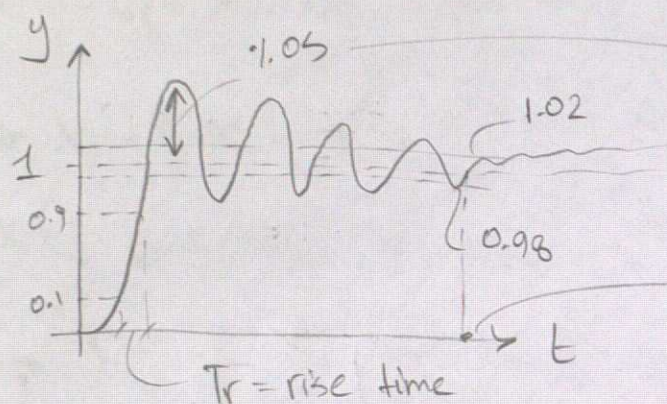


# Design Specs of 2nd Order Systems

$$G(s) = \frac{\omega_n^2}{s^2 + 2\omega_n \zeta s + \omega_n^2}$$

$$U(s) = \frac{1}{s}$$

$$\Rightarrow Y(s) = \frac{\omega_n^2}{s \cdot (s^2 + 2\omega_n \zeta s + \omega_n^2)}$$



## 2nd Order System

Assumptions: Our analysis will be based on:

- 1)  $G(s)$  has precisely 2 complex conjugate poles on LHP
- 2)  $G(s)$  has no zeroes

$\Rightarrow$  we will discuss what happens if assumptions do not hold

$$Y(s) = \frac{\omega_n^2}{s \cdot (s^2 + 2\zeta\omega_n s + \omega_n^2)}$$

$$\begin{cases} \sigma = -\zeta\omega_n \\ \omega_d = \omega_n \sqrt{1-\zeta^2} \end{cases}$$

$$= \frac{\sigma^2 + \omega_d^2}{s((s+\sigma)^2 + \omega_d^2)}$$

$\leftarrow$  let's  $\mathcal{L}^{-1}\{\}$

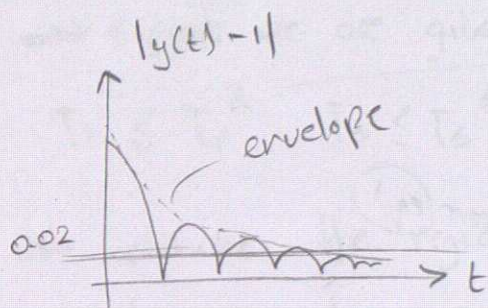


$$y(t) = 1 - e^{-\sigma t} \left[ \cos(\omega_d t) + \frac{\sigma}{\omega_d} \sin(\omega_d t) \right] \quad \text{--- eq I}$$

$$= 1 - \frac{e^{-\sigma t}}{\sqrt{1-\xi^2}} \sin(\omega_d t + \phi), \quad \phi = \cos^{-1}(\xi) \quad \text{--- eq II}$$

### Settling Time

look at  $|y(t) - 1|$  and find when  $|y(t) - 1| \leq 0.02$



$$y(t) = 1 - \frac{e^{-\sigma t}}{\sqrt{1-\xi^2}} \sin(\omega_d t + \phi)$$

$$|y(t) - 1| = \frac{e^{-\sigma t}}{\sqrt{1-\xi^2}} |\sin(\omega_d t + \phi)|$$

→ envelope

⇒ Approximation:

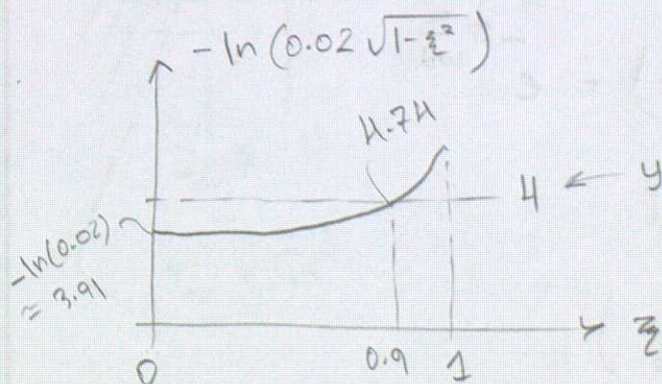
$T_s \approx$  time for envelope  $\frac{e^{-\sigma t}}{\sqrt{1-\xi^2}}$  enter and stay below  $0.02$

$$\frac{e^{-\sigma t}}{\sqrt{1-\xi^2}} = 0.02 \Rightarrow T_s = - \frac{\ln(0.02 \sqrt{1-\xi^2})}{\sigma}$$

$$T_s \approx \frac{4}{\xi \cdot \omega_n}$$

$$\sigma = \xi \cdot \omega_n$$

$$\therefore T_s \approx \frac{4}{\xi \cdot \omega_n}$$



← you can replace numerator with 4 since that's basically the value



### Rise Time

$$\text{find } t_1 > 0 \text{ s.t. } y(t_1) = 0.1$$

$$\text{find } t_2 > 0 \text{ s.t. } y(t_2) = 0.9$$

$$T_R = t_2 - t_1 \Rightarrow T_R \approx \frac{1.8}{\omega_n}$$

very rough

### % Overshoot

$$T_p: \text{first time } \dot{y}(t) = 0$$

$$\% OS = y(T_p) - 1$$

$$\dot{y}(t) = \frac{\omega_n}{\sqrt{1-\zeta^2}} e^{-\sigma t} \sin(\omega_d t)$$

from (eq 1)

$$\text{set } \dot{y}(t) = 0 = \underbrace{\frac{\omega_n}{\sqrt{1-\zeta^2}}}_{\neq 0} \underbrace{e^{-\sigma t}}_{\neq 0} \underbrace{\sin(\omega_d t)}_{=0}$$

$$\sin(\omega_d t) = 0$$

$$\omega_d t = k\pi$$

$$\Rightarrow t = \frac{k\pi}{\omega_d}$$

$$\therefore T_p = \frac{\pi}{\omega_d}$$

↓  
plug into  $y(T_p) - 1$

pick the first time, so  $k=1$

$$\Rightarrow \% OS = y\left(\frac{\pi}{\omega_d}\right) - 1$$

$$= e^{-\sigma \cdot \frac{\pi}{\omega_d}} \cos(\pi) = e^{-\sigma \frac{\pi}{\omega_d}}$$

$$= \exp\left(-\frac{\sigma \pi}{\omega_d}\right)$$

$$= \exp\left(-\frac{\zeta \pi}{\sqrt{1-\zeta^2}}\right) \parallel$$



Summary:

$$T_s = \frac{4}{\xi \omega_n} \quad T_r = \frac{1.8}{\omega_n} \quad \%OS = \exp\left(-\frac{\xi \pi}{\sqrt{1-\xi^2}}\right)$$

all these are valid for complex conjugate poles  
and no zeroes

⇒ suppose we are given performance specs: "design"

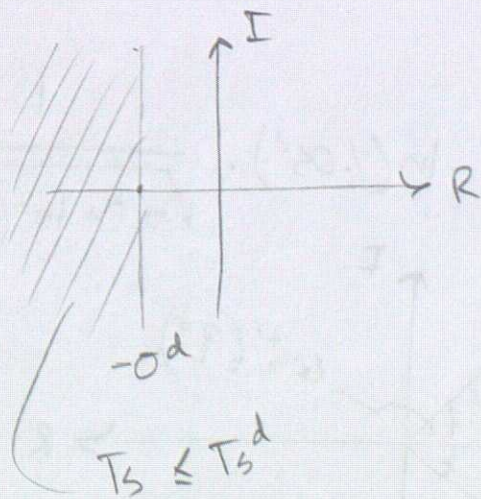
$$T_r \leq T_r^d \quad T_s \leq T_s^d \quad \%OS \leq \%OS^d$$

⇒ Determine the region of the complex plane where  
the two complex conjugate poles of  $\gamma(s)$  must be  
located in order to meet these specs

$T_s$  in  $s$  plane

$$T_s = \frac{4}{\xi \cdot \omega_n} = \frac{4}{\sigma} \leq T_s^d$$

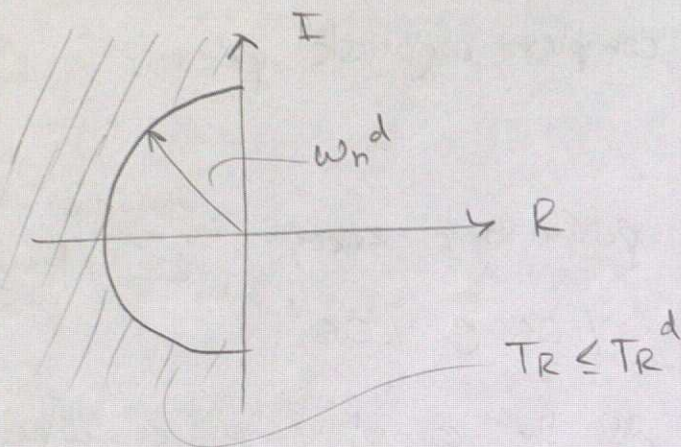
$$\Rightarrow \boxed{\therefore \sigma \geq \frac{4}{T_s^d} = \sigma^d}$$





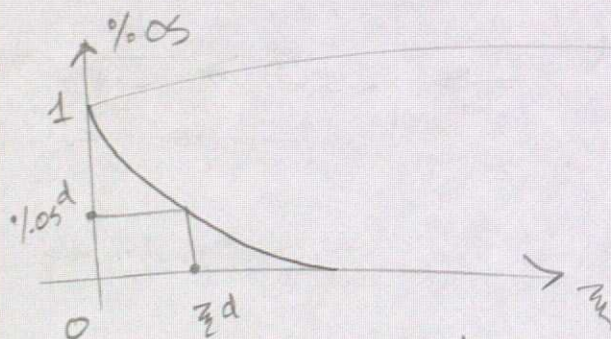
## TR in s-Plane

$$T_R = \frac{1.8}{\omega_n} \leq T_R^d \Rightarrow \boxed{\therefore \omega_n \geq \frac{1.8}{T_R^d} = \omega_n^d}$$



## % OS in s-Plane

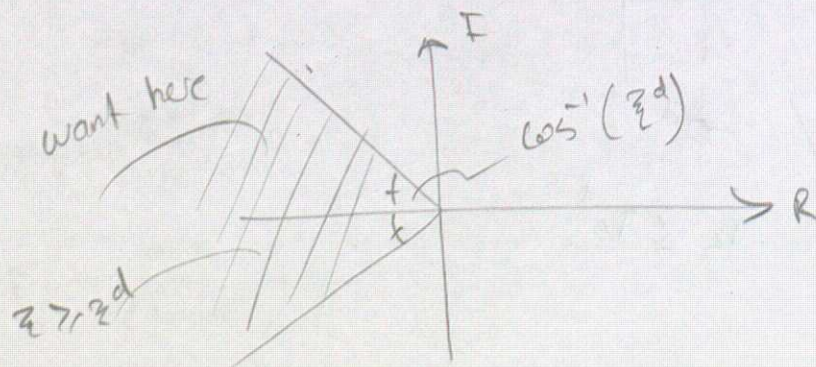
$$\%OS = \exp\left(-\frac{\xi\pi}{\sqrt{1-\xi^2}}\right) \leq \%OS^d$$



max oscillation since  
 $\xi = 0$  is undamped system

Find  $\xi^d \rightarrow \xi^d = -\ln(\%OS^d) \cdot \frac{1}{\sqrt{\pi^2 + \ln^2(\%OS^d)}}$

$\xi \gg \xi^d$





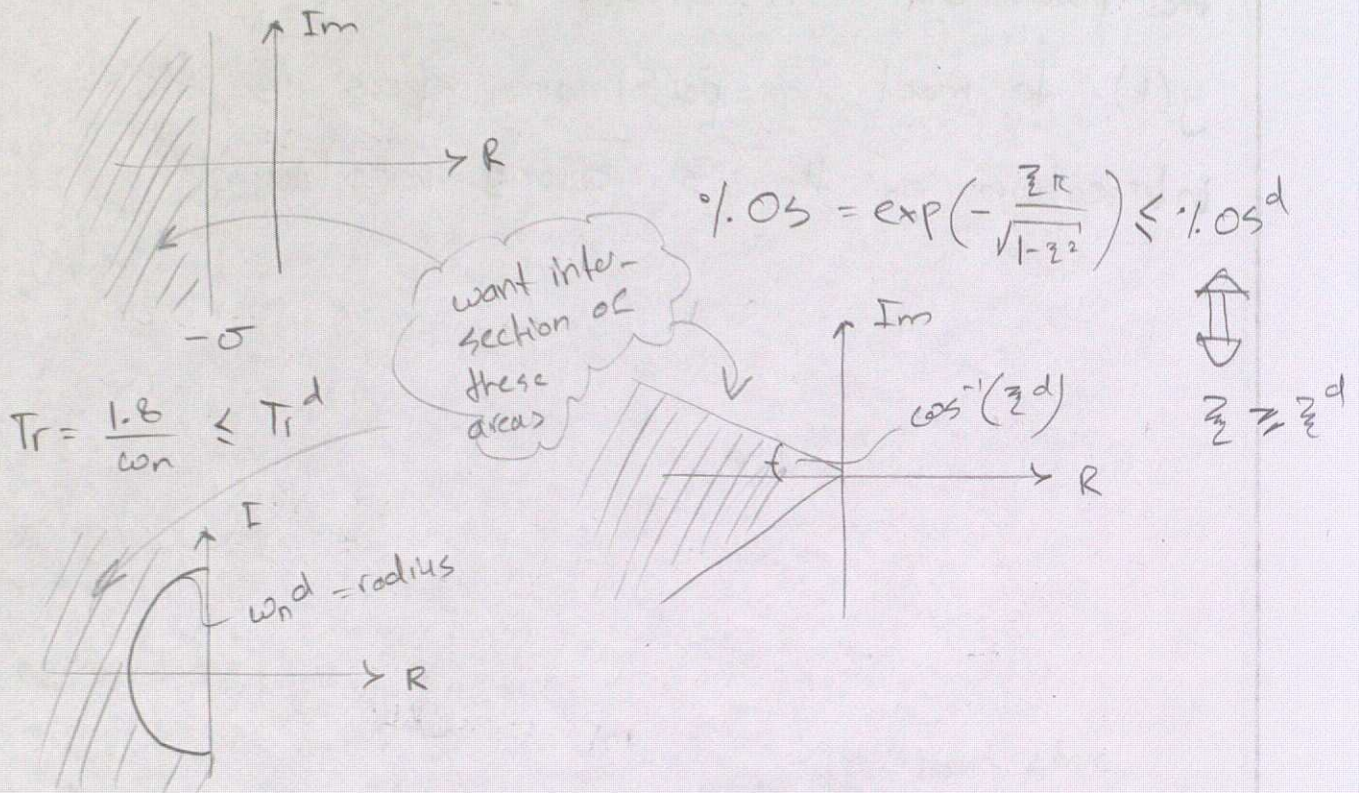
### Conclusion:

the allowable region in the complex plane where the poles of  $Y(s)$  should lie in order for  $y(t)$  to meet 3 performance specs is the intersection of the 3 regions mentioned



Recap:

$$T_s = \frac{4}{\zeta \omega_n} = \frac{4}{\sigma} \leq T_s^d$$



## PMDC Motor Control

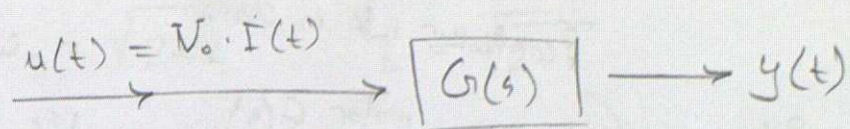
Use the numerical parameters from Lecture 10

$$G(s) = \frac{1}{s^2 + 2s + 2} = \frac{1}{2} \times \frac{2}{s^2 + 2s + 2} \quad \leftarrow \frac{\sigma^2 + \omega_d^2}{(s + \sigma)^2 + \omega_d^2} \times \frac{1}{2}$$

$$\begin{cases} \sigma = 1 \\ \omega_d = 1 \end{cases} \quad \text{or} \quad \begin{cases} \omega_n = \sqrt{2} \\ \zeta = \frac{1}{\sqrt{2}} \end{cases}$$

$$\frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$





1) Transient:  $T_r = \frac{1.8}{\omega_n} = 1.27 \text{ seconds}$

$$T_s = \frac{4}{\xi \omega_n} = \frac{4}{\sigma} = 4 \text{ seconds}$$

$$\%OS = \exp\left(-\frac{\pi}{\sqrt{1-\xi^2}}\right) = e^{-\pi} \approx 0.04 = 4\%$$

2) Steady State:

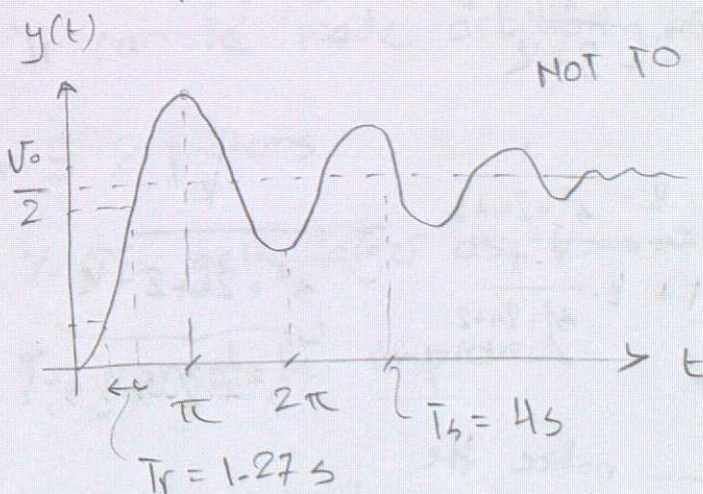
$$\lim_{t \rightarrow \infty} y(t)$$

we know the limit exists.

$$\lim_{s \rightarrow 0} s Y(s)$$

$$= \lim_{s \rightarrow 0} s \cdot \frac{1}{s^2 + 2s + 2} \cdot \frac{V_0}{s}$$

$$= \frac{V_0}{2}$$

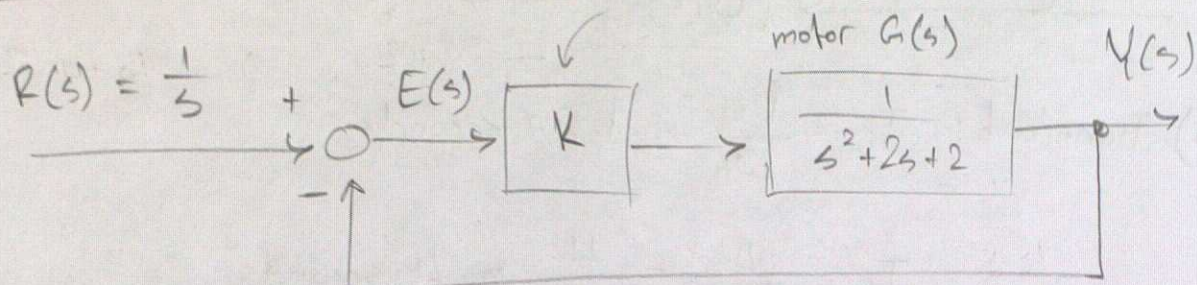


$T_s$  doesn't necessarily have to be on the  $y(t)$  since we used the envelope to get the formula



## P controller

P controller:  $K$  is Gain



$$E(s) = R(s) - Y(s)$$

$$= \frac{1}{1 + K G(s)} \cdot \frac{1}{s}$$

$$= \frac{s^2 + 2s + 2}{s(s^2 + 2s + 2 + K)}$$

$$\lim_{t \rightarrow \infty} e(t) = \lim_{s \rightarrow 0} s E(s) = \frac{2}{2 + K}$$

this means  
if  $K \rightarrow \infty$ ,  $e(t) \rightarrow 0$

$$\frac{Y(s)}{R(s)} = \frac{K \cdot G(s)}{1 + K G(s)} = \frac{K \cdot \frac{1}{s^2 + 2s + 2}}{1 + K \cdot \frac{1}{s^2 + 2s + 2}} = \frac{K}{s^2 + 2s + 2 + K}$$

$$s^2 + 2\omega_n s + \omega_n^2$$

$$\Rightarrow \omega_n = \sqrt{2 + K}$$

$$\xi = \frac{1}{\sqrt{2 + K}}$$

notice the  
 $K$  lets us tune  
our poles



$$\omega_n = \sqrt{2+k} \quad \xi = \frac{1}{\sqrt{2+k}}$$

$$k \rightarrow \infty: \omega_n \rightarrow \infty, \xi \rightarrow 0, T_r = \frac{1.8}{\omega_n} \rightarrow 0 \dots \text{faster response}$$

$$T_s = \frac{4}{\omega_n \xi} = 4 \dots \text{"not affected by } k \text{"}$$

% OS:

the controller has 2 benefits:

1)  $e(\infty)$  can be made arbitrarily small by increasing  $k$ , but can't make it 0

2)  $T_r$  can be made arbitrarily small by increasing  $k$

but  $\exists$  2 problems

1) % OS gets larger as  $k \rightarrow \infty$

2)  $T_s$  cannot be improved



## Additional Poles

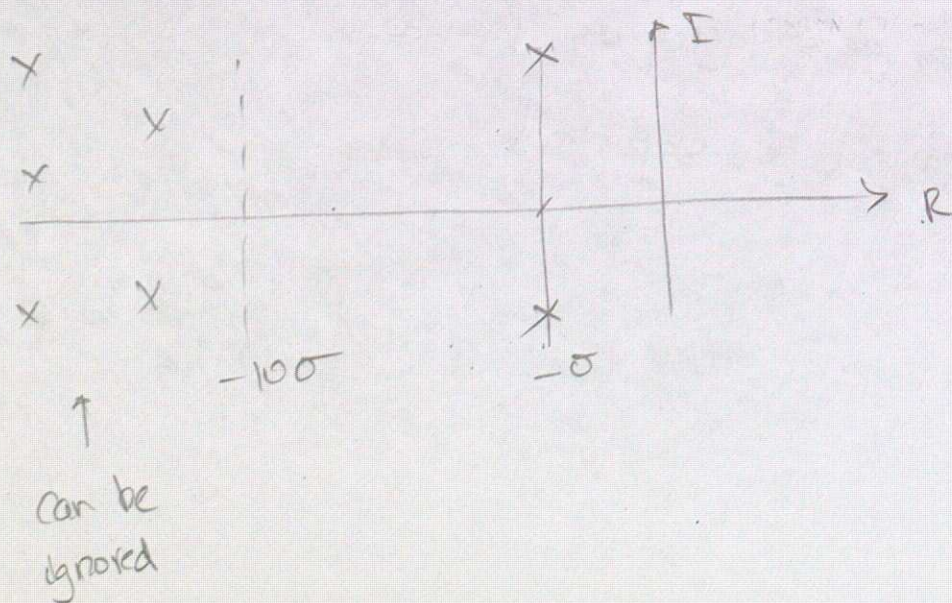
$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

What if there are zeroes in  $G(s)$  and/or  $> 2$  poles?

⇒ Under certain conditions, we can still use the formulas for  $T_r$ ,  $T_s$ , and %OS

⇒ Additional poles, if they are in the LHP, do not significantly affect the performance as long as their real part is "much more" negative than the real part of the conjugate poles.

↳ much more means by factor of 5-10 times





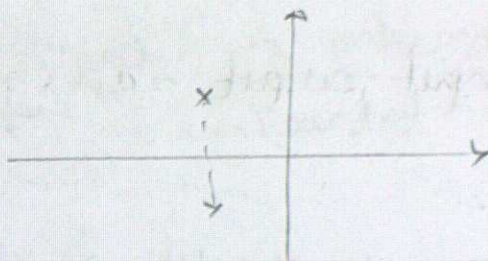
## Additional Zeros

- 1) Zeros have small impact as they are in LHP and their real parts are very different than

$$\sigma = \zeta \cdot \omega_n$$

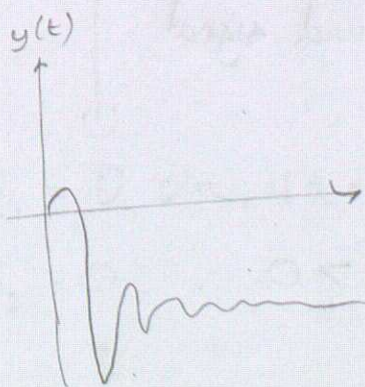
ensure

$$T_s = \frac{(\omega_n^2/a)(s+a)}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$



zeros cause less issues if they are far away

- 2) Zeros in the RHP are called non-minimum phase and have a major impact on the step-response b/c they can change sign of  $\lim_{t \rightarrow \infty} y(t)$  or they can turn the max. of the OS into a minimum





Stability

- \* internal stability: pertaining to state space with input  $u=0$ .
- \* input-output stability: involve input  $u$  and output  $y$ .

Internal Stability

$$\begin{cases} \dot{x} = Ax + Bu \\ y = Cx + Du \end{cases} \Rightarrow \begin{array}{l} 1) \text{ set } u=0 \\ 2) \text{ discard } y \text{ (you don't care about output)} \end{array}$$

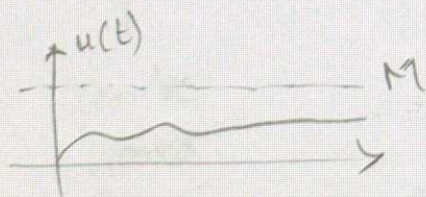
$$\Rightarrow \dot{x} = Ax \quad \text{--- (system 1)}$$

Define: system 1 is stable

if  $\forall x(0) \in \mathbb{R}^n$ , the solution  $x(t)$  is bounded  
 for all  $\downarrow$  initial states  $\uparrow$   $n$  dim real number space  
 i.e.: each component  $x_i(t)$  is a bound signal

bounded signal  $u(t)$

$$\exists M > 0 \text{ s.t. } |u(t)| < M, \forall t > 0$$

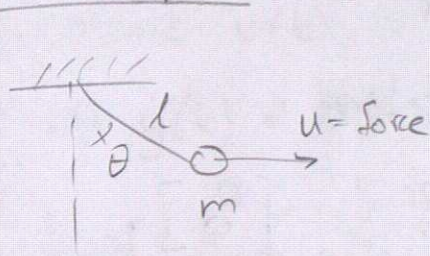




Asymptotic stable: if  $\forall x(0) \in \mathbb{R}^n$ , the solution  $x(t)$  converges to 0: ie: each component  $x_i(t) \rightarrow 0$  as  $t \rightarrow \infty$

Unstable: if  $\exists x(0) \in \mathbb{R}^n$  s.t.  $x(t)$  is unbounded ie: some component  $x_i(t)$  is unbounded

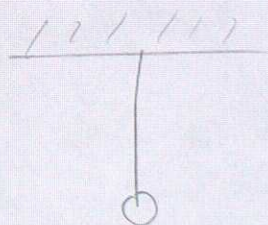
Example: Crane Model lec 4



def:  $x_1 = \theta$ ,  $x_2 = \dot{\theta}$ ,  $y = x_1$

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -\frac{g}{l} \sin x_1 + \frac{u}{ml} \cos x_1 \\ y = x_1 \end{cases}$$

put input  $u=0$ , then we know two equilibriums:

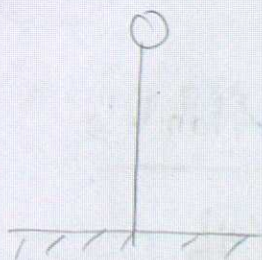


$$\bar{x}_1 = 0, 2\pi, 4\pi, \dots$$

$$\bar{x}_2 = 0$$



"stable"



$$\bar{x}_1 = \pi, 3\pi, 5\pi, \dots$$

$$\bar{x}_2 = 0$$



"unstable"

if we initialize the pendulum near the equilibrium point  $\begin{bmatrix} \bar{x}_1 \\ \bar{x}_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$ , the pendulum will oscillate and stay near the equilibrium



\* if we initialize the pendulum arbitrarily close to  $\begin{bmatrix} \bar{x}_1 \\ \bar{x}_2 \end{bmatrix} = \begin{bmatrix} \pi \\ 0 \end{bmatrix}$ , the pendulum would diverge away from this equilibrium  $\rightarrow$  instability

physically, your pendulum might not fly off, but since it goes outside of your operating point / region, it's already unstable to your pov.

$\rightarrow$  suppose the pendulum has friction:

if we initialize the pend. near  $\begin{bmatrix} \bar{x}_1 \\ \bar{x}_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$ ,  
not only does the pend. stay near  $\begin{bmatrix} 0 \\ 0 \end{bmatrix}$ ,  
but now  $x(t) \rightarrow \begin{bmatrix} 0 \\ 0 \end{bmatrix}$

"asymptotic stability"

### Input / Output Stability

$$Y(s) = G(s) \cdot U(s) \quad \text{--- system 2}$$

$G(s)$  assumed to be rational and proper

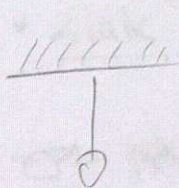
Define system 2 is BIBO stable.

if for any bounded input signal  $u(t)$ ,  
the corresponding output signal  $y(t)$  is also bounded.



BIBO unstable: if  $\exists$  a bounded input signal giving an unbounded output signal  $y(t)$

Example:



$$\begin{bmatrix} \bar{x}_1 \\ \bar{x}_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

interesting  
when you  
think about  
it

suppose  $u(t)$  is a bounded signal,  
 $\rightarrow y(t) = \theta(t)$  will not be bounded!

Proof: given an arbitrarily small bound,  $\varepsilon > 0$ ,  
on the signal  $u(t)$ , we can always make  $\theta(t) \rightarrow \infty$

$$u(t) = +\varepsilon \cdot \text{sgn}(\dot{x}_2 \cdot \cos x_1)$$

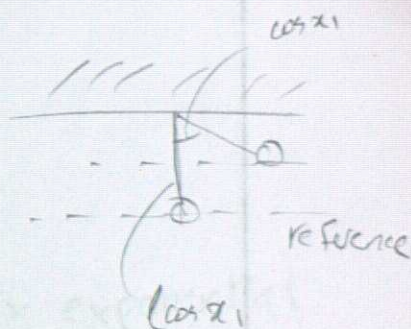
mechanical energy:

$$E = \underbrace{\frac{1}{2} m l^2 \dot{x}_2^2}_{\text{kinetic}} + \underbrace{m g l \cdot (1 - \cos x_1)}_{\text{potential}}$$

kinetic

potential

$$\begin{aligned} \Rightarrow \frac{dE}{dt} &= \frac{1}{2} m l^2 \cdot 2 \dot{x}_2 \ddot{x}_2 + m g l \sin x_1 \cdot \dot{x}_1 \\ &= m l^2 \dot{x}_2 \cdot \left[ -\frac{g}{l} \sin x_1 + \frac{1}{m l} \cos x_1 \right] \\ &\quad + m g l \sin x_1 \cdot \dot{x}_2 \\ &= l \dot{x}_2 \cos x_1 \end{aligned}$$



check the  
derivative  
and if  
we show  
it's always  
(+)ve, then  
we can  
prove that  
it can  
blow up  
system



$$\Rightarrow l x_2 \cos x_1 \cdot \frac{1}{2} \cdot 4 g m (x_2 \cdot \cos x_1) \leftarrow \text{plus } u$$

$$= l \cdot \frac{1}{2} |x_2 \cdot \cos x_1| \rightarrow 0$$

$\therefore \frac{dE}{dt} > 0 \rightarrow$  energy is unbounded  
(energy is injected into the system  
as long as  $x_2 \cdot \cos x_1 \neq 0$ )

$$\Rightarrow E(t) \rightarrow \infty \Rightarrow x_2(t) \rightarrow \infty$$

Input-Output instability !!!



# Time Response for a state spaces

$$\begin{aligned} \dot{x} &= Ax + Bu \\ y &= Cx + Du \end{aligned} \rightarrow \mathcal{L} \rightarrow \begin{aligned} sX(s) - x(0) &= AX(s) + BU(s) \\ Y(s) &= CX(s) + DU(s) \end{aligned}$$

\* state time response

$$G(s) = C[sI - A]^{-1}B + D$$

↑  
assumes  
zero state.

$$\begin{aligned} \Rightarrow sX(s) - Ax(0) &= x(0) + BU(s) \\ X(s)[sI - A] &= x(0) + BU(s) \end{aligned}$$

$$X(s) = \underbrace{(sI - A)^{-1}x(0)}_{M(s)} + \underbrace{(sI - A)^{-1}B}_{M(s)}U(s)$$

$$X(s) = M(s)x(0) + \underbrace{M(s)B}_{\mathcal{L}^{-1}}U(s)$$

$$x(t) = \underbrace{M(t)x(0)}_{\text{IC response}} + \underbrace{\int_0^t M(t-\tau)Bu(\tau)d\tau}_{\text{input response}}$$

what is  $\mathcal{L}^{-1}\{(sI - A)^{-1}\}$  ← called the matrix exponential

$$\mathcal{L}^{-1}\{(sI - A)^{-1}\} = e^{At} = \sum_{k=0}^{\infty} \frac{A^k t^k}{k!}$$

scalar case:

$$\mathcal{L}^{-1}\left\{\frac{1}{s-a}\right\} = e^{at}$$



### HW 2 Q3 Part 2

Consider the sys... Find the TF from  $u$  to  $y$

$$\begin{cases} \dot{x}_1 = x_2 + u \\ \dot{x}_2 = u \\ y = x_1 + x_2 + u \end{cases} \Rightarrow \dot{x} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} x + \begin{bmatrix} 1 \\ 1 \end{bmatrix} u$$

$$G(s) = C(sI - A)^{-1}B + D$$

$$y = [1 \ 1]x + [1]u$$

$$G(s) = [1 \ 1] \begin{bmatrix} s & -1 \\ 0 & s \end{bmatrix}^{-1} \begin{bmatrix} 1 \\ 1 \end{bmatrix} + [1]$$

$$\det(Is - A) = s^2$$

$$G(s) = \frac{1}{s^2} [1 \ 1] \begin{bmatrix} s & 1 \\ 0 & s \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} + [1]$$

$$= \frac{1}{s^2} [1 \ 1] \begin{bmatrix} s+1 \\ s \end{bmatrix} + [1]$$

$$= \frac{s+1+s}{s^2} + 1 = \frac{s+1+s+s^2}{s^2} = \frac{s^2+2s+1}{s^2}$$

### HW 2 Q5 : $G(s) = \frac{Y(s)}{R(s)}$

$$\dot{x} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -3 & -2 & -5 \end{bmatrix} x + \begin{bmatrix} 0 \\ 0 \\ 10 \end{bmatrix} r$$

$$y = [1 \ 0 \ 0]x + [0]r$$



$$G(s) = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} s & -1 & 0 \\ 0 & s & -1 \\ 3 & 2 & s+5 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ 0 \\ 10 \end{bmatrix}$$

$$\det(Is-A) = s[s(s+5)+2] + 1[3] + 0$$

$$= s[s^2+5s+2] + 3 = s^3+5s^2+2s+3$$

$$\begin{bmatrix} +(s^2+5s+2) & -(3) & +(-3s) \\ -(-s-5) & +(s^2+5s) & -(2s+3) \\ +(1) & -(-s) & +(s^2) \end{bmatrix} = \begin{bmatrix} s^2+5s+2 & -3 & -3s \\ s+5 & s^2+5s & -2s-3 \\ 1 & s & s^2 \end{bmatrix}$$

$$\Rightarrow \begin{bmatrix} s^2+5s+2 & s+5 & 1 \\ -3 & s^2+5s & s \\ -3s & -2s-3 & s^2 \end{bmatrix}$$

$$G(s) = \frac{1}{\det} \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 10 \end{bmatrix}$$

$$= \frac{1}{\det} \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 10 \\ 10s \\ 10s^2 \end{bmatrix}$$

$$= \frac{10}{\det} = \frac{10}{s^3+5s^2+2s+3} //$$



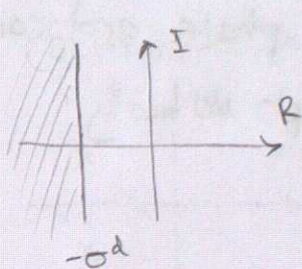
### lec 13 Control Specs and Pole loc (WSL1, lec 13)

- we looked at 3 control specifications (settling time, rise time, and percent overshoot) and where to place poles to meet these specs.

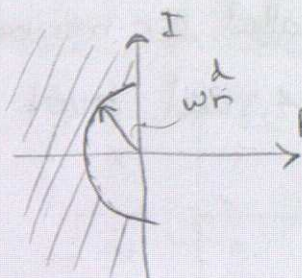
$$T_s = \frac{4}{\xi \omega_n}$$

$$T_r = \frac{1.8}{\omega_n}$$

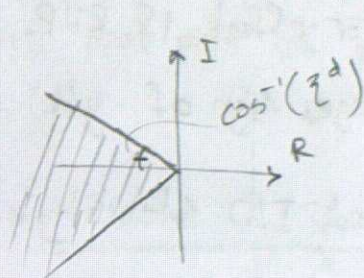
$$\%OS = \exp\left(-\frac{\xi \pi}{\sqrt{1-\xi^2}}\right)$$



$$\sigma \geq \frac{4}{T_{s,d}} = \sigma_d$$



$$\omega_n \geq \frac{1.8}{T_{r,d}} = \omega_{n,d}$$



$$\xi \geq \xi_d$$

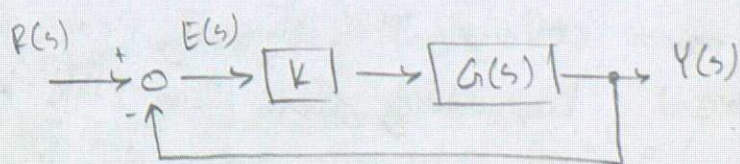
$$\xi_d = \frac{-\ln(\%OS_d)}{\sqrt{\pi^2 + \ln^2(\%OS_d)}}$$

- to meet all 3 specs, place poles in intersection of above 3 areas.
- these spec equations hold for  $G(s)$  with precisely 2 complex conjugate poles in LHP and  $G(s)$  has no zeroes

### lec 14 Controls Specs Example, P controller, Zeros and Additional Poles

- given a transfer function, you can find params  $\sigma$ ,  $\omega_d$ ,  $\omega_n$ ,  $\xi$ 
  - for transient, use params to find  $T_s$ ,  $T_r$ , and  $\%OS$
  - for S.S. use Final Val. Thm to find S.S. value of  $y(t)$

- P-controller: add a gain  $k$  b4  $G(s)$  that you can control



$$E(s) = R(s) - Y(s) = \left(\frac{1}{1+kG(s)}\right) R(s)$$

you want  $e(t) \rightarrow 0$ , so adjust  $k$

if  $k \rightarrow \infty$ ,  $e(t) \rightarrow 0$  and use Transfer Func<sup>n</sup>  $\frac{Y(s)}{R(s)}$  to get params and see what are the effects  $k \rightarrow \infty$  has on  $T_s$ ,  $T_r$  and  $\%OS$



- Additional poles: negligible effect if real part more than 5-10x more negative than your real poles of interest
- Additional zeroes:
  - ↳ negligible effect if on LHP and real part very different than  $\sigma = \zeta\omega_n$
  - ↳ major effect if RHP, called non-minimum phase, and can change sign of  $y(t)$  s.s. and invert graph vertically.

## lec 15 Internal and I/O Stability

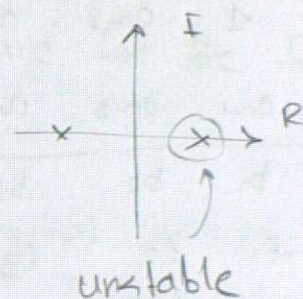
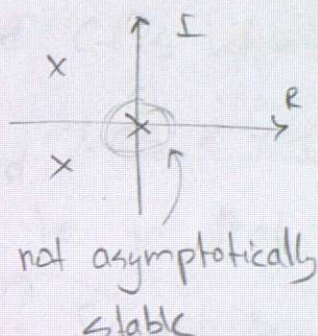
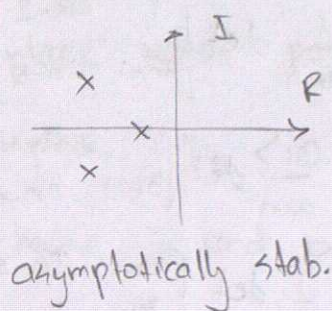
- Internal Stability deals with just system states: (matrix A)
- system is internally stable if every component (row equation) of matrix A is bounded
- system is asymptotically stable if those A matrix equations converge to 0 as  $t \rightarrow \infty$
- example for crane model: 
- you are stable if you start near  since system will stay near your equilibrium point
- you are unstable if you start near  since you'll leave your linearized region.
- you are asymptotically stable if your system has friction and you start near 
- Input/Output Stability deals with  $U(s)$  and  $Y(s) \rightarrow$  BIBO stab.
- system is BIBO stable if for every bounded input there is a bounded output.
- system is BIBO unstable if  $\exists$  a bounded input that yields an unbounded output
- for our crane model:  the system is BIBO unstable because you can input a "signum" input that changes direction based on sign. This physically means you keep adding energy / force little by little until output  $y(t) = \theta(t)$  angle blows up. You can prove this by showing derivative of energy always  $> 0$



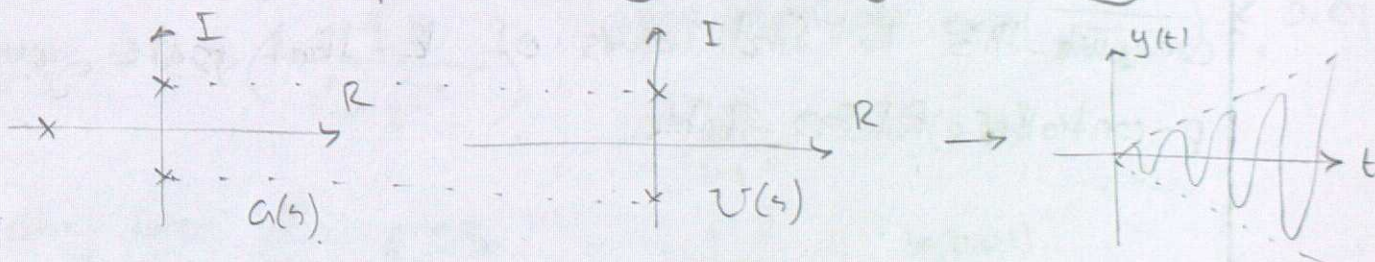
lec 16  
lec 17

## Asymptotic and BIBO stability (for open loop system TF)

- \* Asymptotic stability: system is asymptotically stable iff all eigenvalues of matrix  $A$  (aka the roots/poles of the rows  $X_c(s)$ , or the roots of  $\det(sI - A)$ ) are in the open left half plane (OLHP) as per Thm 1.  $\rightarrow$  OLHP  $\equiv$  real part of poles  $< 0$



- \* BIBO stability: system is BIBO stable iff all poles of  $G(s)$  are in OLHP as per Thm 2  $\rightarrow$  remember if your  $G(s)$  has poles on  $j\omega$  axis, then you could choose a (bounded)  $U(s)$  with those exact poles, increasing multiplicity and getting unbounded  $y(t)$ .



- \* Asymptotically stability implies BIBO stability: if a system is asymptotically stable, then it is also BIBO stable, but not the other way around  $\rightarrow$

can have BIBO stable system without asymptotic stability

$$G(s) = \underbrace{\left( \frac{1}{\det(sI - A)} \cdot C \cdot \text{Adj}(sI - A) \cdot B \right)}_{\text{poles of } G(s)} + D$$

eigenvalues of  $A$



## lec 18 Routh Stability Criterion (t-dom)

- an algorithm that lets you check whether or not the roots of a polynomial are in OLHP.

$$a(s) = s^n + (a_{n-1})s^{n-1} + (a_{n-2})s^{n-2} + \dots + a_1s + a_0$$

|           |           |           |           |         |   |
|-----------|-----------|-----------|-----------|---------|---|
| $s^n$     | 1         | $a_{n-2}$ | $a_{n-4}$ | $\dots$ | 0 |
| $s^{n-1}$ | $a_{n-1}$ | $a_{n-3}$ | $a_{n-5}$ | $\dots$ | 0 |
| $s^{n-2}$ | $b_1$     | $b_2$     | $b_3$     |         |   |
| $s^{n-3}$ | $c_1$     | $c_2$     | $c_3$     |         |   |
| $\vdots$  |           |           |           |         |   |
| $s$       |           |           |           |         |   |
| $s^0$     |           |           |           |         |   |

$$b_1 = -\frac{1}{a_{n-1}} \det \begin{bmatrix} 1 & a_{n-2} \\ a_{n-1} & a_{n-3} \end{bmatrix}$$

$$b_2 = -\frac{1}{a_{n-1}} \det \begin{bmatrix} 1 & a_{n-4} \\ a_{n-1} & a_{n-5} \end{bmatrix}$$

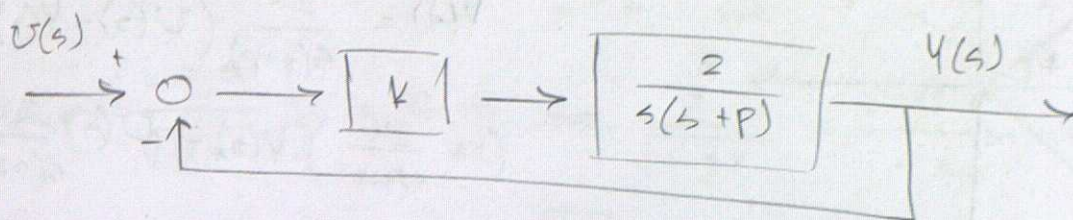
$$c_1 = -\frac{1}{b_1} \det \begin{bmatrix} a_{n-1} & a_{n-3} \\ b_1 & b_2 \end{bmatrix}$$

$$c_2 = -\frac{1}{b_1} \det \begin{bmatrix} a_{n-1} & a_{n-5} \\ b_1 & b_3 \end{bmatrix}$$

- if this column has no sign variation  $\rightarrow$  roots  $a(s)$  in OLHP
- the number of sign variations equals # of poles in ORHP
- can use this to find values of  $K$  that make your p-controller BIBO stable.



Problem 1: consider the C.L.S below,  $k$  and  $p$  are design params



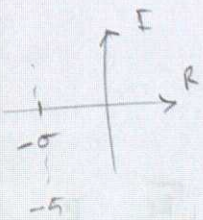
- a) Using standard formulas, sketch region in the complex plane where poles of C.L.S should lie for the following specs:  $T_s \leq 0.8s$ ,  $\%OS \leq 1$
- b) choose  $k$  and  $p$  to be in that region.

→ a)  $T_s = \frac{4}{\zeta \cdot \omega_n}$  ← works for underdamped systems

you know you are underdamped  
since  $\exists$  some overshoot, so it can't be overdamped or critically damped

$\%OS = \exp\left(\frac{-\zeta \pi}{\sqrt{1-\zeta^2}}\right)$  percent overshoot:  $\exp\left(\frac{-\zeta \pi}{\sqrt{1-\zeta^2}}\right) \leq 0.01$

settling time:  $\frac{4}{\zeta \cdot \omega_n} \leq 0.8$



$\frac{4}{0.8} \leq \zeta \cdot \omega_n$

$\sigma \geq \frac{4}{0.8}$

$\sigma \geq 5$

$\frac{-\zeta \pi}{\sqrt{1-\zeta^2}} \leq \ln(0.01)$

$\frac{\zeta}{\sqrt{1-\zeta^2}} \geq \frac{\ln(0.01)}{-\pi}$

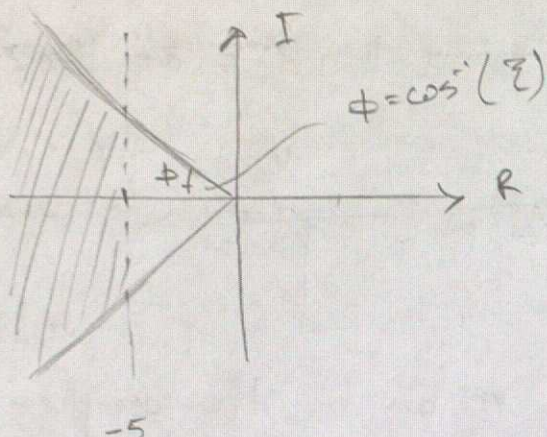
$\zeta^2 \geq (1.4658)^2 (1-\zeta^2)$

$\zeta \geq \sqrt{\frac{2.15}{3.02}}$

$\zeta \geq 0.84$



a)



b) Find TF:

$$Y(s) = \frac{2k}{s(s+p)} (U(s) - Y(s))$$

$$\left(1 + \frac{2k}{s(s+p)}\right) Y(s) = U(s) \frac{2k}{s(s+p)}$$

$$(s^2 + ps + 2k) Y(s) = U(s) 2k$$

$$b) G(s) = \frac{Y(s)}{U(s)} = \frac{2k}{s^2 + ps + 2k}$$

$$\Rightarrow \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

standard  
TF for 2nd  
order response  
to step input

$$\Rightarrow \text{pick } \sigma = 6, \quad \xi = 0.9$$

$$\sigma = \xi\omega_n \Rightarrow \omega_n = \frac{6}{0.9} = \frac{20}{3}$$

plug this into our design char. poly.

$$s^2 + 2(0.9)\left(\frac{20}{3}\right)s + \left(\frac{20}{3}\right)^2 = s^2 + 12s + \frac{400}{9}$$

$$\Rightarrow p = 12 // \text{ and } 2k = \frac{400}{9} \Rightarrow k = \frac{200}{9} //$$

set equal to  
each other and  
find k, p

Problem 5

$$G(s) = \frac{1/LC}{s^2 + \frac{R}{L}s + \frac{1}{LC}}$$

RLC TF with cap volt. as output

design TF:

$$\frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$\omega_n = \frac{1}{\sqrt{LC}}$$

$$\zeta = \frac{1}{2\omega_n} \frac{R}{L} = \frac{\sqrt{LC}}{2} \cdot \frac{R}{L} = \frac{R}{2} \sqrt{\frac{C}{L}}$$



$$T_s = 0.002 = \frac{4}{\zeta \omega_n} = \frac{4}{\frac{R}{2} \sqrt{\frac{C}{L}} \cdot \frac{1}{\sqrt{LC}}} = \frac{4}{\frac{R}{2} \cdot \frac{1}{L}} \rightarrow T_s = \frac{8L}{R}$$

$$\exp\left(\frac{-\zeta \pi}{\sqrt{1-\zeta^2}}\right) = 0.15 \Rightarrow \frac{\zeta}{\sqrt{1-\zeta^2}} = \frac{\ln(0.15)}{-\pi} \Rightarrow \zeta = 0.517$$

$$\Rightarrow \zeta = 0.517 = \frac{R}{2} \sqrt{\frac{C}{L}}$$

$$\frac{R}{\sqrt{L}} = \frac{(0.517)(2)}{\sqrt{10 \times 10^{-6}}} = 327.2$$

$$\Rightarrow T_s = 0.002 = \frac{8L}{R}$$

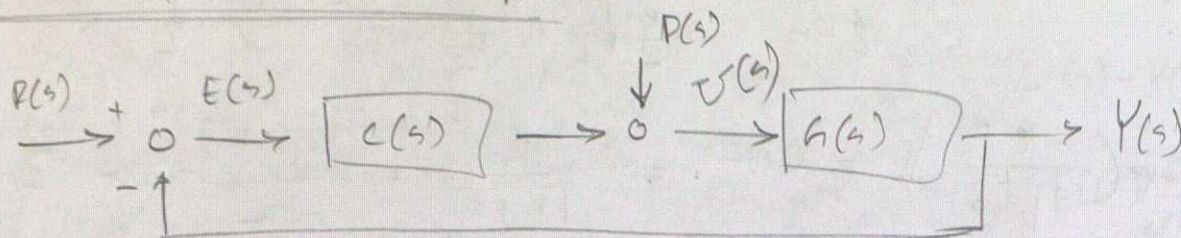
$$\frac{R}{L} = 4000$$

use these two  
to solve L, R

$$L \cdot 4000 = \sqrt{L} \cdot 327.2 \Rightarrow L = \left(\frac{327.2}{4000}\right)^2 = 6.68 \text{ mH}$$

$$R = 26.7 \Omega$$



Standard Feedback Loop

$R(s)$  or  $r(t)$ : reference signal

$P(s)$  or  $d(t)$ : disturbance signal

$E(s)$  or  $e(t)$ : error signal, or "tracking error"

$Y(s)$  or  $y(t)$ : output

Assumptions of Inputs:

$R(s)$  and  $P(s)$  are rational, strictly proper functions with fixed set of poles, but arbitrary zeroes and gains  
 call  $\mathcal{R}$  and  $\mathcal{D}$  the classes of references and disturbances which satisfies this assumption.

Ex:  $R(s) = \frac{N(s)}{s^2 + 4}$ ,  $N(s)$  must be a poly. order  $\leq 1$   
 ① with no zeroes at  $\pm 2j$

$\mathcal{R} = \{ \text{class of purely sinusoidal signals with freq of } 2 \text{ rad/s with zero average} \}$

$= \{ M_1 \sin(2t + \Phi), M_1, \Phi \in \mathbb{R} \text{ are arbitrary} \}$



②  $P(s) = \frac{M(s)}{s(s^2+1)}$ ,  $M(s)$  should be poly. order  $\leq 2$  with no zeroes at 0 and  $\pm j$

$\mathcal{D} = \{ \text{class of purely sinusoidal signals with am. freq. of } 1 \text{ rad/s and non-zero average} \}$

$= \{ M \sin(t + \phi) + C, M, \phi, C \in \mathbb{R} \text{ are arbitrary} \}$

③:  $P(s) = \frac{M(s)}{s^k}$ ,  $k \geq 1$

$\mathcal{R} = \{ \text{poly. of order } \leq k-1, \text{ poly function of } t \}$

### Basic Control Problem

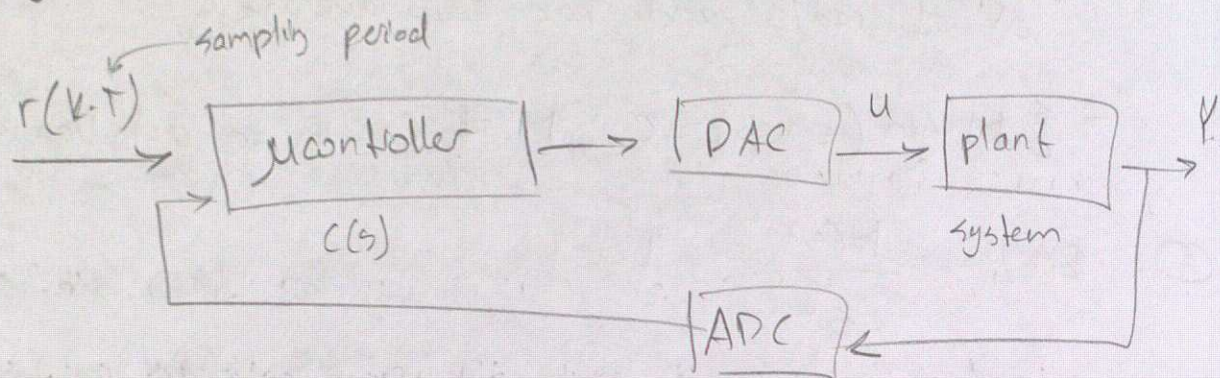
BCP: design a controller  $c(s)$  s.t. the following 3 specs are met:

- 1) stability: for any Bounded ref  $r(t)$  and dist.  $d(t)$ , both  $u(t)$  and  $e(t)$  are bounded.
- 2) Asymptotic tracking: assume  $d(t) = 0$ , for each  $r(t) \in \mathcal{R}$  the tracking error  $e(t) = r(t) - y(t) \xrightarrow{0} 0$  as  $t \rightarrow \infty$
- 3) disturbance rejection: assume  $r(t) = 0$ , for each  $d(t) \in \mathcal{D}$  the output  $y(t) \rightarrow 0$  as  $t \rightarrow \infty$



How to implement  $C(s)$ ?

- 1) Analog implementation : op-amp
- 2) Digital " : microcontroller or FPGA



$$\dot{x}(t) = a \Rightarrow x[kT]$$

- \* pole zero cancellation can introduce new poles but from numerator.
- \* Learn Gang of 4 TF's



Spec (b): Asymptotic Tracking

- \* FVT: Analytic  $F(s)$  (except for finite # of poles)
  - ↳ all poles have  $\text{real} \leq 0$  and at most one pole on im axis at  $s=0$  ← lets you know if  $\exists$  a final val.

Asymptotic Tracking

- \* set disturbance to zero and put in a polynomial reference
- \* for different signals, you'd need different controllers and  $\therefore$  cond<sup>n</sup>s
- \* goal: find cond<sup>n</sup>s that  $e(t) = r(t) - y(t) \rightarrow 0$  as  $t \rightarrow \infty$   
(the error goes to zero)
- \* assume that controller  $c(s)$  has been designed s.t. BIBO stab. spec (a)  
of sys. has been met.
- \* get  $E(s)$  from Gang of 4 TF's and plug in  $R(s)$
- \* → you find that  $s^k$  pole must get cancelled
- \* you use primes (') to denotes fun<sup>n</sup>s with poles factored out
  - ↳ once you cancel  $s^k$ , just check if  $E(s)$  has poles in OLHP as regular.
- \* new spec/requirement if your  $C(s)G(s)$  has one pole less than  $k$  (from your reference) → you have non-zero  $e(t)$  s.s.



- but if your  $C(s)G(s)$  has more than 1 pole less than ref, then you would blow up  $\rightarrow$  no s.s.  $e(t)$ , it  $\rightarrow \infty$
- idea: you need an even faster or at least nearly as fast controller if you have a fast reference.

### Terminology

- 1-type:  $C(s)G(s)$  has exactly 1 poles at  $s=0$
- idea: must use  $C'(s)$  and  $G'(s)$  method to explicitly be able to pull out (and later cancel)  $s^k$  poles.
- $\Rightarrow$  type  $k-1$ : your  $e(t)$  has non-zero s.s. value
- $\Rightarrow$  type  $k$ : your  $e(t)$  goes to zero
- $\Rightarrow$  type  $k-2$  or smaller: your  $e(t)$  blows up  $\rightarrow$  cannot track.
- $\Rightarrow$  diff. ref. signals have diff. requirements



PMDC Example

- First check if spec (a)  $\rightarrow$  BIBO stab. is met.

$$\text{spec (a)} : \begin{cases} \text{no unstable pole/zero cancellation} \\ \text{roots of } 1 + C(s)G(s) \text{ in OLHP} \end{cases}$$

spec (b): Final Value Thm

Disturbance Rejection — spec (c).

- If spec (a) is met and  $r(t) = 0$  and suppose

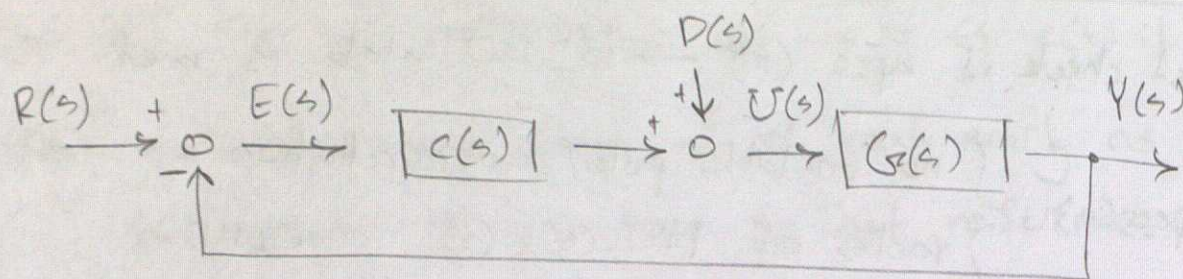
$$D(s) = \frac{M_D(s)}{s^k}, \quad k > 1, \text{ then}$$

$\Rightarrow$  disturb. rejection is established iff  $C(s)$  has type  $k \rightarrow$  similar to spec (b) but you can't avail poles of  $G(s)$  @  $k=0$



lec 19

# Standard Feedback loop and Basic Control Problem



$R(s)$  [ $r(t)$ ]: reference signal

$D(s)$  [ $d(t)$ ]: disturbance signal

$E(s)$  [ $e(t)$ ]: tracking error

$Y(s)$  [ $y(t)$ ]: output

to derive gang of four transfer functions:

$$E(s) = ( ) R(s) + ( ) D(s)$$

$$U(s) = ( ) R(s) + ( ) D(s)$$

Use superposition to find  $E(s)$  and  $U(s)$

set  $D=0$ :  $E = R - Y$

$$E = R - E \cdot C \cdot G$$

$$E + ECG = R$$

$$E = \frac{1}{1+CG} R //$$

set  $R=0$ :  $E = -Y$

$$E = -(EC + D)G$$

$$E = -ECG - DG$$

$$E + ECG = -DG$$

$$E = \frac{-G}{1+CG} D //$$

set  $D=0$ :  $U = C(R - Y)$

$$U = C(R - GU)$$

$$U = CR - CGU$$

$$U + CGU = CR$$

$$U = \frac{C}{1+CG} R //$$

set  $R=0$ :  $U = C(+Y) + D$

$$U = -CGU + D$$

$$U + UCG = D$$

$$U = \frac{1}{1+CG} D //$$

$$\therefore E(s) = \frac{1}{1+C(s)G(s)} R(s) + \frac{-G(s)}{1+C(s)G(s)} D(s)$$

$$U(s) = \frac{C(s)}{1+C(s)G(s)} R(s) + \frac{1}{1+C(s)G(s)} D(s)$$

← Gang of 4 transfer functions.



\* For this closed-loop-system, you care about 3 specs:

- a) BIBO stability
- b) Asymptotic tracking
- c) Disturbance Rejection

### a) BIBO stability

\* Your CLS is BIBO stable if gang of 4 TF's is BIBO stable

↳ notice that they all have  $1 + C(s)G(s)$  as denominator

↳ this means you'd want roots of  $1 + C(s)G(s)$  ( $\equiv$  poles of  $\frac{1}{1+CG}$ ) are in OLHP

↳ however, notice that one TF has  $G(s)$  in numerator and a second one has  $C(s)$  in numerator

↳ this means we must be cautious of pole-zero cancellations when we multiply  $C(s)$  with  $G(s)$ , since that introduces an additional pole as such:

$$\frac{G(s)}{1 + C(s)G(s)} = \frac{G'(s)/s-p}{1 + C'(s)(s-p) \cdot \frac{G'(s)}{(s-p)}} = \frac{G'(s)}{(s-p)(1 + C'(s)G'(s))}$$

↳ that extra pole is okay as long as it's in OLHP

↑ additional pole appears in overall TF

→ Thm 1: The CLS is BIBO stable iff:

1) poles of  $\frac{1}{1 + C(s)G(s)}$  are in OLHP

2) the product  $C(s)G(s)$  does not have a pole/zero cancellation where the cancelled pole was in RHP

← b/c the cancelled pole appears again as pole in at least one of the HTF's



## lec 20 b) Asymptotic Tracking

- given a polynomial reference signal, we are trying to make error go to 0:  $e(t) \rightarrow 0$  as  $t \rightarrow \infty$
- we use the concept of Final Value Thm: If  $F(s)$  is
  - 1) Analytic except for a finite  $\times$  of poles
  - 2) All poles are in LHP (real part  $\leq 0$ )
  - 3) At most 1 pole on imaginary axis at  $s=0$

$\rightarrow$  then FVT says that  $\lim_{t \rightarrow \infty} f(t) = \lim_{s \rightarrow 0} s \cdot F(s)$

- if you're given an  $r(t) = [C_0 + C_1 t + C_2 t^2 + \dots + C_{k-1} t^{k-1}] u(t)$   
 then  $R(s) = \frac{NR(s)}{s^k} \rightarrow$  eg:  $r(t) = (1 + 2t + 3t^2)u(t)$ ,  $k=3$

$$R(s) = \frac{1}{s} + \frac{2}{s^2} + \frac{3 \cdot 2!}{s^3} = \frac{s^2}{s^3} + \frac{2s}{s^3} + \frac{3 \cdot 2!}{s^3} = \frac{NR(s)}{s^3}$$

- basically, any polynomial  $r(t)$  order  $k-1 \xrightarrow{\mathcal{L}} R(s) = \frac{NR(s)}{s^k}$   
 becomes  $R(s)$  with  $k$  poles at  $s=0$ .

- Assume that  $c(s)$  is designed s.t. system is BIBO stable  $\rightarrow$  spec (a)

$$E(s) = \frac{1}{1 + C(s)G(s)} R(s) = \frac{NR(s)}{s^k (1 + C(s)G(s))} \quad \begin{aligned} C(s) &\triangleq \frac{N_c(s)}{D_c(s)} \\ G(s) &\triangleq \frac{N_g(s)}{D_g(s)} \end{aligned}$$

$$E(s) = \frac{NR(s)}{s^k \left(1 + \frac{N_c N_g}{D_c D_g}\right)} = \frac{NR(s)}{s^k \left(\frac{D_c D_g + N_c N_g}{D_c D_g}\right)}$$

$$E(s) = \frac{NR(s) D_c D_g}{s^k (D_c D_g + N_c N_g)}$$

$\leftarrow$  we need to "cancel"  $s^k$ , and if  $C(s)G(s)$  has  $k$  poles at  $s=0$ , then top becomes  $NR \cdot s^k D_c D_g$  and we can cancel  $s^k$



\* Note: we say  $C(s)G(s)$  is type  $k$  if it has  $k$  poles at  $s=0$

\* see how having a

• type  $k$   $C(s)G(s)$  fully cancels  $s^k \rightarrow \lim_{t \rightarrow \infty} e(t) = 0$

• type  $k-1$   $C(s)G(s)$  leaves one " $s$ "  $\rightarrow \lim_{t \rightarrow \infty} e(t) = \text{some finite value}$

• type  $k-2$  or less  $C(s)G(s)$  leaves more than one " $s$ "

in  $E(s)$  denominator, so FUT blows up  $\rightarrow \lim_{t \rightarrow \infty} e(t)$  DNE

$\rightarrow \therefore$  Assuming spec (a) BIBO stability and  $d(t)=0$ ,  
and your  $r(t) = \text{some polynomial} \xrightarrow{\mathcal{L}} R(s) = \frac{MR(s)}{s^k}$ ,  $k \geq 1$

Asymptotic tracking is achieved iff  $C(s)G(s)$  is type  $k$

$\hookrightarrow$  if type  $k-1$ ,  $e(\infty) = \text{finite but not zero}$

$\hookrightarrow$  if type  $k-2$  or less,  $e(\infty) = \infty$

must have  $k$   
poles at  $s=0$

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### c) Disturbance Rejection

\* recall that to meet spec (b) asymptotic tracking,  $C(s)G(s)$  must be type  $k$

\* now, for disturbance rejection, it's the same idea: we must have  $C(s)G(s)$  cancel the  $s=0$  poles introduced by  $D(s) \rightarrow$  but there's a catch:

\* recall gang:  $E(s) = \frac{1}{1+CG} R + \frac{-G}{1+CG} D$  notice this  $G$ ? this means that any of  $G$ 's poles at  $s=0$  won't be useful

\*  $C(s)G(s)$  needs  $k$  poles at  $s=0$ , but any pole of  $G(s)$  at  $s=0$  won't be helpful since it would get absorbed/cancelled by  $G(s)$  in denominator and not work to cancel the  $s^k$  introduced by  $D(s) \rightarrow$  so must come from  $C(s)$  to be valid

$\rightarrow$  Assume  $R(s)$  type  $k$  and  $D(s)$  type  $j$ :  $\rightarrow$  spec (b):  $C(s)G(s)$  type  $k$   
 $\rightarrow$  spec (c): just  $C(s)$  type  $j$



Problem 2

$r(t) = R_0 t \cdot 1(t)$ , compute steady state tracking error

$$E(s) = R(s) - Y(s) \\ = R(s) - \left( E(s) \cdot \frac{k}{s(\tau s + 1)} \right)$$

$$E(s) = \frac{R(s)}{1 + \frac{k}{s(\tau s + 1)}} = \frac{R(s)}{1 + G(s)} = \frac{1}{1 + \frac{k}{s(\tau s + 1)}} \cdot \frac{R_0}{s^2}$$

$$E(s) = \underbrace{\frac{s(\tau s + 1)}{\tau s^2 + s + k}}_{\tau > 0, k > 0 \text{ then OLHP } \checkmark} \cdot \underbrace{\frac{R_0}{s^2}}_{1 \text{ pole at } s=0 \checkmark}$$

$$\lim_{t \rightarrow \infty} e(t) = \lim_{s \rightarrow 0} s E(s)$$

$\Rightarrow \therefore$  can apply FVT

$$\lim_{t \rightarrow \infty} e(t) = \lim_{s \rightarrow 0} \left[ \frac{R_0(\tau s + 1)}{\tau s^2 + s + k} \right] = \frac{R_0}{k}$$

can set tracking error by playing with  $k$

Problem 5

a)  $e(\infty) = 1 - \infty \leftarrow$  tracking error blows up.

$$E(s) = U(s) - Y(s)$$

$$e(\infty) = 1 - \infty$$



$$b) \quad E(s) = U(s) - \frac{1}{s} V(s)$$

$$= U(s) - \frac{1}{s} \left[ \frac{s(s+1)}{(s+2)} \cdot E(s) \right]$$

$$E(s) = U(s) - \frac{(s+1)}{(s+2)} E(s)$$

$$1 + \frac{s+1}{s+2}$$

$$\frac{s+2+s+1}{s+2} = \frac{2s+3}{s+2}$$

$$E(s) \left( 1 + \frac{s+1}{s+2} \right) = U(s)$$

$$E(s) = \frac{(s+2) U(s)}{2s+3} = \frac{s+2}{2s+3} \cdot \frac{1}{s} //$$

$$\lim_{t \rightarrow \infty} e(t) = \lim_{s \rightarrow 0} \left[ \frac{s+2}{2s+3} \cdot \frac{1}{s} \right] \cdot s = \frac{2}{3} //$$

$$c) \quad V(s) = V_R(s) + V_D(s)$$

$$V_D(s) = D(s) + \frac{1}{s+1} \cdot \frac{1}{s} \cdot (-V_D(s))$$

$$= \frac{1}{1 + \frac{1}{s(s+1)}} D(s) = \frac{s(s+1)}{s^2+s+1} D(s)$$

$$V_R(s) = \frac{1}{s+1} \cdot \frac{1}{s} (U(s) - V_R(s))$$

$$= \frac{\frac{1}{s(s+1)}}{1 + \frac{1}{s(s+1)}} = \frac{1}{s^2+s+1} U(s)$$

$$E(s) = U(s) - \frac{1}{s^2+s+1} U(s) - \frac{s(s+1)}{s^2+s+1} D(s)$$

$$= \frac{1}{s} - \frac{1}{s^2+s+1} \cdot \frac{1}{s} - \frac{s+1}{s^2+s+1} = 0?$$



Cauchy Residue Thm

$$\oint_D f(s) ds =$$

← LMS ①

- difference of complex logs is basically the integer multiple of the "turns"  $\rightarrow [\Delta G(\gamma(2\pi)) - \Delta G(\gamma(0))]j$

$$2\pi \sum_{j=1}^k \text{Res}(f(s), p_j)$$

- poles of  $\frac{dG/ds}{G} = \{ \text{zeros of } G \} \cup \{ \text{poles of } G \}$    
consider zeros as well since we do  $dG/ds$

- $f(s) = \frac{dG/ds}{G}$  was chosen deliberately s.t. the residues of the poles (and zeros in this case) equal to  $-r_2$  and  $r_1$  respectively

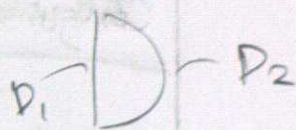
The Argument Principle

$\rightarrow$  lets you know "illustratively" how many poles/zeros are in a certain area of the  $s$ -plane.

Loop transfer function:  $L(s) = C(s)G(s)$



- using Nyquist plot to check stability
- break up contour  $D = D_1 \cup D_2$
- adding  $(+1)$  to  $G(s)H(s)$  only adds to the zeros, not the poles



→ poles of  $1 + L(s)$  is just poles of  $L(s)$

### Assumptions

- 1) plot of  $\frac{1 + L(j\omega)}{\omega=0}$  encircles  $\underline{\underline{\omega=0}}$   $k$  times  $\equiv$  plot of  $\frac{L(j\omega)}{\omega=-1}$  encircles  $\underline{\underline{\omega=-1}}$   $k$  times

→ you can stop worrying about the  $+1$  in  $1 + L(j\omega)$

### Nyquist Things

- For  $D_1$ : You have actually  $L(j\omega) \rightarrow$  frequency response

→  $L(j\omega)$  in complex plane is called the Nyquist

plot or polar plot → denoted by  $Z$  eg:  $\frac{z}{s^2}$

- For  $D_2$ : if  $L(s)$  is strictly proper,  $|L(re^{-j\theta})| \rightarrow 0$

→ plot of  $L(re^{-j\theta})$  reduces to the origin (a point)

→ later, this is why you only look at  $Z$  and you don't worry about  $D_2$  (on new contour, just rotate)



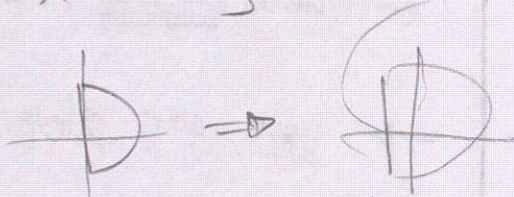
## Argument Principle

\* you said  $\nexists$  pole on the contour  $D$

$\hookrightarrow P_2$  is ok since  $R \rightarrow \infty$

$\hookrightarrow P_1 \rightarrow$  poles of  $1 + L(s)$  not on im axis  
 $\searrow$  zeroes " " " " " " " "

$\hookrightarrow$  since  $1 + L(j\omega) \neq 0 \quad \forall \omega$

Nyquist plot  $\mathcal{L} = \{L(j\omega), \omega \in \mathbb{R}\}$  does not cross  $-1 \rightarrow$  

## Nyquist Criterion

\* for BIBO stability, # of zeroes must  $= 0 \Rightarrow Z = 0$

$\Rightarrow \mathcal{L} \leftarrow$  FDL

\* if you have P controller with gain  $K$ , just adjust Nyquist Criterion accordingly (he did in blue)  
or your  $L(s)$  can just be  $L(s) = K C(s) H(s)$



- \* we use Nyquist Plot to verify 1) of Thm #1
- \* Nyquist has more info compared to Routh
- \* you're using open loop TF to analyze CLS stability (without calculating Gains of 4)

### Nyquist Plot Properties

- 1) Low-frequency behaviour ( $\omega=0$ )  $\rightarrow$  DC gain  
 $\rightarrow$  this is why  $L$  starts on real axis at the DC gain (you plugged in  $\omega=0$  for  $L(s)$ )

- 2)  $\omega \rightarrow +\infty$

$$L(j\omega) \big|_{\omega \rightarrow \infty} = \frac{b_m}{a_n} \cdot \frac{1}{(j\omega)^{n-m}}$$

(only highest power in num and den remain ... rest is negligible)

$$|L(j\omega)| \rightarrow 0$$

$$\angle L(j\omega) = \int$$

... lets you know how to enter origin (which angle to enter at)

- 3)  $L$  is symmetric about real axis

- 4)  $L$  intersects real axis when  $\angle L(j\omega) \bmod 2\pi$  is 0 or  $\pi$

$$\text{im } \dots \pm \frac{\pi}{2}$$



### Poles of $L(s)$ on Im Axis

- basically do a little indentation of contour around pole  
↳ you get 4 lil contours
- can do this indentation for as many poles on im axis.







## Typo: Should be "Week 8 Recap"

Week 9 Recap

Nov 9, 2025

w9L1-lec22

### Internal Model Principle

- We have been dealing with Basic Control Problem (BCP) and the 3 specs  $a$ ,  $b$ , and  $c$ , but our reference  $r(t)$  and disturbance  $d(t)$  were just polynomials whose Laplace transform yielded only poles at  $s=0$ .
- Internal Model Principle lets us solve general BCP's where  $r(t)$  and  $d(t)$  are no longer just polynomials  
 $\Rightarrow R(s)$  and  $D(s)$  are any strictly proper rational functions of  $s$
- $\Rightarrow$  A controller  $C(s)$  solves tracking problem (i.e. makes  $e(\infty) \rightarrow 0$  and rejects disturbance) iff:
  - 1)  $C(s)$  makes closed loop system (CLS) BIBO stable
  - 2) The product  $C(s)G(s)$  contains the poles of  $R(s)$
  - 3)  $C(s)$  contains the poles of  $D(s)$
- intuitive: for robot arm to reject disturbance, it must internally be able to reproduce the disturbance  $\rightarrow$  hence "internal" model principle
- note: unsolvable BCP if any zeroes of  $G(s)$  are poles of  $R(s)$
- For designing  $C(s)$  s.t. CLS is BIBO stable, can use 2 criteria of Thm 1 (w7 recap Pg.2) + Routh Table
- easier method: enter the Argument Principle and Nyquist plot and Nyquist stability criterion



## The Argument Principle

- recall Cauchy's Residue Thm:  $\oint_D f(s) ds = 2\pi j \sum_{i=1}^k \text{Res}(f(s), p_i)$
- that says "the contour integral of  $f(s)$  along  $D$  is  $2\pi j$  times the sum of all the residues" ( $\exists$  rules of  $f(s)$  and  $D$  that I omit)
- Now, to demonstrate The Argument Principle, we fabricate a special function  $f(s) = \frac{dG(s)/ds}{G(s)} = \frac{d}{ds} \log(G(s))$  for some function  $G(s)$
- you parametrize  $D = \gamma(\theta)$  for  $\theta \in [0, 2\pi]$  ← parametrize s.t. contour integral is done CCW

$$\oint_D f(s) ds = 2\pi j \sum_{i=1}^k \text{Res}(f(s), p_i)$$

$$= \int_0^{2\pi} \frac{d}{d\theta} \log[G(\gamma(\theta))] d\theta$$

$$= [ \angle G(\gamma(2\pi)) - \angle G(\gamma(0)) ] j$$

"some integer multiple of  $2\pi$ , which tells you the number of times you circled origin:  $s=0$ "

the function is chosen s.t. calculating residues of its poles (which contain both the poles and zeros of  $G(s)$ ) gives you the number of zeros and poles!

$$\text{Res}(f(s), \text{zero of } G) = r_1 \leftarrow \text{order of zero}$$

$$\text{Res}(f(s), \text{pole of } G) = -r_2 \leftarrow \text{order of pole}$$

$$= 2\pi j [Z - P] \leftarrow \begin{array}{l} Z = * \text{ zeros of } G \\ P = * \text{ poles of } G \end{array}$$

(inside contour  $D$ )

→ Result: For a CCW contour  $D$ , which you parametrize as  $s = \gamma(\theta)$ , you create a transformed, plotted contour  $G(\gamma(\theta))$ . You  $\therefore$  know that the new contour  $G(\gamma(\theta))$  encircles the origin  $(z-p)$  times CCW, where  $Z = *$  zeros of  $G(s)$  and  $P = *$  poles of  $G(s)$  inside  $D$





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or 25

## Nyquist Stability Criterion

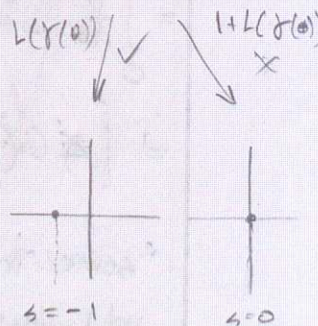
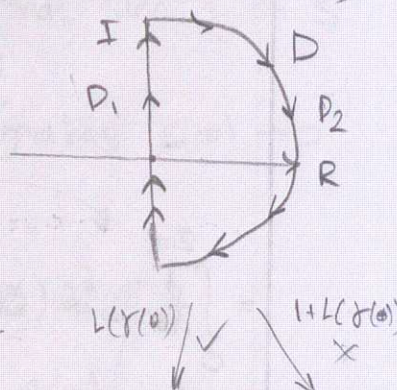
- the story starts with our closed loop transfer function  $1 + C(s)G(s)$ , which we will simplify to  $1 + L(s)$
- to solve the stability problem, we have to make sure that  $C(s)$  keeps/makes our system BIBO stable (Thm 1)
  - poles of  $\frac{1}{1+L(s)}$ , or roots/zeros of  $1+L(s)$  must be in OLHP
  - there must not be any unstable pole-zero cancellation in  $L(s)$
- We shall assume 2) and investigate 1):

for CLS to be stable, need no poles of  $\frac{1}{1+L(s)}$  in RHP



means no zeros of  $1+L(s)$  in RHP

analyzing  $1+L(s)$  is harder than analyzing just  $L(s)$ , so...



- a) notice that poles of  $1+L(s)$  are the poles of  $L(s)$

$$1 + \frac{N(s)}{D(s)} = \frac{D(s) + N(s)}{D(s)} \quad \xleftarrow{\text{same poles as}} \quad L(s) = \frac{N(s)}{D(s)} \quad \xrightarrow{\text{same poles as}}$$

- ① so to mitigate the "+1" in  $1+L(s)$ , we just "shift our origin"
- plot of  $1+L(j\omega)$  encircles  $w=0$  the same number of times that the plot of  $L(j\omega)$  encircles  $w=-1$

- using a) b) and Argument principle, we can arrive at the Nyquist Stability Criterion:



\* Recall the Argument Principle: Contour  $D$  (in our case, the RHP)  $\text{CW}$

$$\left( \begin{array}{l} \text{* of times } L \\ \text{encircles } \omega = -1 \\ \text{CW} \end{array} \right) = \left( \begin{array}{l} \text{* zeroes of} \\ 1+L(s) \text{ inside} \\ D = \text{RHP} \end{array} \right) - \left( \begin{array}{l} \text{* poles of} \\ 1+L(s) \text{ inside} \\ D = \text{RHP} \end{array} \right)$$

since (1)

since \*

\* times  $L$  encircles  $\omega = -1$   $\text{CW}$

$$= 0 - \left( \begin{array}{l} \text{* poles of} \\ 1+L(s) \text{ inside } D \end{array} \right)$$

since a)

$$\left( \begin{array}{l} \text{* times } L \text{ encircles } (\omega = -1) \\ \text{CW} \end{array} \right) = - \left( \begin{array}{l} \text{* poles of } L(s) \text{ inside } D \end{array} \right)$$

\* recall that for eg: 1 turn  $\text{CCW} = -1$  turn  $\text{CW}$

② Along  $D_1$ , it's basically frequency response since it's only points on  $\text{Im-axis}$ :  $D_1 = \{s = j\omega, \omega \in \mathbb{R}\} \Rightarrow L(j\omega)$

eg:  $\left| \frac{s}{s+2} \right| \rightarrow 0$  as  $s \rightarrow \infty$

③ Along  $D_2$ , it's a giant circle, so magnitude goes to 0

$D_2 = \{s = Re^{-j\theta}, R \rightarrow \infty\}$ , since  $L$  is strictly proper  $|L(Re^{-j\theta})| \rightarrow 0$

④ Argument Principle assumed no poles or zeroes on  $D$

-  $D_2$  has no issues since  $R \rightarrow \infty$

-  $D_1$ :  $\int \text{poles of } 1+L(s) = \text{poles of } L(s) \leftarrow \text{indentation of contours}$   
 $\left\{ \begin{array}{l} \text{zeroes of } 1+L(s) \leftarrow \text{must have no zeroes of } 1+L(s) \text{ on} \\ \text{RHP to begin with since we need BIBO} \end{array} \right.$

$$\left( \begin{array}{l} \text{* times } L \text{ encircles} \\ -1 \text{ counterclockwise CCW} \end{array} \right) = \left( \begin{array}{l} \text{* of poles of } L(s) \\ \text{in RHP (real part } > 0) \end{array} \right)$$



## Nyquist Criterion

Assume  $L(s)$  has no poles on  $\text{Im}-\text{axis}$  and is a strictly proper rational function. Assuming no unstable pole-zero cancellation

Then, roots of  $1 + KL(s)$  are in OLHP iff

- 1)  $L$  does not pass thru point  $-1/k$  (aka,  $1+L(s)$  has no zeroes on the  $\text{Im}-\text{axis}$ )
- 2)  $L$  encircles  $-1/k$   $P$  times CCW,  $P = \#$  poles of  $L(s)$  in RHP (aka, no zeroes of  $L(s)$  in RHP).

\* if you have P controller with gain  $K$ , then make point from  $-1$  to  $-1/k$

\* to actually plot  $G(r(\theta))$ , use the phase and magnitude plots of the frequency response  $L(j\omega)$

\* to figure out  $K$  for BIBO stability, we are interested in the point  $-1/k$  instead of  $-1$ :

1) we check the  $\#$  of RHP that  $L(s)$  has

2) goal is to make Nyquist plot encircle  $-\frac{1}{k}$  that many times

- eg: if  $L(s)$  has no RHP poles, we want values for  $K$  s.t.  $-1/k$  is never inside Nyquist plot

- eg: if  $L(s)$  has 1 RHP pole (eg  $L(s) = \frac{1}{s-1}$ ), then we want values for  $K$  s.t.  $-1/k$  is inside Nyquist plot and that it is circled one time.



Assuming no disturbance ( $d(t)=0$ ) and a step input  $U_m(t) = U_0 \cdot 1(t)$

$$U_m(s) = \frac{U_0}{s} \rightarrow \boxed{\frac{a}{s+b}} \rightarrow U(s)$$

We use Final Value Theorem  
to find  $\lim_{t \rightarrow \infty} U(t)$  (steady state output)

$$U(s) = \frac{a}{s+b} \cdot \frac{U_0}{s}$$

$$\lim_{t \rightarrow \infty} U(t) = \lim_{s \rightarrow 0} s U(s) = \lim_{s \rightarrow 0} s \cdot \frac{a}{s+b} \cdot \frac{U_0}{s} = \lim_{s \rightarrow 0} \frac{U_0 a}{s+b} = \frac{U_0 a}{b}$$

$\therefore$  We use FVT to show that  $\boxed{\lim_{t \rightarrow \infty} U(t) = \frac{U_0 \cdot a}{b}}$

Note: We are able to use FVT because our  $U(s)$  contains a finite number of poles, no poles in the RHP, and at most one pole at  $s=0$



# Nyquist Criterion

$$N = Z - P$$

P: \* of poles of OLS in RHP

Z: \* of zeroes of OLS in RHP

N: \* of encirclements of -1

BIBO stable

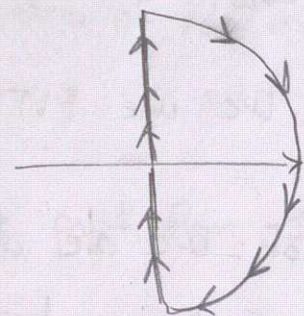
iff  $P = -N$

OLS:

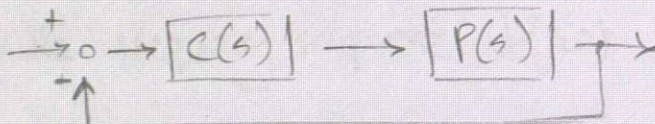


$$L(s) = C(s) \cdot P(s)$$

N. contour



CLS:



$$G(s) = \frac{C(s) P(s)}{1 + C(s) P(s)}$$

logic:

- 1) stable if no RHP poles in CLS
- 2) equivalently, no RHP zeroes of  $1 + C(s)P(s)$
- 3) \* cw encirclements of 0 =  $\frac{n}{(\text{poles})} - \frac{m}{(\text{zeroes})}$

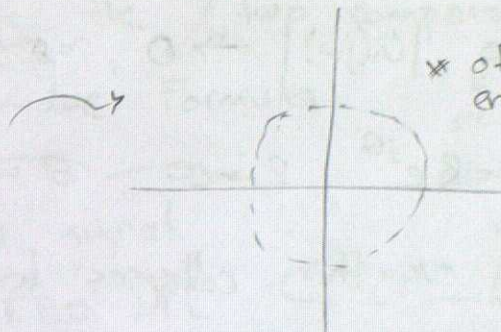
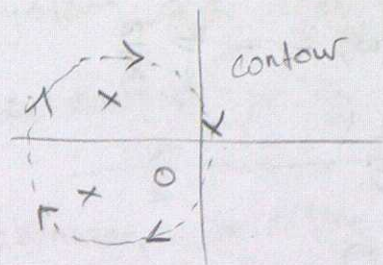
4) if  $m = 0$  (hence no  $1 + C(s)P(s)$  zeroes in RHP hence no RHP poles in CLS), then  $P = -N$

\* OLS  
RHP poles

\* of encirclements  
of -1



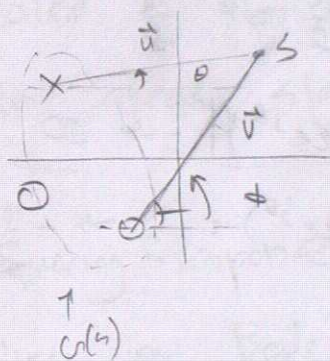
## Argument Principle



$$\# \text{ of ccw encirclements} = n - m$$

(poles)      (zeros)

phase magnitude



$$G(s) = \frac{|v|}{|u|} e^{j(\phi - \theta)}$$

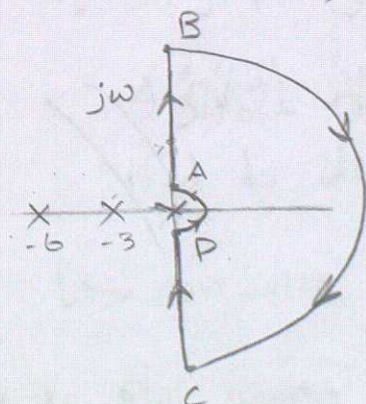
$\angle G(s)$

pole phase go against (opposite)

$$\text{Zero phase: } \begin{matrix} 360 & -360 & \text{or} & 360+360-360 \\ \text{zero} & \text{pole} & & \text{Zero1 Zero2 pole} \end{matrix}$$

Q.1a)

$$G(s) = \frac{50}{s(s+3)(s+6)}, \quad C(s) \rightarrow C(s)G(s) = L(s) = \frac{50}{s(s+3)(s+6)}$$



Nyquist Contour

generic magnitude relationship:

$$\begin{matrix} \uparrow \omega & \sqrt{\omega^2+3^2} & \sqrt{\omega^2+6^2} \\ (s=0) & (s=-3) & (s=-6) \end{matrix}$$

$$|G(j\omega)| = \frac{50}{|\omega| \sqrt{\omega^2+9} \sqrt{\omega^2+36}}$$

$$\angle G(j\omega) = -\frac{\pi}{2} - \tan^{-1}\left(\frac{\omega}{3}\right) - \tan^{-1}\left(\frac{\omega}{6}\right)$$



AB:  $\omega \rightarrow 0, |G(j\omega)| \rightarrow \infty, \angle G(j\omega) = -\frac{\pi}{2}$

$\omega \rightarrow \infty, |G(j\omega)| \rightarrow 0, \angle G(j\omega) = -3\frac{\pi}{2}$

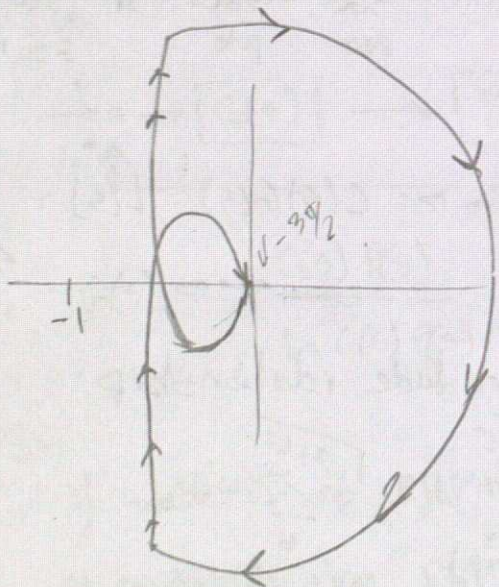
BC: set  $s = Re^{j\theta}, R \rightarrow \infty, \theta = \frac{\pi}{2} \rightarrow -\frac{\pi}{2}$

$\rightarrow$  basically everything collapses to 0

CD: reflection of AB about Re axis

DA: set  $s = \epsilon e^{j\theta}, \epsilon \rightarrow 0, \theta = -\frac{\pi}{2} \rightarrow \frac{\pi}{2}$

$L(\epsilon e^{j\theta}) = \frac{50}{\epsilon e^{j\theta} (\epsilon e^{j\theta} + 3)(\epsilon e^{j\theta} + 6)}, |L(\epsilon e^{j\theta})| \rightarrow \infty$   
 $\angle L(\epsilon e^{j\theta}) = \frac{\pi}{2} \rightarrow -\frac{\pi}{2}$



$L? \phi = -\pi?$

$P = -N = 0$

$\Rightarrow$  BIBO stable



- we are inputting a sinusoid to a BIBO stable system and analyzing the (two components) of the output using Residue Formula

one from  $G(s)$ , goes to 0 since BIBO  
 second one from  $U(s)$

Takeaway: injecting a signal at a  $\omega$  into a BIBO LTI system, your output is a signal of the same  $\omega$  but scaled by  $|G(j\omega)|$  and phase shifted by  $\angle G(j\omega)$  in steady state (we ignore transient response due to  $G(s)$ )

- frequency response captured by spectrum analyzer, which gives you Bode plot

↳ very powerful since it lets us get  $|G(j\omega)|$  and  $\angle G(j\omega)$  just by injection and measurement → don't need to derive complicated  $G(s)$  from first principles.

↳ can use Bode Plot for controller design.

- Bode Plot Review



## Lec 27

Week 10 Lec 2 (stability and phase margins)

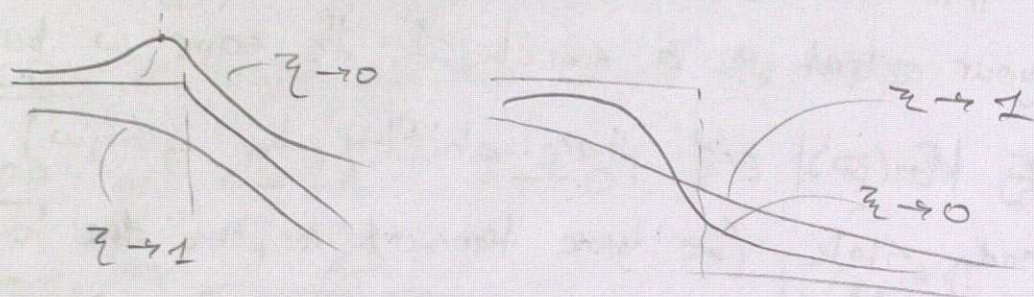
Nov 11, 2025

- Bode plots for complex second order poles:

$$\hookrightarrow \frac{\omega}{\omega_n} \ll 1 \rightarrow \text{easy, 0}$$

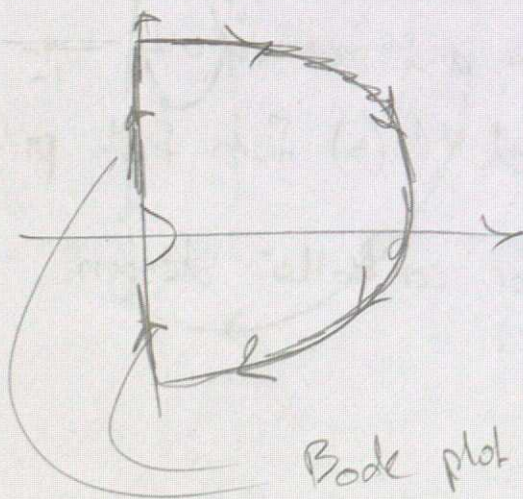
$$\frac{\omega}{\omega_n} \gg 1 \rightarrow \text{regular from table}$$

- but in middle values, take care because  $\zeta$  plays role



- magnitude plot: choose a point and draw relative slopes

phase plot: draw all individual phase plots and add / superimpose them together

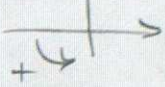
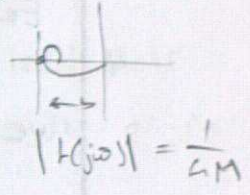


Bode plot  
helps you  
here

### Stability Margin

- HF ripple in Nyquist might kick in at one point, encircle -1, and blow up your system.



- phase margin is where  $L(j\omega)$  intersects the unit circle (the  $\omega > 0$  plot half of  $L(j\omega)$ )
- phase margin (+)ve going 
- gain margin:  $GM = \frac{1}{|L(j\omega)|}$ ,  $\bar{\omega}$  is where  $L(j\omega)$  intersects (-)ve real axis for the first time:   
 $|L(j\omega)| = \frac{1}{GM}$
- for P-controller with gain  $k$ , you don't want to encircle  $-1/k \Rightarrow GM$  is the maximum value of  $k$  b4 instability.
- Bode Plot Gain margin: see what  $\omega$  that your phase plot crosses the  $-180^\circ$  line, and go up to gain plot and see distance to 0dB  $\rightarrow$  that's your  $GM$
- Bode Plot Phase Margin: see what  $\omega$  that your magnitude / gain plot crosses the 0dB line, and go down to phase plot at that  $\omega_c$  and see distance to  $-180^\circ \rightarrow$  that's your PM
- Bandwidth: at  $\omega_b$ ,  $|L(j\omega)|$  is at -3dB.
  - in controls, we care about bandwidth of  $CLS$ , not just  $L$
  - however, our bode plot is of  $L$ , not  $CLs$  TS  $\frac{k}{1+L}$
  - $\Rightarrow$  a correlation b/n  $\omega_c$  of  $L$  and  $\omega_b$  of  $CLs \rightarrow$  check lec 28



Transient Performance in Freq. Dom

1)  $PM = \text{atan} \left( \frac{2\zeta}{-2\zeta^2 + \sqrt{1 + 4\zeta^4}} \right)$  → performance  
 $\%OS = \exp \left( \frac{-\zeta\pi}{\sqrt{1-\zeta^2}} \right)$  second order system in mid term 2

both are functions of  $\zeta$

• smaller PM → larger %OS (high  $\zeta$ )

• larger PM → smaller %OS

2) Settling Time:  $T_s = \frac{4}{\zeta\omega_b} \cdot \sqrt{(1-2\zeta^2) + \sqrt{4\zeta^4 - 4\zeta^2 + 2}}$

bandwidth of CLS  $\frac{L}{1+L}$

• higher bw → settling time lower

$$(T_s \propto \frac{1}{\omega_b})$$

• higher  $\omega_c$  → higher  $\omega_b$  → smaller  $T_s$

→ crossover freq.

→ contains more high freq. components → system responds/is faster

Summary:

larger phase margin  $\longleftrightarrow$  smaller % overshoot

larger crossover freq  $\longleftrightarrow$  smaller settling time



must know  
how to  
derive the  
gains of  
H TF

## Controller Design in Freq. Dom

- design  $C(s)$  s.t. Thm 1 is met. (BIBO stab.)

←  
Use Nyquist Criterion to verify this

\* controllers:

- PD : proportional derivative
- Lead
- PI : proportional integral
- Lag
- PID = PI + PD : proportional, integral, derivative.

## PD Controller

$$C(s) = K(1 + T_D s)$$

gain ←      ← derivative

setting  $D=0$  for standard loop:  $U(s) = KE(s) + KT_D sE(s)$

$$\xrightarrow{\mathcal{L}^{-1}} u(t) = K e(t) + K \cdot T_D \cdot \frac{d}{dt} e(t)$$

↑                      ↑  
proportional      derivative

→ corresponding Bode plot drawings



- moving / setting  $\frac{1}{T_D}$  at low freq makes higher frequencies move up by  $+\frac{\pi}{2} \Rightarrow$  increases phase margin
- as a result, crossover frequency also higher
- from example,  $T_D = 10$  makes higher freq. go down less sharply, and at a later freq. too
- $K$  makes the whole Bode plot move up (increasing  $K$ ) } gain
- $K$  doesn't affect phase plot of Bode
- should try to move  $\frac{1}{T_D}$  as left as possible bc. our phase plot at higher freq. will overall be higher } phase
- check stab. of  $C(s)G(s)$  using Nyquist

$\hookrightarrow$  can draw Nyquist plot using Bode Plot.

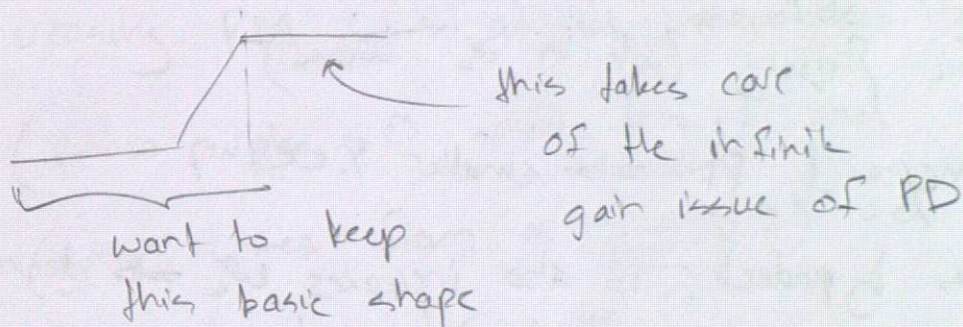


Designing a Lead Controller ← final, study

- PD controller asks for infinite gain at higher freq, which is not possible for controllers
- Lead controller helps solve this by approximating the PD

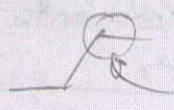
$$C(s) = K \cdot \frac{Ts + 1}{\alpha Ts + 1} \quad K, T > 0, 0 < \alpha < 1$$

- constant gain at freq  $\rightarrow \infty$ , so no longer infinite gain



- $\omega_{max}$  very important → middle of magn. plot →  $\Delta$  value increase  
 → peak of phase plot →  $\phi_{max}$  value increase  
 ↳ must use geometric mean since log scale
- magn. you know when  $\omega_{max}$  happens and the slope of  $+20\text{dB/dec}$ , so you know value of  $\Delta$  value wrt to the base of  $20\log K$ , but if you want absolute magnitude, add  $A$  to the base line  $20\log K +$



- phase plot finding  $\Phi_{max}$ : you use  $\angle C(j\omega_c)$  to find angle b/w num vector and denom. vector with that you derive the asine formula for  $\Phi_{max}$
- as  $\alpha \rightarrow 0$ , lead becomes PD since that  this keeps going to a very high freq. so it's basically like 
- use lead's phase plot hump to increase phase at specific frequency (which is  $\omega_{max}$ )
  - $\hookrightarrow$  increased PM  $\Rightarrow$  smaller %OS
  - $\hookrightarrow$  as byproduct, it also increases  $\omega_c \Rightarrow$  decrease  $T_s$

### Design Example

- start at freq 1, then if  $\leq$  pole ( $j\omega$ ), you come from left @  $-20$  dB/dec and then you think/see how other poles/zeros affect slopes.
- base plant has low PM  $\rightarrow$  close to BIBO instability
  - $\hookrightarrow$  use controller (lead) to increase phase margin from  $0^\circ$  to  $40^\circ$  or more.



## Steps

- 1) set a  $k$ , say  $k=2$
- 2) you want  $40^\circ + 30^\circ \leftarrow$  extra margin and set  $\Phi_{max}$  to this  $\rightarrow$  then calculate  $k_c$  & needed
- 3) we want to put  $\omega_{max}$  at the most effective frequency  $\rightarrow$  crossover freq, so all that extra phase from the lead's phase hump goes straight to increasing PM  $\rightarrow$  at  $\omega_c$ , magnitude is 0  $\rightarrow$  LWS = 0  
 (know purple = 0 since  $k=1$ ) and  
 (green comes from  $\alpha$  in step 2) and  
 $\therefore$  can solve for yellow

Q: what is  $\omega_{max}$  to get answer ( $-15$  dB)?

$\rightarrow$  use Bode plot to find using ruler!

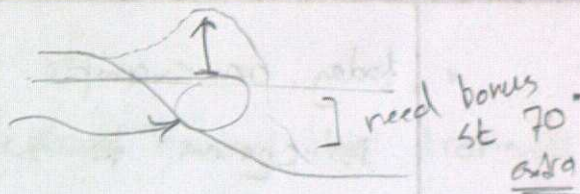
(in this case,  $\omega_{max} \approx 6$  rad/sec)

now can find  $T$  by solving:  $\omega_{max} = \frac{1}{T\sqrt{\alpha}}$

(in this case,  $T = 0.9623$ )

at  $\omega_{max}$ , you have to crossover

- 4) form final lead equation  $C(s) \rightarrow$  5) make new plot with your  $C(s)$  in action





- today, an example of a lead controller where  $K$  is chosen to satisfy tracking error requirement
- due to  $\xi$ , we have "horn peak" at  $\omega_r < \text{resonant freq.}$
- your new mag plot must cross  $\text{mag} = 0$  at  $\omega_0$  that you designed to be at.
- and at that  $\omega_0$ , you have your hump of height  $\phi_{\max}$

### PI controller

$$C(s) = K \left( 1 + \frac{1}{T_I s} \right) = \frac{K}{T_I} \cdot \frac{T_I s + 1}{s}$$

this pole is  
new compared to  
the other controllers

lets you get  
ready of s.s. error.

- you don't affect phase margin if you ~~place~~ place  $\frac{1}{T_I}$  low since  $\phi$  ends up at 0  
 @ mid-frequencies.
- you also add some gain at low frequencies.

- green is final sys with both lead + PI controller.

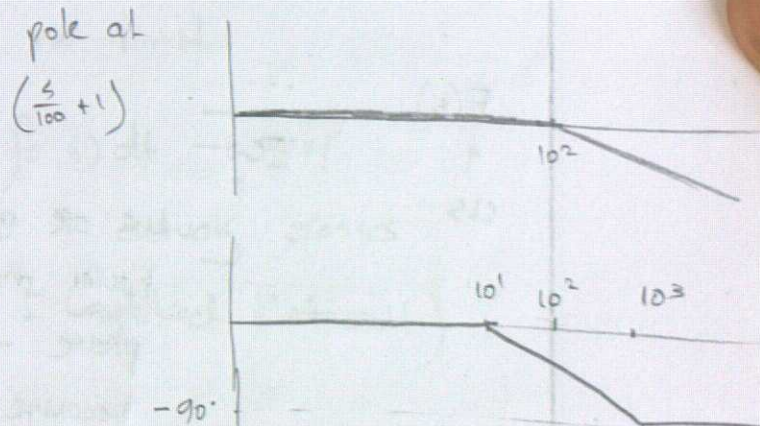
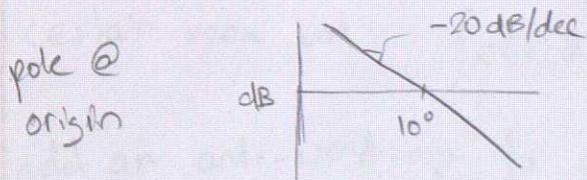
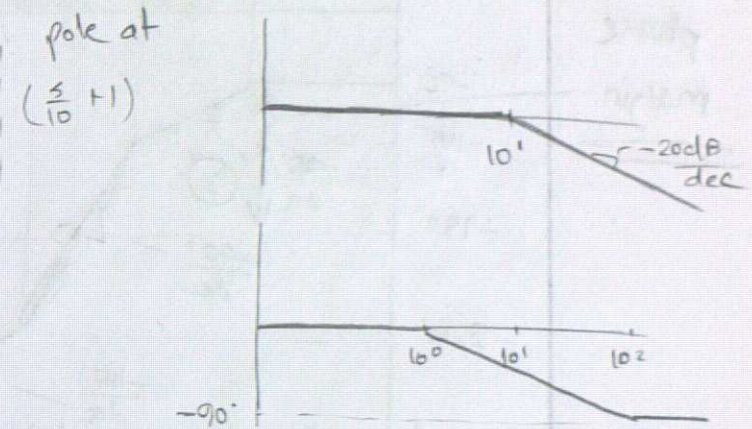
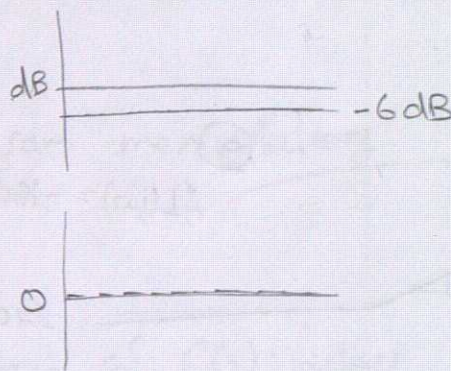


Problem 1

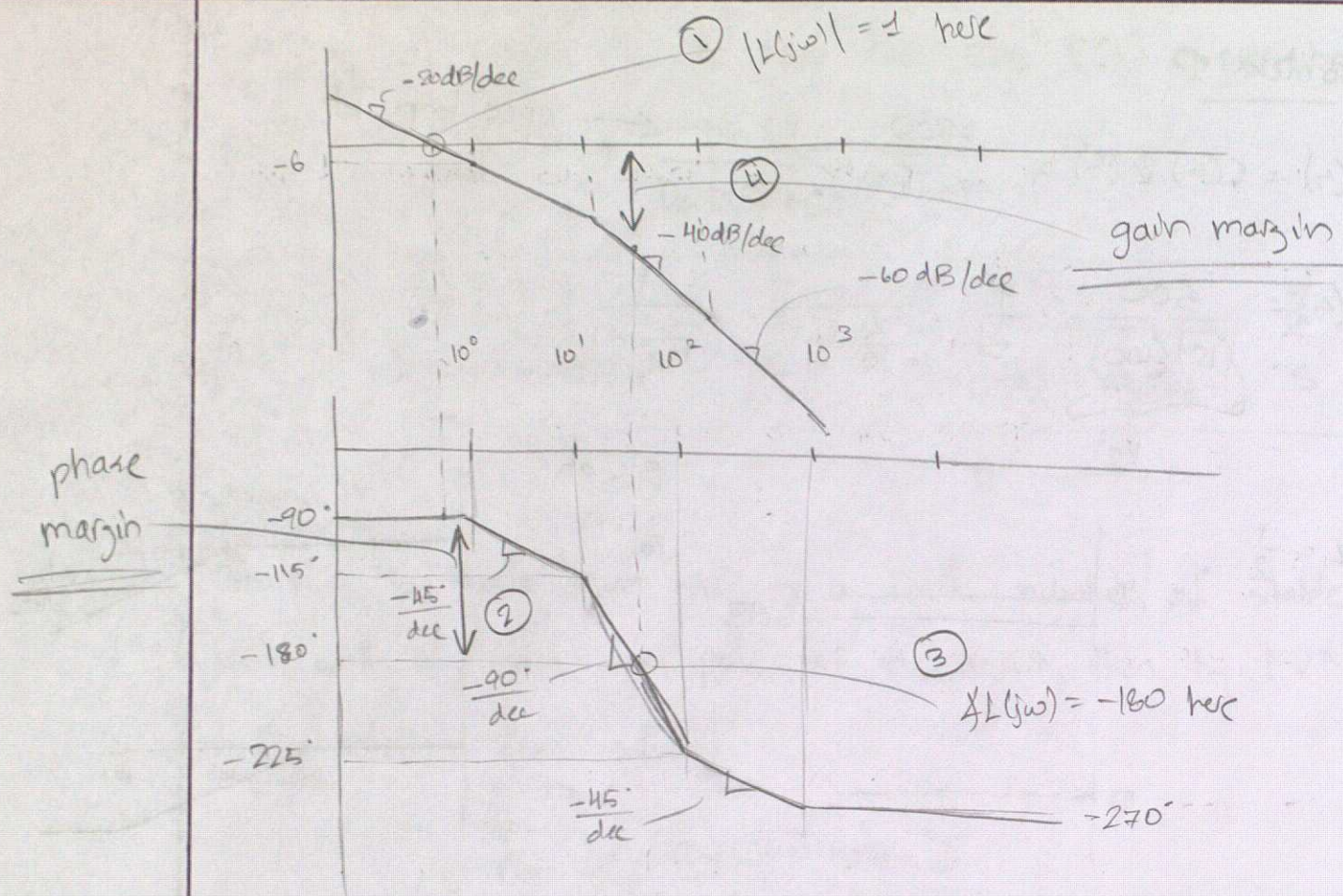
1)  $L(s) = C(s) G(s) = \frac{500}{s(s+10)} \cdot \frac{1}{(s+100)}$  ← open-loop TF

2)  $L(s) = \underbrace{\frac{500}{(10)(100)}}_{1/2} \cdot \frac{1}{s} \cdot \frac{1}{(\frac{s}{10} + 1)} \cdot \frac{1}{(\frac{s}{100} + 1)}$

3)  $K = \frac{1}{2}$







$$F(s) = \frac{\dots}{1 + C(s)}$$

↑  
cls

we are worried about this  
having magnitude 1 and  
phase  $-180^\circ \rightarrow 1 \angle -180^\circ$   
because then we'd have  
 $1 - 1 = 0$  in denominator

PM and GM help us know how close  
 $|L(j\omega)|$  is to 1 and  $\angle L(j\omega)$  is to  $-180^\circ$



## \* PI controller

- at low freq, have high mag due to integral term
- use this controller to add system type
- don't want to affect transient performance or PM/GM work that PD or lead controllers do

PID

## \* block diagram manipulation.

Limitation

- due to limit of  $C(s)$  output,  $e(t)$  is cut off at certain max val:  $\int e(t) dt$  has no limit
- add an anti-wind-up to stop  $\int e(t) dt \rightarrow \infty$   
(detect difference to see if I actually crosses saturation, and if I did, I subtract that out)



W9L3, Lec 25

## Nyquist Plot with Im axis Poles

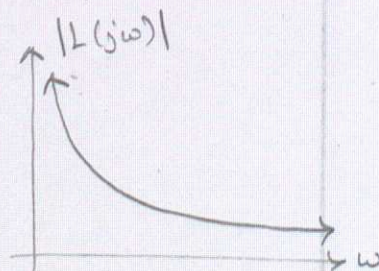
- Recall the story: we want to know if CLS is BIBO stable, and we can do that by ensuring  $1 + C(s)G(s) = 1 + L(s)$  does not have RHP zeroes (we assume no pole-zero cancellation)
- We use a Nyquist Contour of the entire RHP, doing little deformation of contours for poles on Im-axis.
- By Nyquist theorem, we know our CLS is BIBO stable if the Nyquist plot circles  $-\frac{1}{K}$  exactly  $p$  times ccw, where  $p$  is the number of RHP poles of  $L(s)$ , and we do not go through the point  $-\frac{1}{K}$ .
- We shall use 2 methods of drawing Nyquist Plots:

Darfeil Method: graphical method

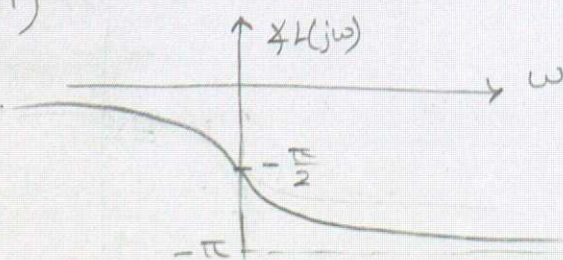
$$L(s) = \frac{10}{s(s+1)} \quad \leftarrow \text{assume no pole-zero cancellation}$$

$$L(j\omega) = \frac{10}{j\omega(j\omega+1)}, \quad |L(j\omega)| = \frac{10}{|\omega| \sqrt{\omega^2+1}}$$

$\uparrow$   $\quad \quad \quad \uparrow$   
 $\frac{1}{\omega}$   $\quad \quad \quad \frac{1}{\sqrt{1+\omega^2}}$

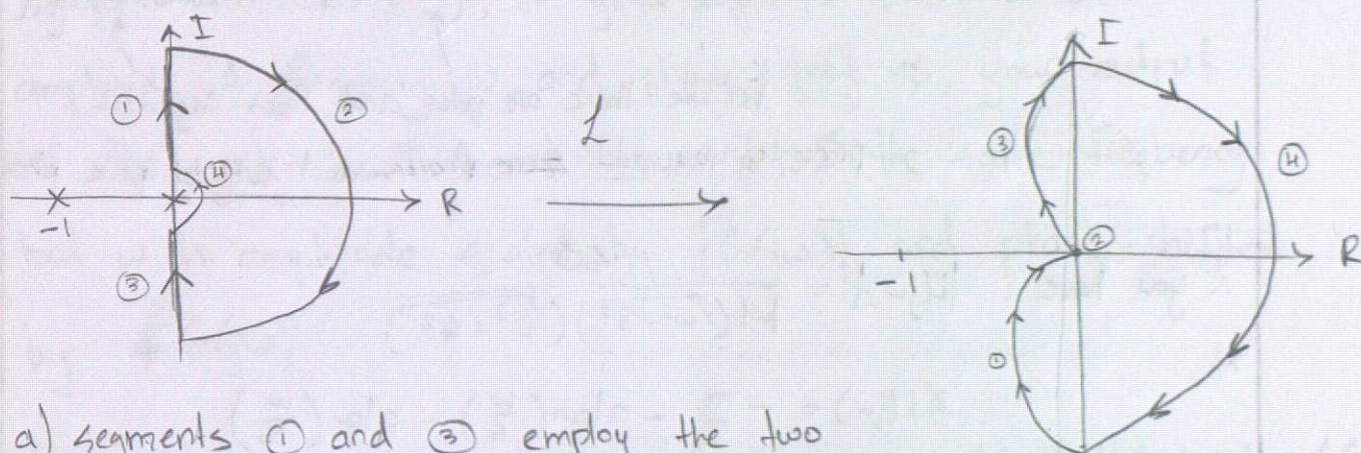


$$\begin{aligned} \angle L(j\omega) &= (\angle 10) - (\angle j\omega + \angle j\omega+1) \\ &= 0 - \left( \frac{\pi}{2} + \angle \omega+1 \right) \\ &= -\frac{\pi}{2} - \angle \omega+1 \end{aligned}$$





- you look at the components of  $L(j\omega)$  to find magnitude and phase.
- To plot Nyquist plot, you start at low  $\omega$  on  $|L(j\omega)|$  and observe that at low  $\omega$ ,  $|L(j\omega)| \rightarrow \infty$  and at high  $\omega$ ,  $|L(j\omega)| \rightarrow 0$
- then, observe at  $\omega=0$ ,  $\angle L(j\omega) = -\frac{\pi}{2}$  and at high  $\omega$ ,  $\angle L(j\omega) \rightarrow -\pi$
- this tells you that from  $\omega \geq 0$  to  $\omega \rightarrow \infty$ , your Nyquist plot starts at very far distance with angle  $-\frac{\pi}{2}$  and ends at very small distance with angle  $-\pi$ :



a) segments ① and ③ employ the two sides ( $\omega > 0$ ,  $\omega < 0$  respectively) of the phase and magnitude plots

b) for segment ②: Let  $\mathcal{L} = \{s = Re^{j\theta}, \theta \in [\frac{\pi}{2}, -\frac{\pi}{2}], R \rightarrow \infty\}$   
 since  $L(s)$  strictly proper,  $\mathcal{L}$  collapses to origin ( $|L(Re^{j\theta})| \rightarrow 0$ )

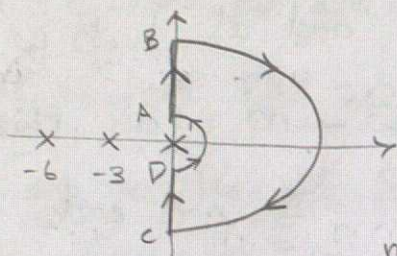
c) for segment ③: Let  $\mathcal{L} = \{s = \epsilon e^{j\theta}, \theta \in [-\frac{\pi}{2}, \frac{\pi}{2}], \epsilon \rightarrow 0\}$   
 simplify  $L(\epsilon e^{j\theta})$  to  $\frac{10}{r} e^{-j\theta}$ , so  $|L(\epsilon e^{j\theta})| \rightarrow \infty$  and rot.  $\omega$ .

→ Since  $L(s)$  has zero RHP poles and Nyquist plot encircle  $-\frac{1}{K} = -\frac{1}{1} = -1$  zero times,  $CLS$  will be BIBO stable



## Jesse TA Method

$$L(s) = \frac{50}{s(s+3)(s+6)} \xrightarrow{s=j\omega} L(j\omega) = \frac{50}{j\omega(j\omega+3)(j\omega+6)}$$



mag:  $|\omega|$   
phase:  $-\frac{\pi}{2}$

mag:  $\sqrt{\omega^2 + 3^2}$   
phase:  $-\tan^{-1}\left(\frac{\omega}{3}\right)$

mag:  $\sqrt{\omega^2 + 6^2}$   
phase:  $-\tan^{-1}\left(\frac{\omega}{6}\right)$

(-)ve because these are poles, and from Argument principle you add zero phases and subtract pole phases

$$\therefore \text{you have: } |L(j\omega)| = \frac{50}{|\omega|(\sqrt{\omega^2 + 3^2})(\sqrt{\omega^2 + 6^2})}$$

$$\angle L(j\omega) = -\frac{\pi}{2} - \tan^{-1}\left(\frac{\omega}{3}\right) - \tan^{-1}\left(\frac{\omega}{6}\right)$$

$$\omega: 0 \rightarrow \infty$$

$$AB: |L(j\omega)|: \infty \rightarrow 0$$

$$\angle L(j\omega): \left(-\frac{\pi}{2} - 0 - 0\right) \rightarrow \left(-\frac{\pi}{2} - \frac{\pi}{2} - \frac{\pi}{2}\right)$$

$$-\frac{\pi}{2} \rightarrow -\frac{3\pi}{2}$$

BC: collapses to origin

CD: mirror image of AB over Re axis

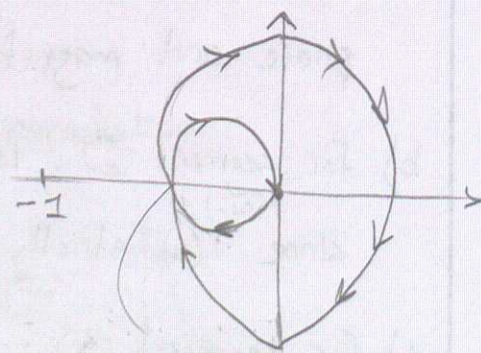
$$DA: \text{connect up, } (\omega \text{ since } L(e^{j\omega}) = \frac{50}{e^{j\omega}} e^{-j\theta})$$

Turns out, at  $\omega = 4$ ,  $\angle L(j\omega) = -\pi$ , and

$$|L(j\omega)| < 1. \text{ Since } L \text{ encircles } -\frac{1}{2} = -\frac{1}{1} = -1$$

Zero times and 3 zero RHP poles of  $L(s)$

$\Rightarrow \therefore$  CTS is BIBO stable.



to find where  $L$  crosses Re axis, set  $\angle L(j\omega) = -\pi$  and solve for  $\omega$ . Plug that  $\omega$  into  $|L(j\omega)| \rightarrow$  if magnitude  $< 1$  then we encircle  $-\frac{1}{1} = -1$  zero times



Lec 26  
Lec 27

## Frequency Response and Bode Plots

- Up until now, we've been doing everything using our actual TF, be it the OLS  $G(s)$  or the CLS transfer function  $(s)$
- Sometimes, it's hard to know the exact mathematical model of a system (aka the transfer func<sup>n</sup>). What to do?
- Recall from ECE216 that given a BIBO stable LTI system with TF  $G(s)$ , if you input a sinusoid of amplitude  $A$ , frequency  $\omega$ , and phase shift  $\phi$ , your output of the system will be a sinusoid of the same frequency, but with amplitude scaled by  $|G(j\omega)|$  and phase-shifted by  $\angle G(j\omega)$ .

$G(s)$  has  
no poles  
in RHP

$$u(t) = A \sin(\omega t + \phi) \longrightarrow \boxed{G(s)} \longrightarrow y(t) = A |G(j\omega)| \sin(\omega t + \phi + \angle G(j\omega))$$

- This is useful because we can input a sinusoid of known amplitude and frequency and phase, measure output, and figure out  $G(s)$  based on  $|G(j\omega)|$  and  $\angle G(j\omega)$ .
- A spectrum analyzer outputs Bode Plots, which use a log-log plot for  $|G(j\omega)|$  and a semi-log plot for  $\angle G(j\omega)$   
 $\Rightarrow$  we will use Bode plots to analyze our loop transfer function  $L(s) = C(s)G(s)$



## Bode Plots

- 1) A constant gain:  $|k_0|$
- 2) A pole or zero at 0:  $\frac{1}{j\omega}$  or  $j\omega$
- 3) A pole at  $s=p$  or zero at  $s=z$ :
- 4) Complex conjugate poles or zeroes:

$$\frac{1}{1 + \frac{j\omega}{p}} \quad \text{or} \quad 1 + \frac{j\omega}{z}$$

$$\frac{1}{1 + \frac{2j\omega\zeta}{\omega_n} + \left(\frac{j\omega}{\omega_n}\right)^2} \quad \text{or} \quad 1 + \frac{2j\omega\zeta}{\omega_n} + \left(\frac{j\omega}{\omega_n}\right)^2$$

• For all of the above, we use the same straight-line approximations as learned in ECE 212 (eg.  $20 \log(k_0)$ )...

• Note: For complex conjugate poles,  $\exists$  an effect due to  $\zeta$

- if  $0 < \zeta < \frac{\sqrt{2}}{2}$ , then  $|L(j\omega)|$  has a resonant peak

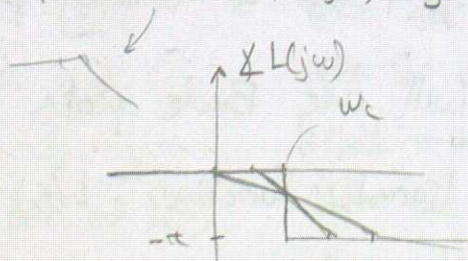
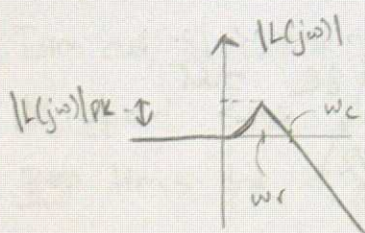
at  $\omega_r = \omega_n \sqrt{1 - \zeta^2}$ , and the height of that

peak is  $|L(j\omega)|_{pk} = \frac{1}{2\zeta\sqrt{1-\zeta^2}}$



- as  $\zeta \rightarrow 0$ ,  $|L(j\omega)|$ : peak  $\rightarrow \infty$ ,  $\angle L(j\omega)$ : steeper

- as  $\zeta \rightarrow \frac{\sqrt{2}}{2}$ ,  $|L(j\omega)|$ : peak  $\rightarrow 1$ ,  $\angle L(j\omega)$ : gentler

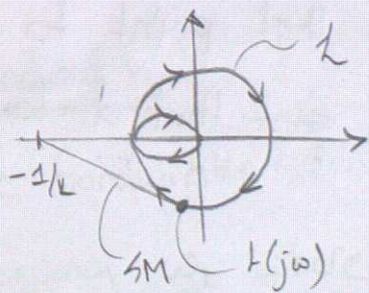




lec 28

## Stability Margins

- Let us talk about stability. We know that according to Nyquist stab. Criterion, given an  $L(s)$ , our CLS will be BIBO stable iff (1) the Nyquist Plot doesn't cross  $-1/k$  and (2) the Nyquist plot encircles  $-1/k$  exactly  $p$  times, where  $p$  is the number of RHP poles of  $L(s)$  (D is CW and  $\bar{L}$  is CCW)
- Let us say  $L(s)$  has no RHP poles. This means that  $\bar{L}$  cannot encircle or cross  $-1/k$  at all
- the stability margin is therefore the minimum distance from  $-1/k$  to  $\bar{L} \rightarrow$  the higher the stability margin, the more uncertainty (or "jitter") that our system can tolerate



However, quantifying the stability margin is hard to do from the Nyquist Plot, so we use the Bode Plot to quantify SM using two things: gain margin and phase margin

- What do we not want? Remember, our gang of 4 all have  $1 + L(s)$  in the denominator. Therefore, we do not want denominator to equal  $-1$  s.t.  $1 - 1 = 0$  (undefined, division by 0). Let us think about how we can get  $1 + L(s) = 1 - 1 = 0$



\* Note that if  $|L(s)| = 1$  and  $\angle L(s) = -180^\circ = -\pi$ , then the unthinkable happens!  $1 + 1 \angle -180^\circ = 1 - 1 = 0$

\* We do not want our  $|L(s)|$  to be near 1 and  $\angle L(s)$  to be near  $-180^\circ$  at the same time, so what to do?

\* We define the phase margin (PM) and gain margin (GM)

\* Phase Margin: how much phase tolerance is there?

- ① - find where  $|L(j\omega)| = 1$   $\leftarrow$  happens at  $\omega_c$ , where the  $|L(j\omega)|$  plot crosses the horizontal axis
- ② - go down to the point on the  $\angle L(j\omega)$  where  $\omega = \omega_c$  and see vertical distance from that point to where  $\angle L(j\omega)$  passes  $-180^\circ$  line  $\leftarrow$  that distance is your phase margin!

\* Gain Margin: how much gain tolerance is there?

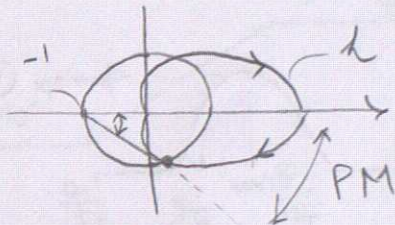
- ③ - find where  $\angle L(j\omega)$  passes  $-180^\circ$   $\leftarrow$  call it  $\bar{\omega}$
- ④ - go up to the point on  $|L(j\omega)|$  that corresponds to  $\bar{\omega}$  and see the vertical distance from that point to where  $|L(j\omega)|$  crosses horizontal axis  $\leftarrow$  that distance is your gain margin!



## PM and GM on Nyquist Plot

$$1) PM = \angle L(j\omega_c) - (-\pi) \\ = \angle L(j\omega_c) + \pi$$

$\omega_c$  is where  $|L(j\omega)| = 1$ , or basically unit circle intersection with  $L$  (take (+)ve  $\omega_c$ )

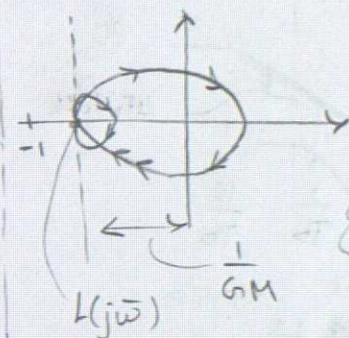


$PM > 0$  : "suggest" no encirc. of -1

$PM < 0$  : "suggest" encirclement of -1

$$2) GM = \frac{1}{|L(j\bar{\omega})|}$$

$\bar{\omega}$  is the frequency at which you have  $\angle L(j\bar{\omega}) = -180^\circ = -\pi$



want  $\frac{1}{GM} < 1$

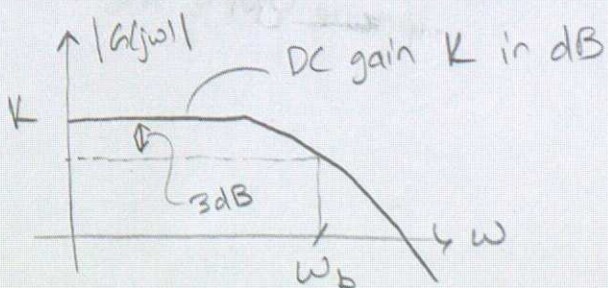
aka  $GM > 1$

$K < GM \Rightarrow$  BIBO stability, since

$$\frac{1}{K} > \frac{1}{GM} \Rightarrow K < GM$$

## Bandwidth

\* The bandwidth of a frequency response  $G(j\omega)$  is the frequency  $\omega_b$  where magnitude of  $G(j\omega)$  is 3dB below the DC (or  $\omega=0$ ) gain:  $\omega_b$  st.  $|G(j\omega)| = 20\log|G(0)| - 3\text{dB}$



our Bode Plots are of  $L(j\omega)$ , but we care about CLS bandwidth:  $\frac{L}{1+L}$   
 $\Rightarrow$  a correlation b/n  $\omega_c$  (cutoff freq) of  $L(j\omega)$  and CLS bandwidth  $\omega_b$ :  $\omega_c < \omega_b < 2\omega_c$

$$\frac{L}{1+L} @ \omega=0 = 1$$

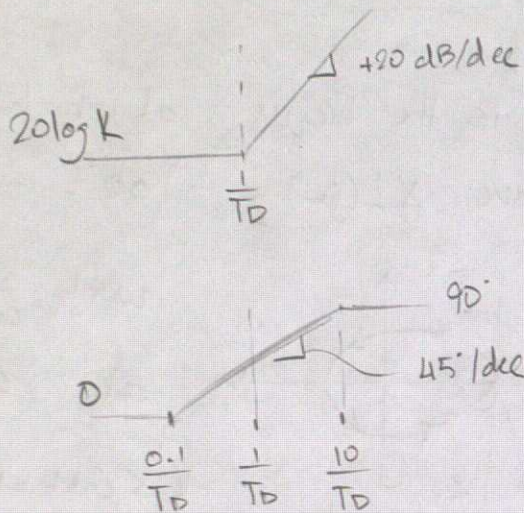
$$\frac{L}{1+L} @ \omega \rightarrow \infty, \rightarrow 0 \quad ? Q.$$



## Controllers:

### PD Controller

$$c(s) = K(1 + T_D s)$$



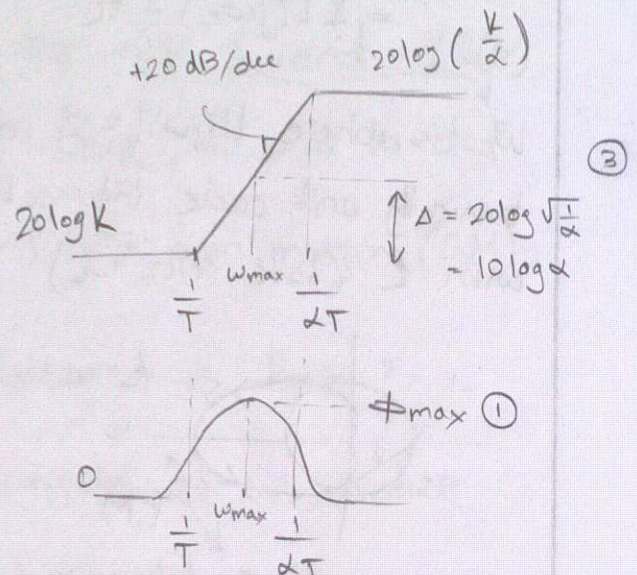
- Place  $\frac{1}{T_D}$  before  $\omega_c$
- increases PM
- increases  $\omega_c$
- not implementable due to infinite gain at high freq.

### Lead Controller

$$c(s) = K \frac{T_s + 1}{\alpha T_s + 1}$$

$$K, T > 0$$

$$0 < \alpha < 1$$



$$\textcircled{2} \alpha = \frac{1 - \sin \phi_{\max}}{1 + \sin \phi_{\max}}$$

$$\textcircled{4} \frac{1}{T} = \omega_{\max} \sqrt{\alpha}$$

choose  $K$  to meet ss tracking error.

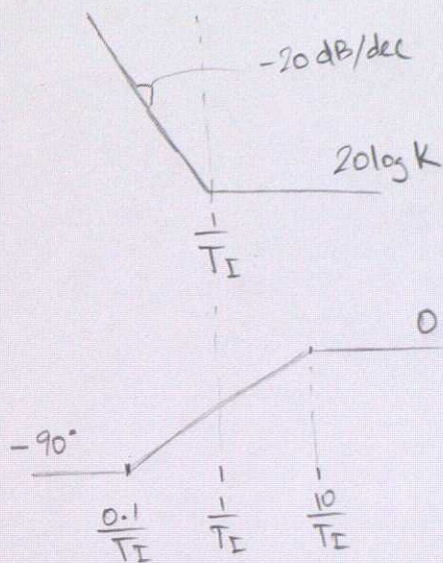
$$\phi_{\max} = \sin^{-1} \left( \frac{1 - \alpha}{1 + \alpha} \right)$$

• increase PM



### PI Controller

$$C(s) = K \left( 1 + \frac{1}{T_I s} \right) = \frac{K}{T_I} \cdot \frac{T_I s + 1}{s}$$



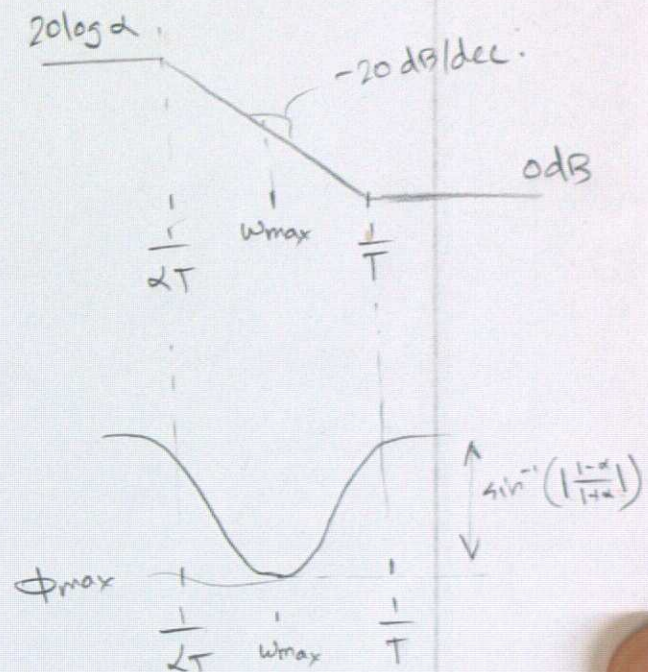
- lets you increase your controller's type
- inc sys. type can let you track better and in general affects mid-freq. behaviour
- place  $\frac{1}{T_I} \ll \omega_c$   
(want  $\frac{1}{T_I}$  b/n  $0.01\omega_c$  and  $0.1\omega_c$ )

### Lag Controller

$$C(s) = \alpha \frac{T \cdot s + 1}{\alpha T s + 1}$$

$$T > 0$$

$$\alpha > 1$$



$$\phi_{max} = \sin^{-1} \left( \frac{1-\alpha}{1+\alpha} \right)$$

$$\omega_{max} = \frac{1}{T\sqrt{\alpha}}$$

- amplifying DC gain